



 ACCELERATOR GROUP  
European Physical Society

**IPAC23**  


14<sup>th</sup> International Particle  
Accelerator Conference

**IPAC  
'23**

7 - 12 May 2023  
VENICE, ITALY

Hosting institutions



Elettra Sincrotrone Trieste



Istituto Nazionale di Fisica Nucleare





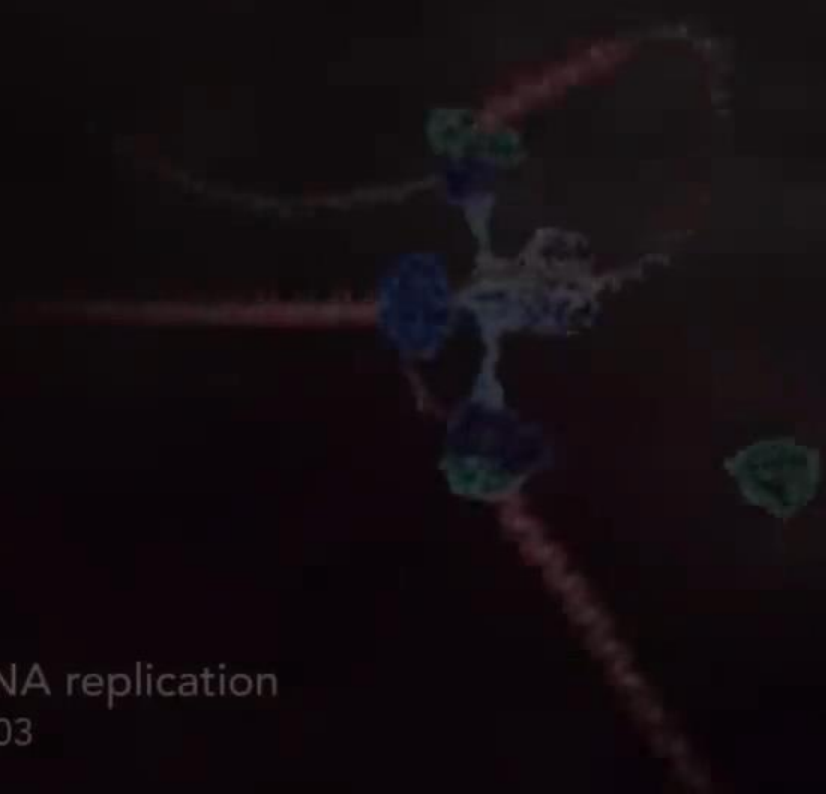
IPAC<sub>23</sub>

**Giovanni De Ninno**

Free-electron lasers:  
How do they work

**wehi.tv**  
DrewBerry

DNA replication  
2003



# Static properties of matter

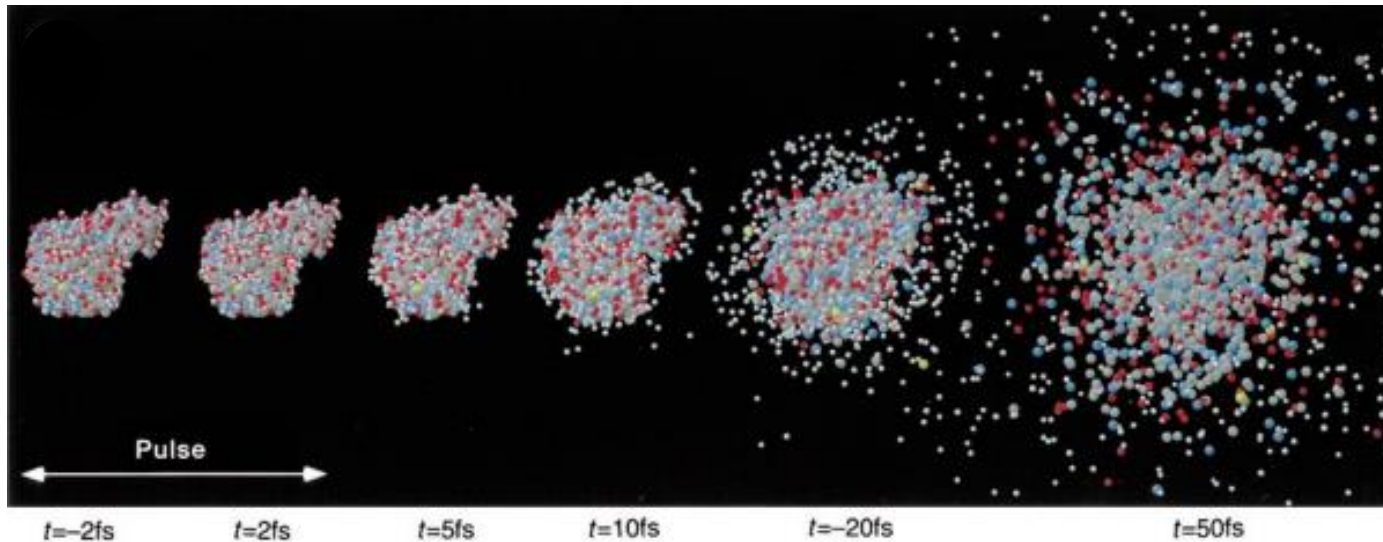
Static picture of a macro-molecule



**Need light !**

Required properties

- Short wavelength (X-ray)
- High energy per pulse
- Ultra-short pulse (few femtoseconds)
- Coherence



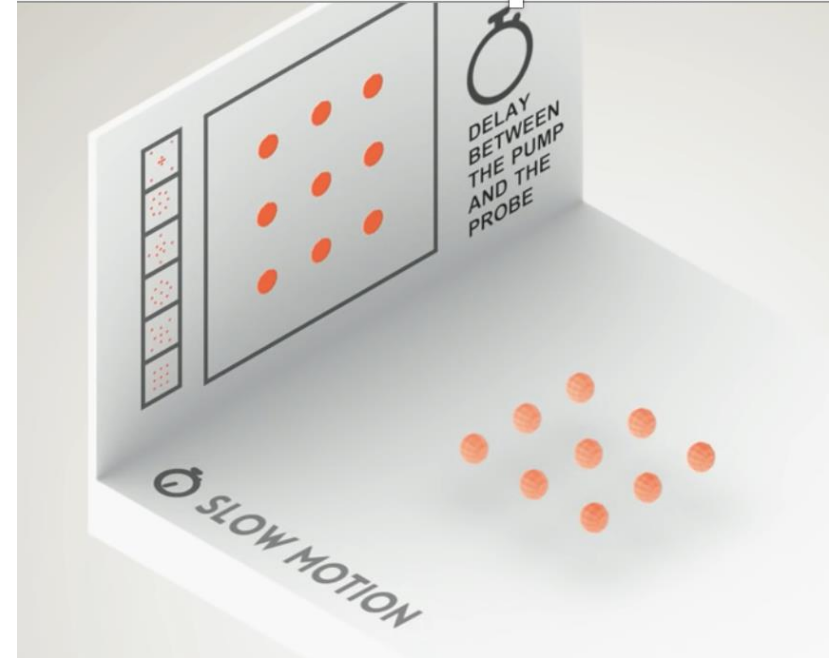
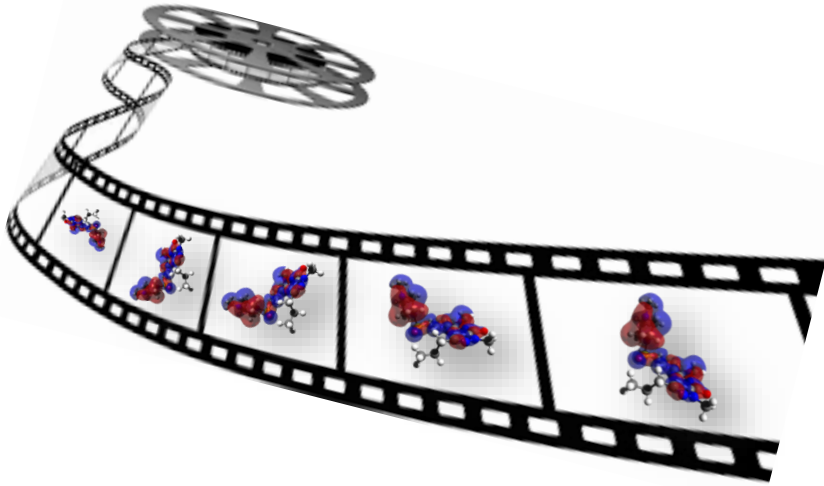
# Pump-probe technique

<https://www.youtube.com/watch?v=YTj4Hi1HdJQ>

# Dynamical properties of matter

Dynamics of a molecular, atomic or electronic process

*Courtesy of C. Vozzi*



## Required properties

- Ultra-short pulses (few femtoseconds)
- Monochromaticity
- Defined polarization
- Stability and reproducibility

# Want list

In order to be suited for the study of both static and dynamical matter properties, a light source should produce pulses with the following properties:

- Short-wavelength (X-rays)
- High-energy
- Ultra-short (few femtoseconds, or less)
- Coherence
- Monochromaticity
- Tunability in wavelength
- Defined polarization
- Stability and reproducibility

# Motivation

In the the **IR/UV spectral region**, the large majority of previously mentioned properties can be obtained by means of conventional table-top lasers



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However, in the **VUV/X-ray spectral domain** only light sources based on “free” accelerated electrons are able to meet all requirement

# Motivation

In the the **IR/UV spectral region**, the large majority of previously mentioned properties can be obtained by means of conventional table-top lasers

However, in the **VUV/X-ray spectral domain** only light sources based on “free” accelerated electrons are able to meet all requirement

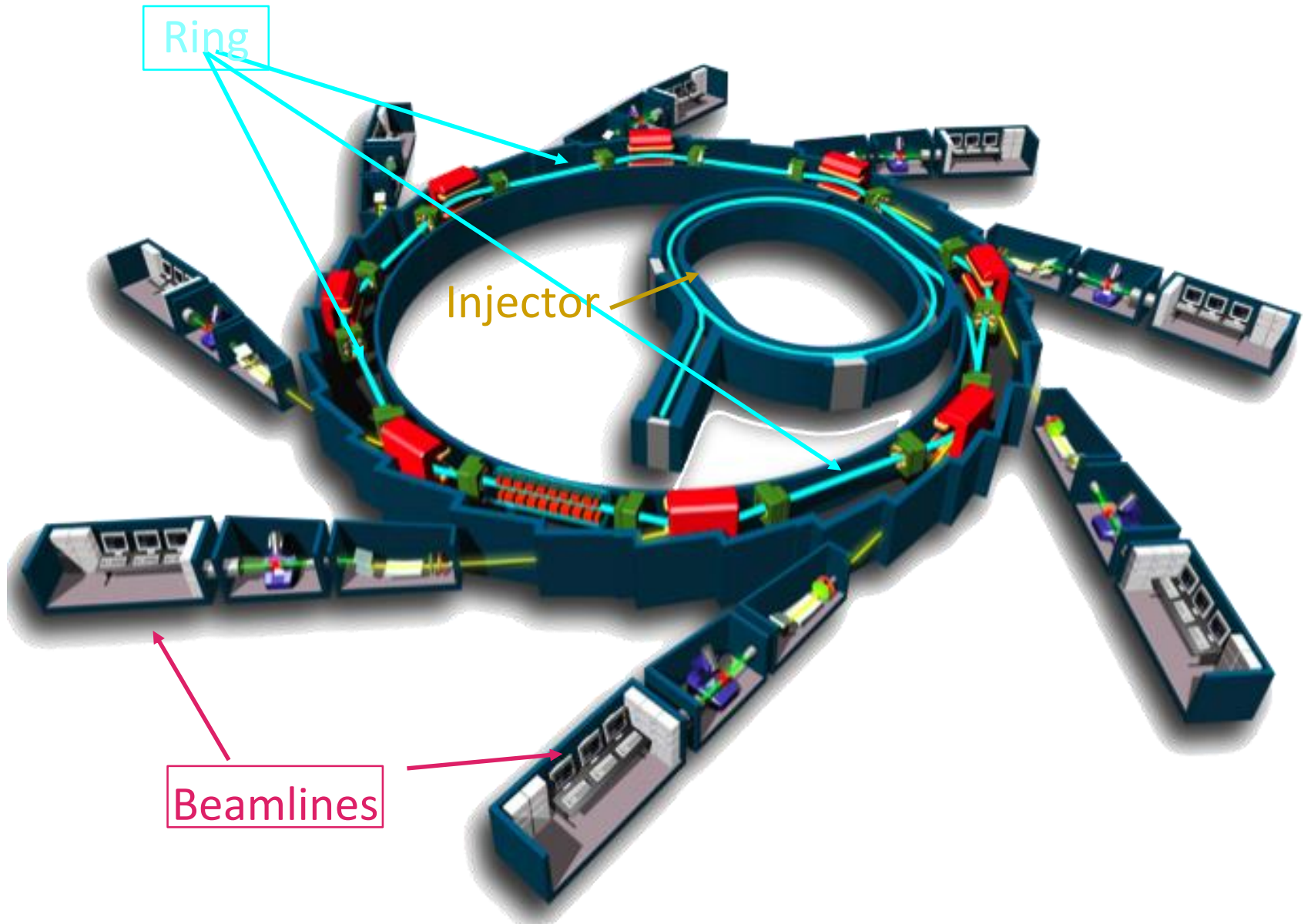
During this lecture, we will review the principle, the challenges and the perspectives of the light sources built with the aim of generating radiation with laser-like properties in the VUV/X-ray spectral domain, i.e.

**free-electron lasers**

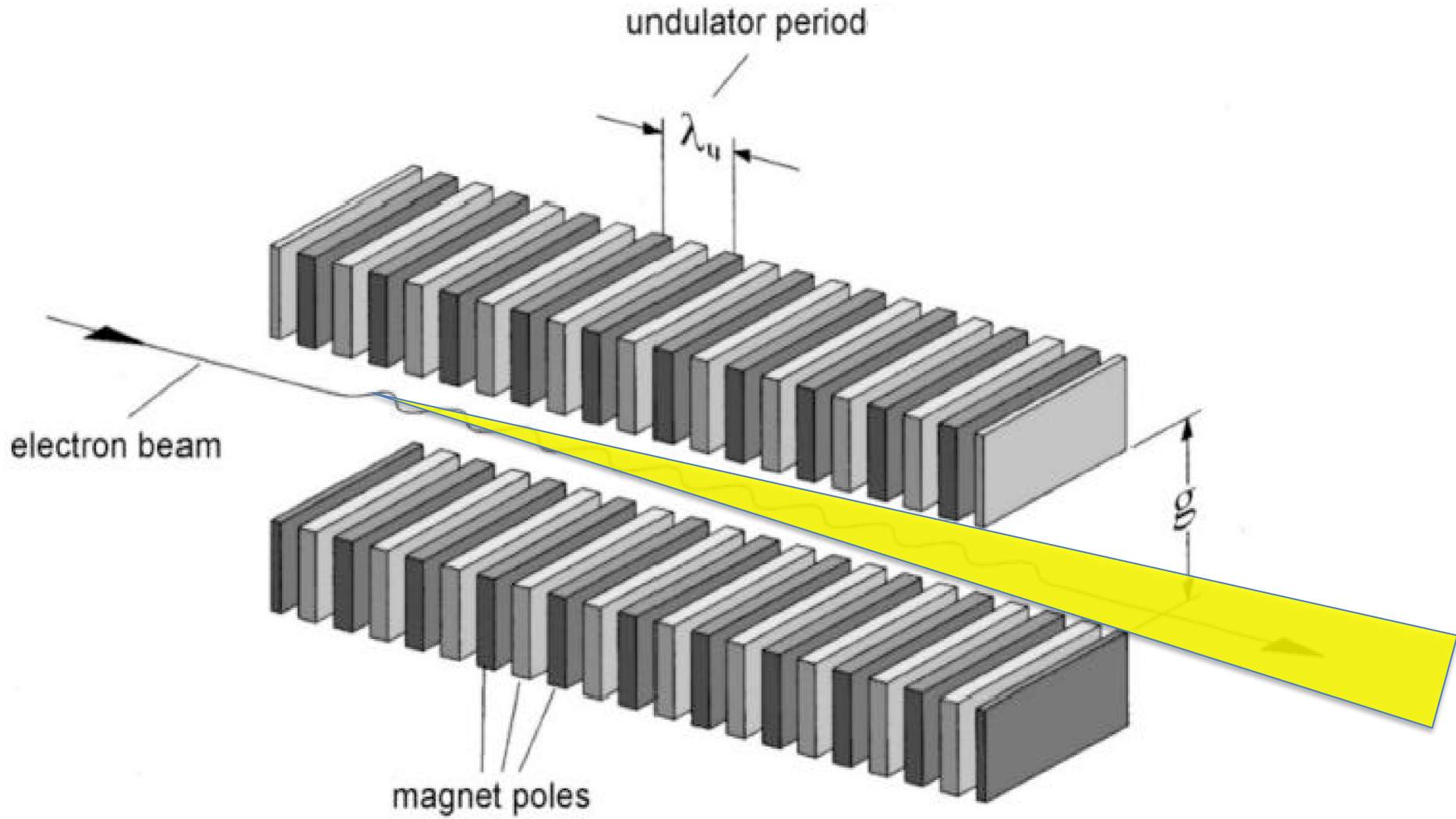
# Outline

- From synchrotron radiation to free-electron lasers (**FEL's**)
- **Basic principles** of FELs
- **Different schemes** for producing FEL light
- **Properties** of FEL radiation

# Synchrotron



# Undulator



# Undulator's spectral properties

Emitted wavelength

$$\lambda_n = \frac{\lambda_u}{2n\gamma^2} (1 + K^2)$$

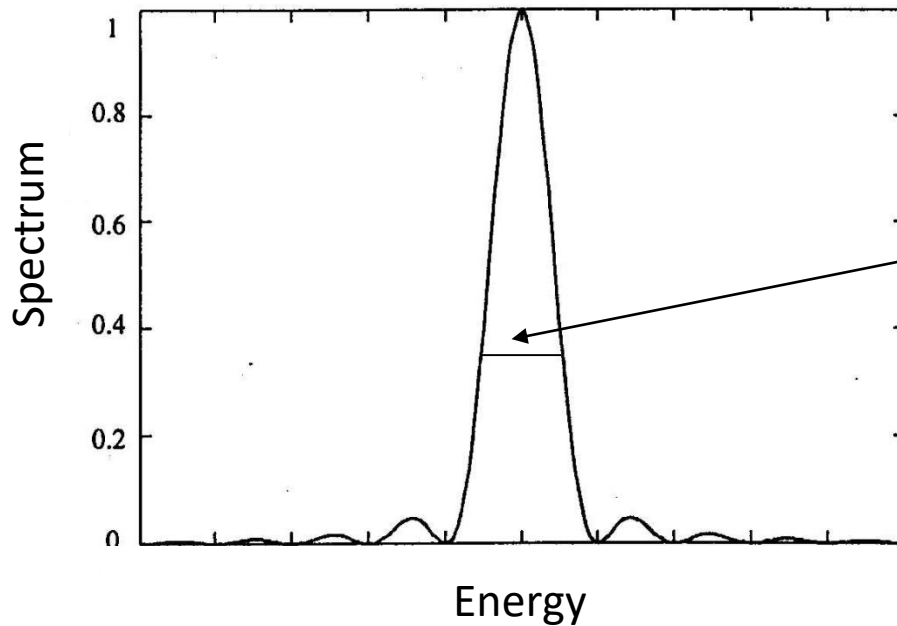
$\lambda_u$  undulator's period

$\gamma$  electrons' energy

$K \propto \lambda_u B_0$  undulator's strength

$B_0$  peak undulator's field

$n$  is an integer



Relative bandwidth

$$\frac{\Delta E}{E} = \frac{1}{nN_u}$$

$N_u$ : number of undulator's periods

# Undulator's spectral properties

Emitted wavelength

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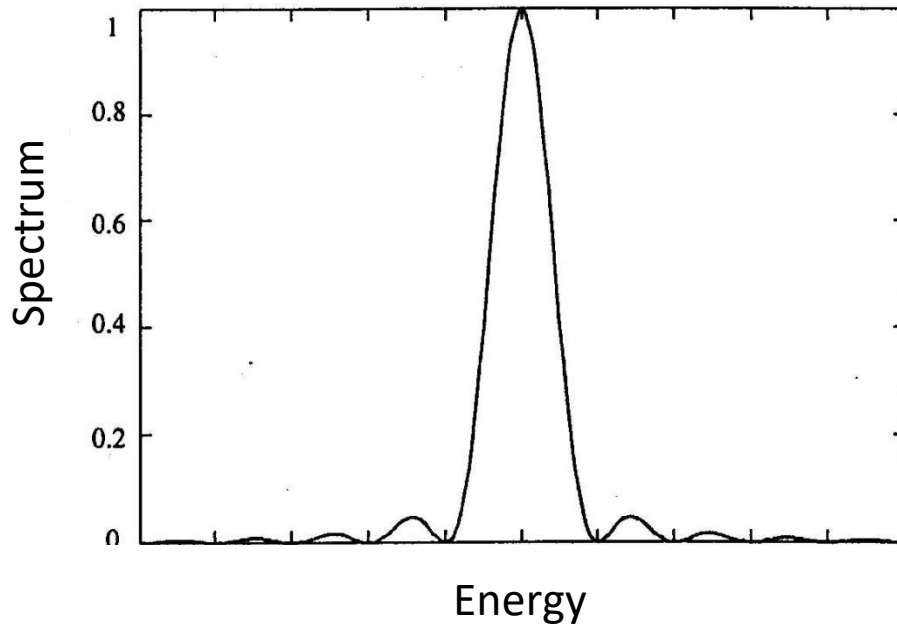
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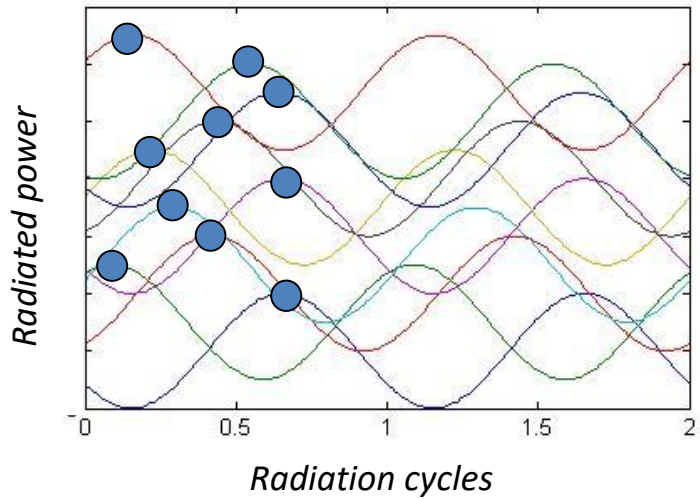
Radiated power proportional to  
the number of electrons



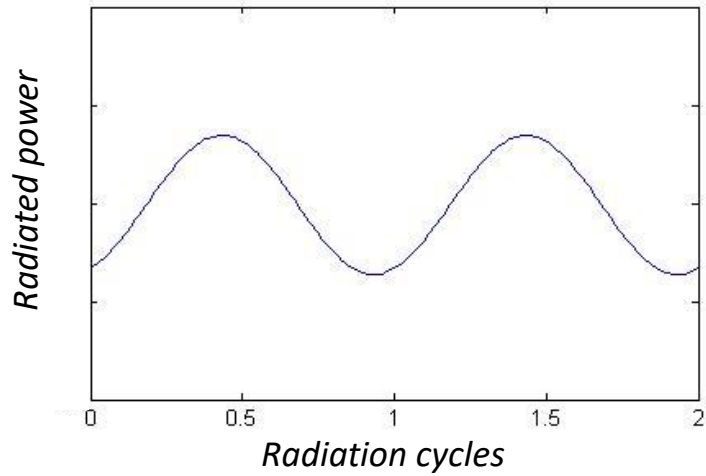
Incoherence!

# A question of coherence...

**Incoherent emission**  
(Synchrotron radiation)

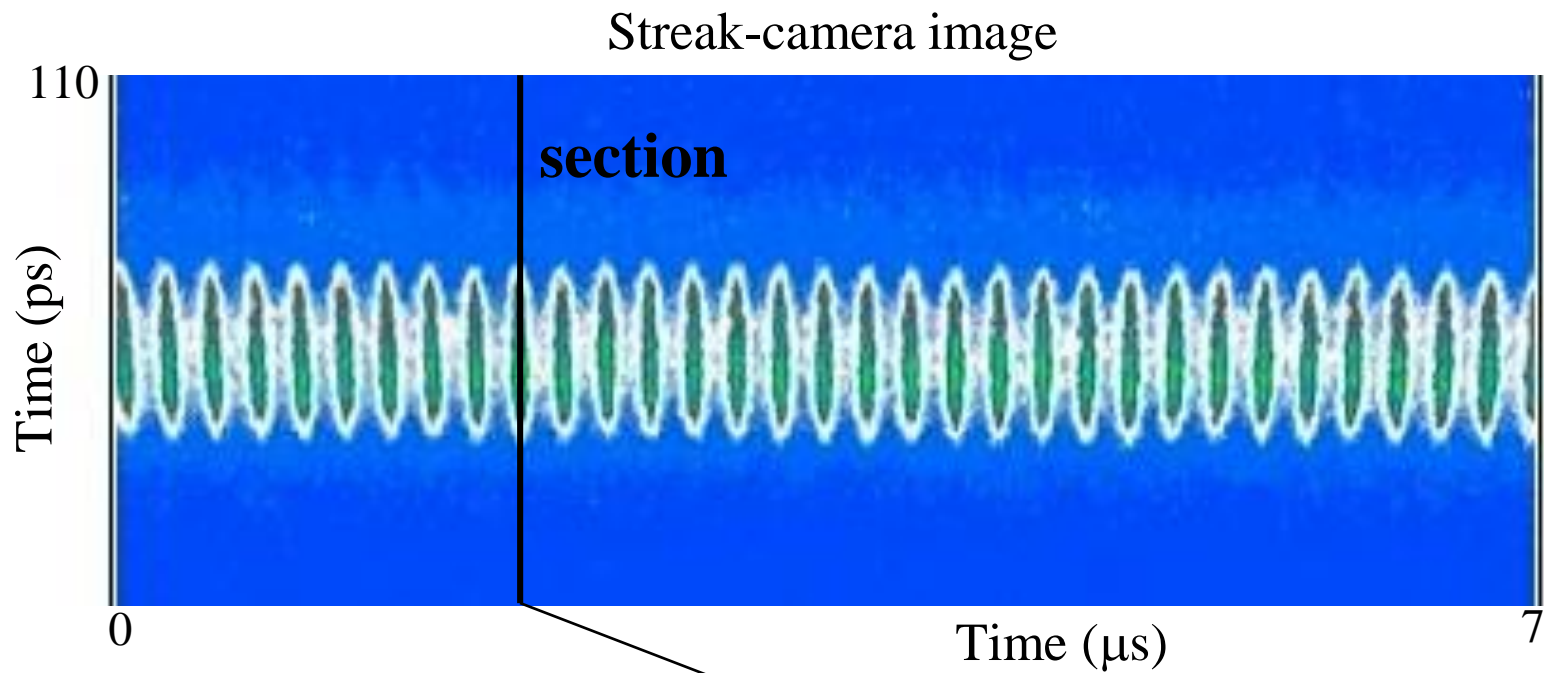


**Radiated power**  
linear with electron current

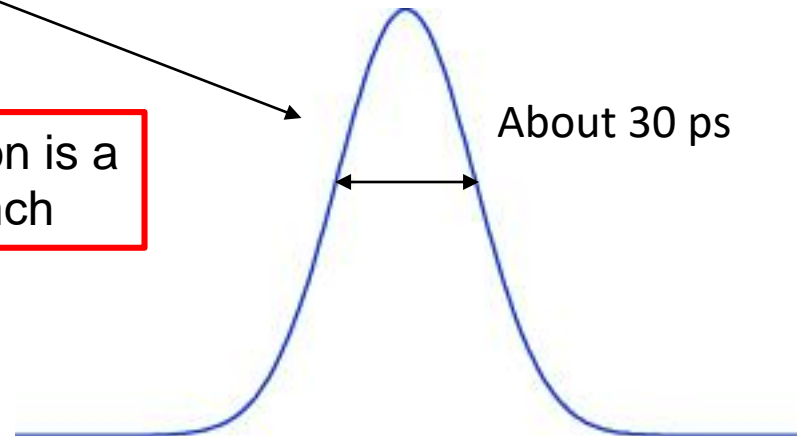




# Temporal structure



Time structure of synchrotron radiation is a “replica” of that of the electron bunch



# Synchrotron radiation

**Tunability:** Broad (between IR and X-rays)

**Shot-to-shot reproducibility:** Very good

**Polarization:** Fully adjustable

**Repetition rate:** hundreds of MHz

**Peak brightness:**  $\approx 10^{25}$  ph/s/0.1%BW/mm<sup>2</sup>/mrad<sup>2</sup> (at 10 keV)

**Pulse duration:** tens of picoseconds

**Natural spectral resolution:**  $\approx$  few percent

**Coherence:** good transverse, poor longitudinal



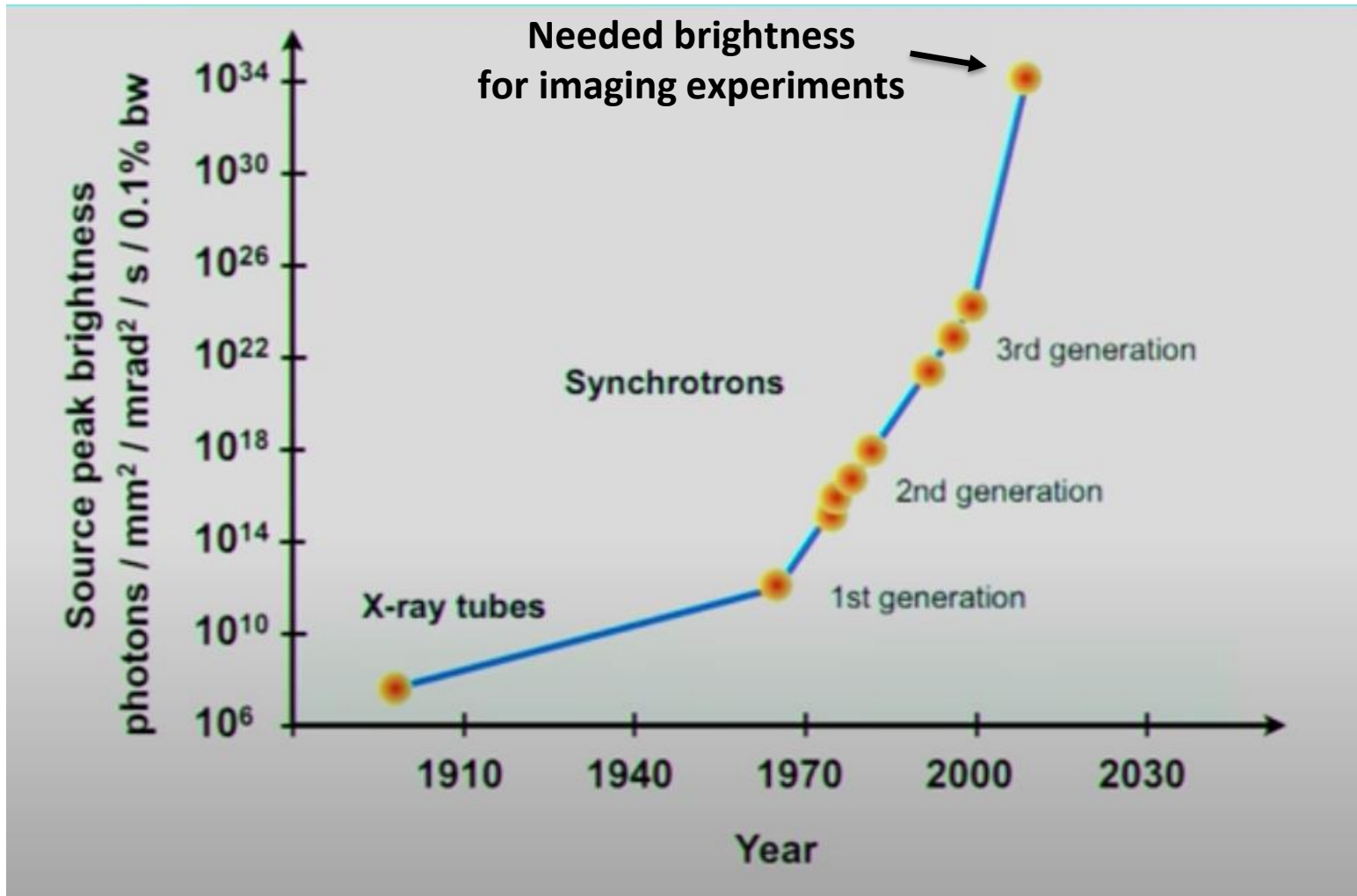
- power stability

- spectral stability

- pointing stability

- low temporal jitter

# Peak brightness



# Synchrotron radiation

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- power stability

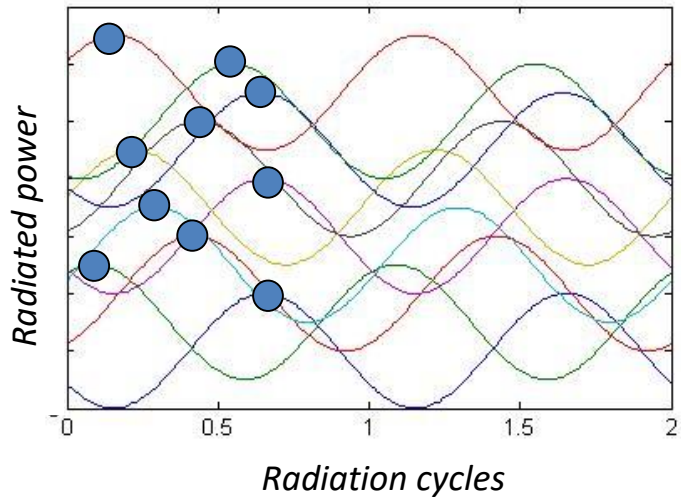
- spectral stability

- pointing stability

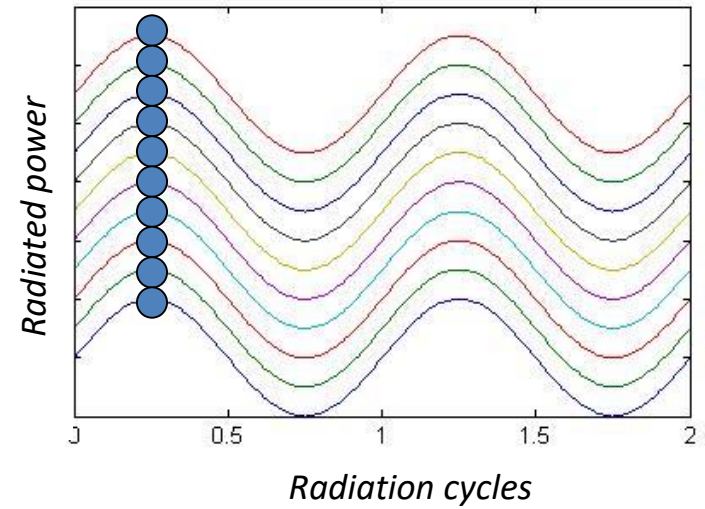
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# A question of coherence...

**Incoherent emission**  
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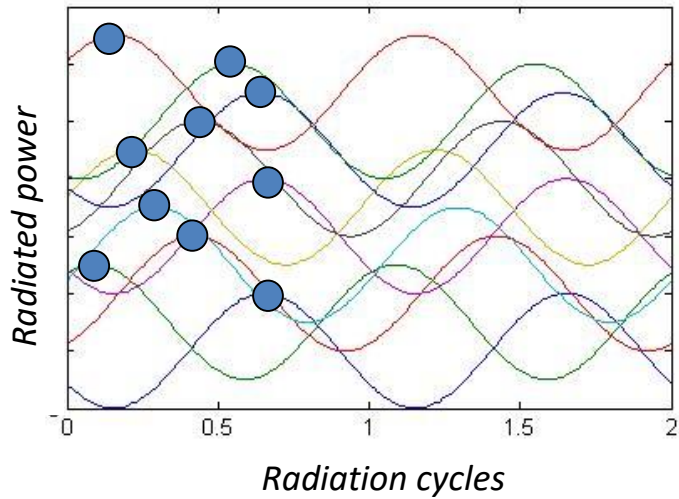


**Coherent emission**

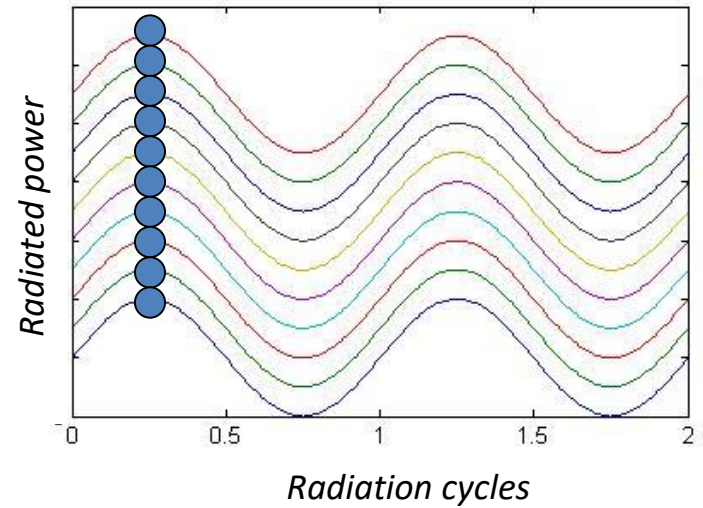


# A question of coherence...

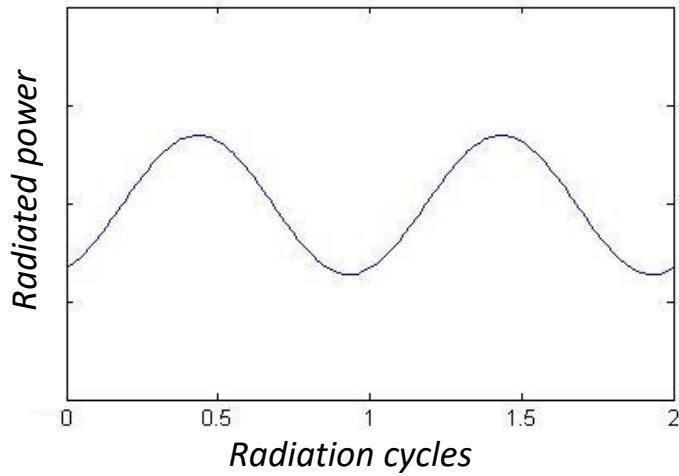
**Incoherent emission**  
(Synchrotron radiation)



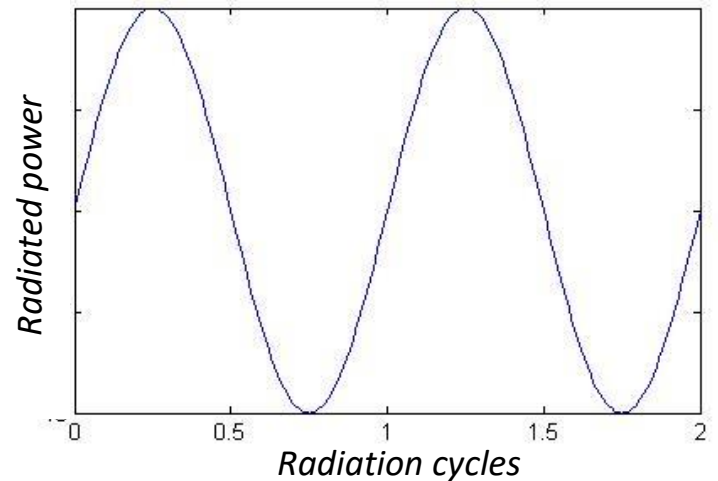
**Coherent emission**



**Radiated power**  
linear with electron current

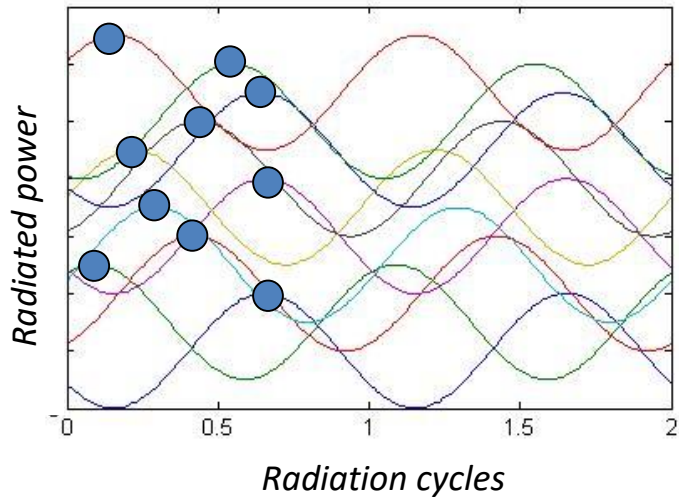


**Radiated power**  
quadratic with electron current

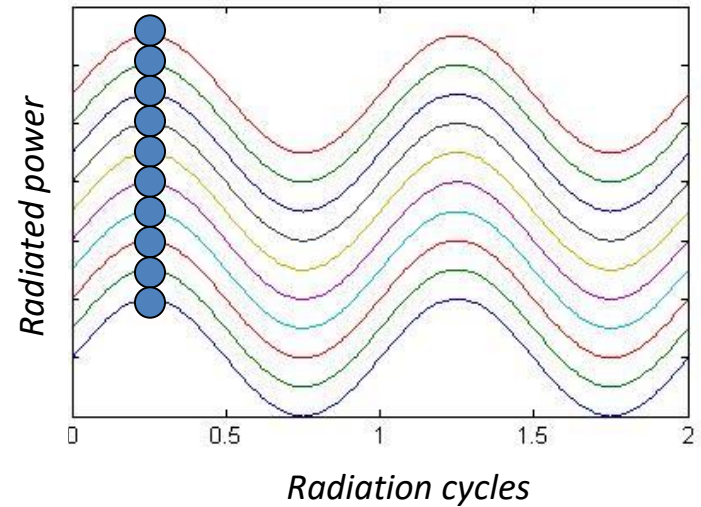


# A question of coherence...

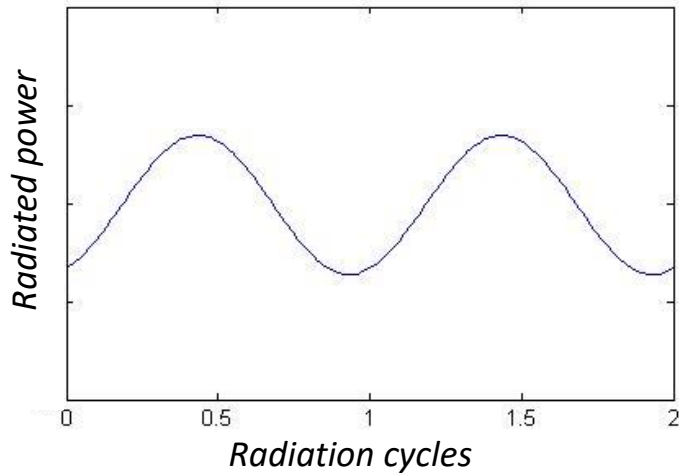
**Incoherent emission**  
(Synchrotron radiation)



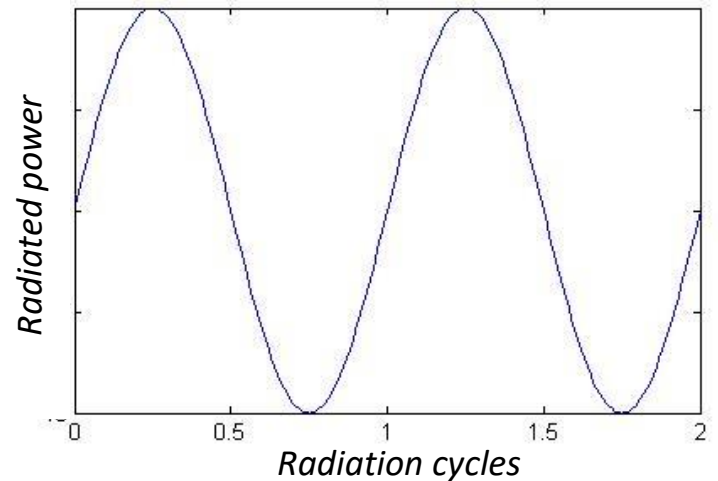
**Coherent emission**  
(Free electron laser)



**Radiated power**  
linear with electron current



**Radiated power**  
quadratic with electron current

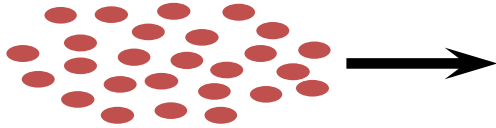


# Fundamentals of FEL's

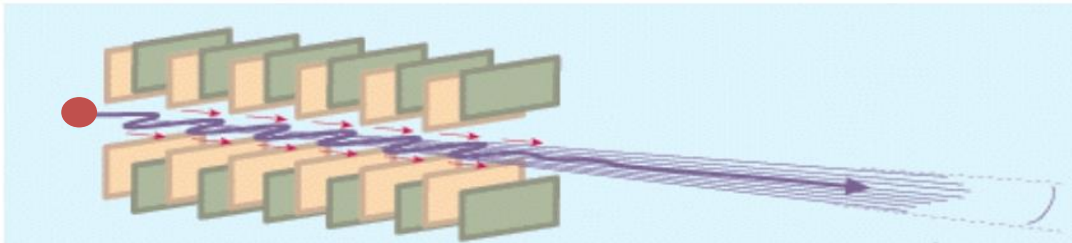


# FEL basic ingredients

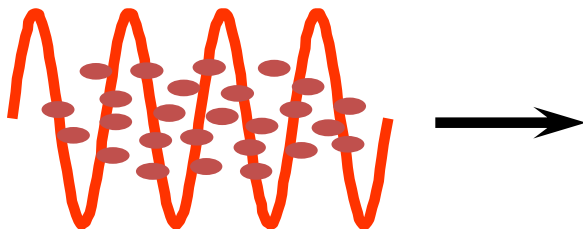
Relativistic electron beam



Undulator



Electromagnetic field co-propagating with the electron beam and **getting amplified** to the detriment of electrons' kinetic energy

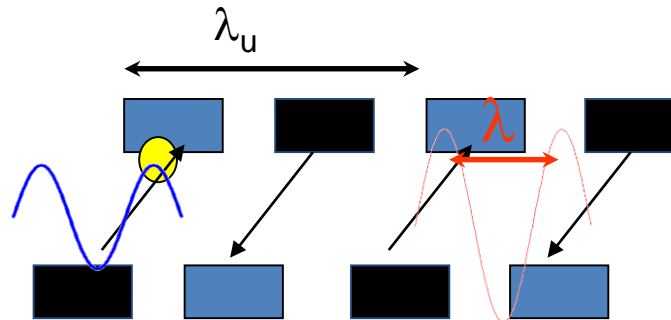


# Light amplification: resonance condition

Electrons move slower than the co-propagating electromagnetic wave (slippage)

## Resonance condition:

The slippage between the electromagnetic wave and a given electron, while the electron moves forward by one undulator period ( $\lambda_u$ ), must be equal to the field wavelength  $\lambda$ .



When this happens, the relative phase between the radiation emitted by the electron and the co-propagating field remains constant (constructive interference)

Resonance condition



$$\lambda_n = \frac{\lambda_u}{2n\gamma^2} (1 + K^2)$$

# Theoretical framework

Evolution of electron's momentum and energy:

$$\frac{d(gm\vec{v})}{dt} = e \frac{\dot{\vec{E}}}{\dot{E}} \vec{E} + \frac{v}{c} \cdot \left( \vec{B}_{und} + \vec{B} \right)$$

$\vec{E}, \vec{B}$

electric and magnetic fields  
of the co-propagating wave

$$\frac{d(gmc^2)}{dt} = e \vec{E} \times \vec{v}_\perp$$

Evolution of the co-propagating wave (1D)

$$\frac{\partial}{\partial z} \left( \frac{\rho}{z^2} - \frac{1}{c^2} \frac{\rho}{t^2} \right) \ddot{\vec{A}}(z, t) = -\frac{4\rho}{c} \vec{J}_\perp(z, t)$$

$\vec{A}$

vector potential

$\vec{J}_\perp$

electrons' transverse current

# Theoretical framework

$$\vartheta_j = \left( \frac{2\pi}{\lambda} + \frac{2\pi}{\lambda_w} \right) z - \omega t$$

phase of the  $j$ -th electron in the combined “ponderomotive” (radiation + undulator) field

$$p_j = \frac{g_j - g_r}{g_r}$$

$g_j$  energy of the  $j$ -th electron

$g_r$  resonance energy

Under some approximations the previous equations can be reduced to

$$\begin{aligned} \dot{q}_j &= p_j \\ \dot{p}_j &= - \left( A \exp[iq_j] + c.c \right) \\ \dot{A} &= \langle \exp[-iq] \rangle \circ b \end{aligned}$$

**Bunching**

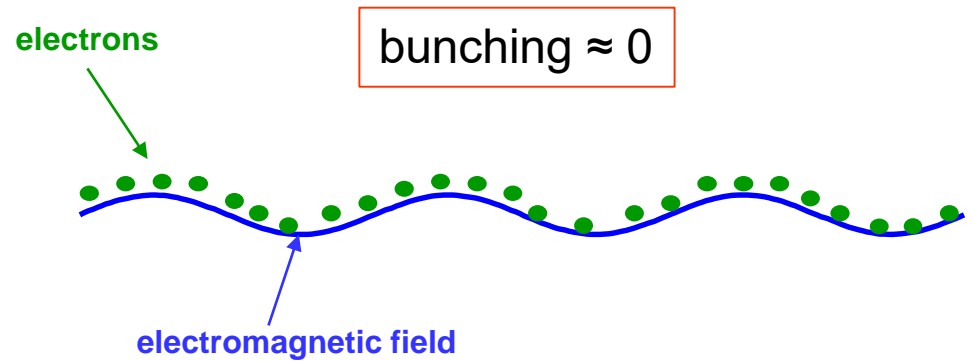
$$b = \frac{1}{N} \sum_{j=1}^N \dot{a} \exp(-iq_j)$$

N: electrons' number

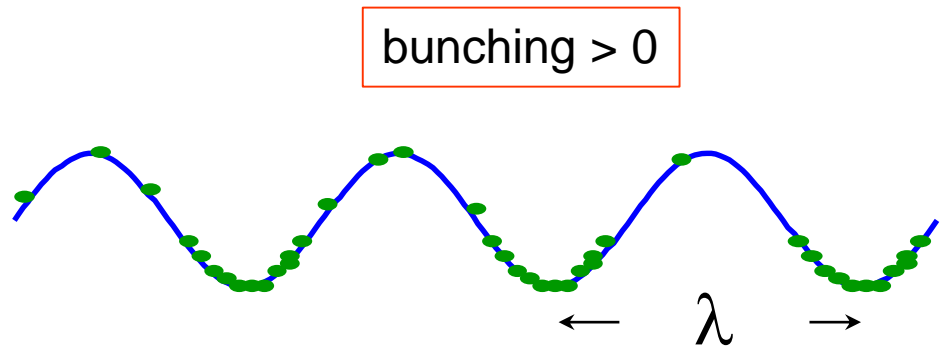
# Bunching as source term

Initial condition:

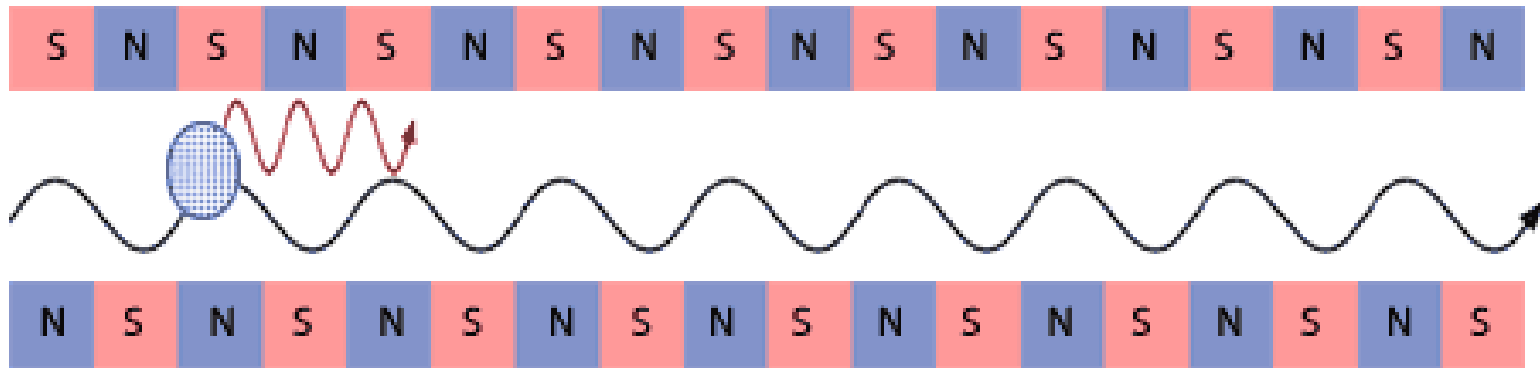
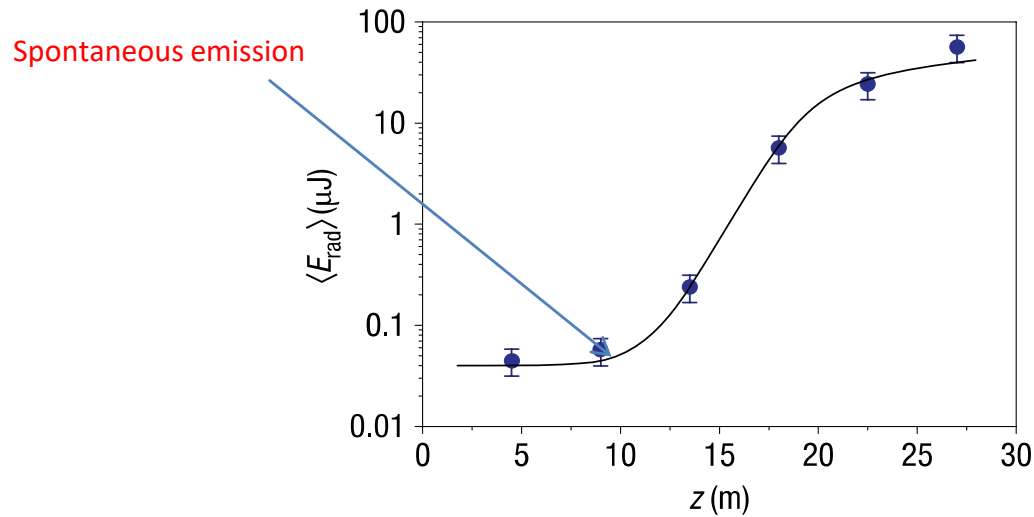
- **weak** electromagnetic field
- electrons **randomly** distributed in phase



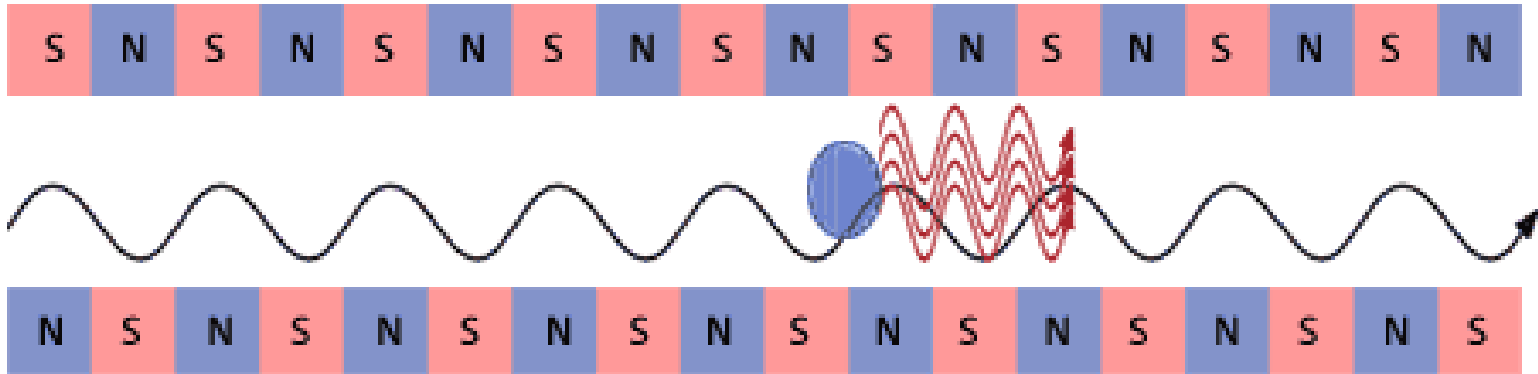
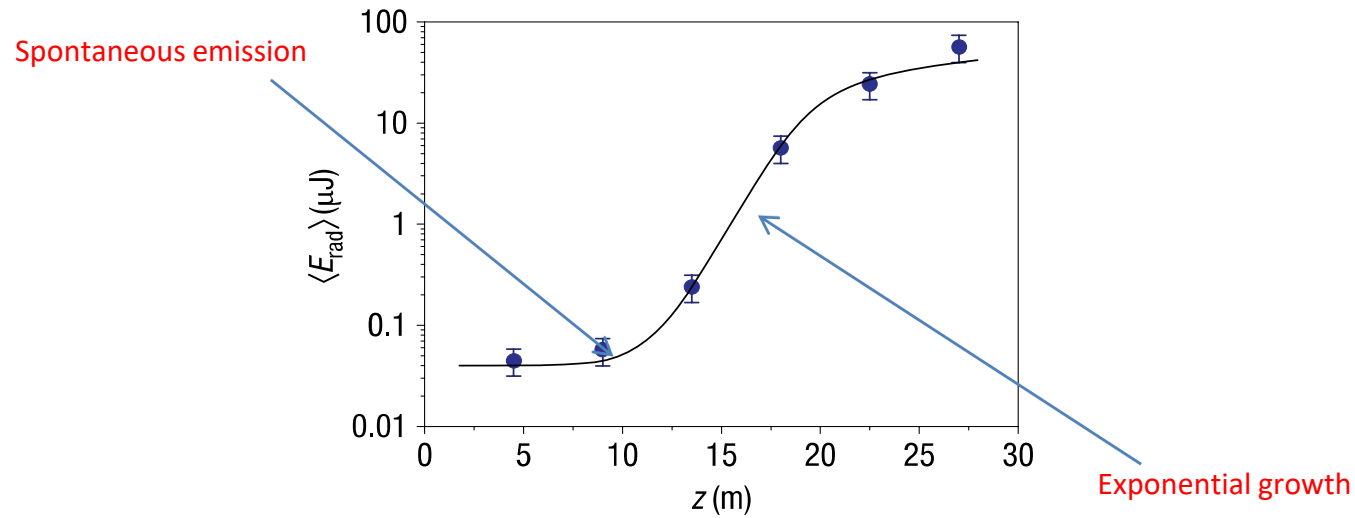
Electrons start bunching on a  $\lambda$  scale  
and the wave is amplified



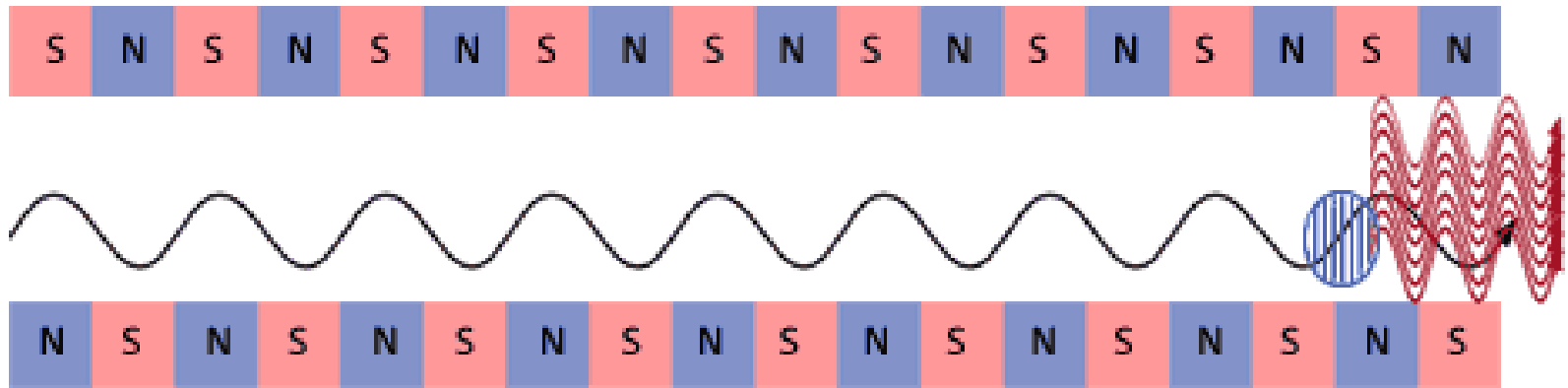
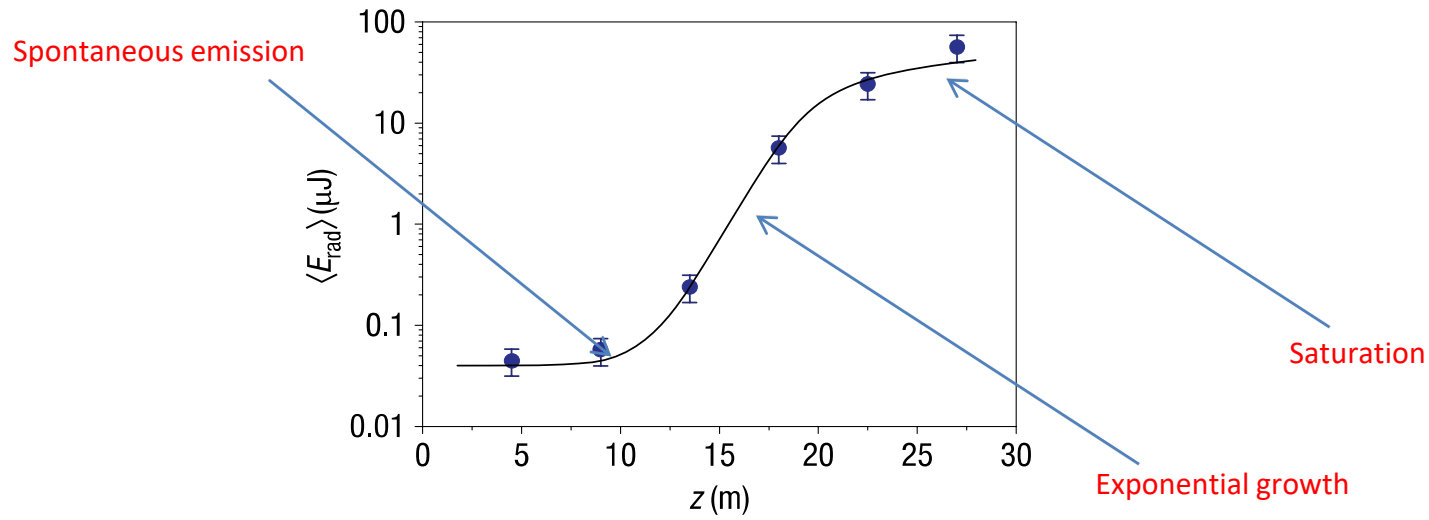
# Self-amplified spontaneous emission



# Self-amplified spontaneous emission

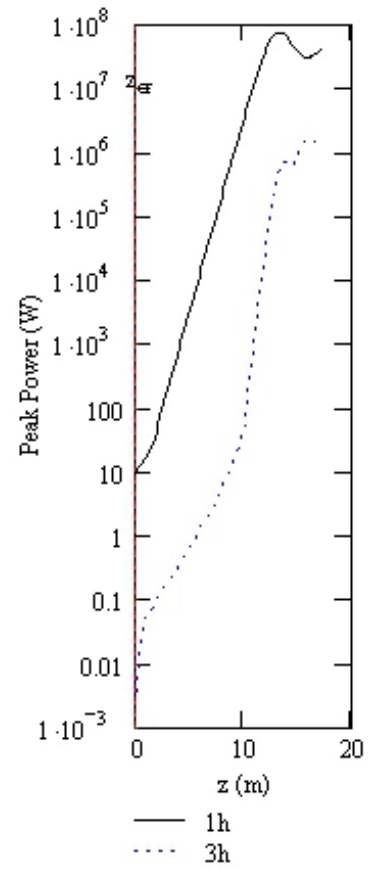
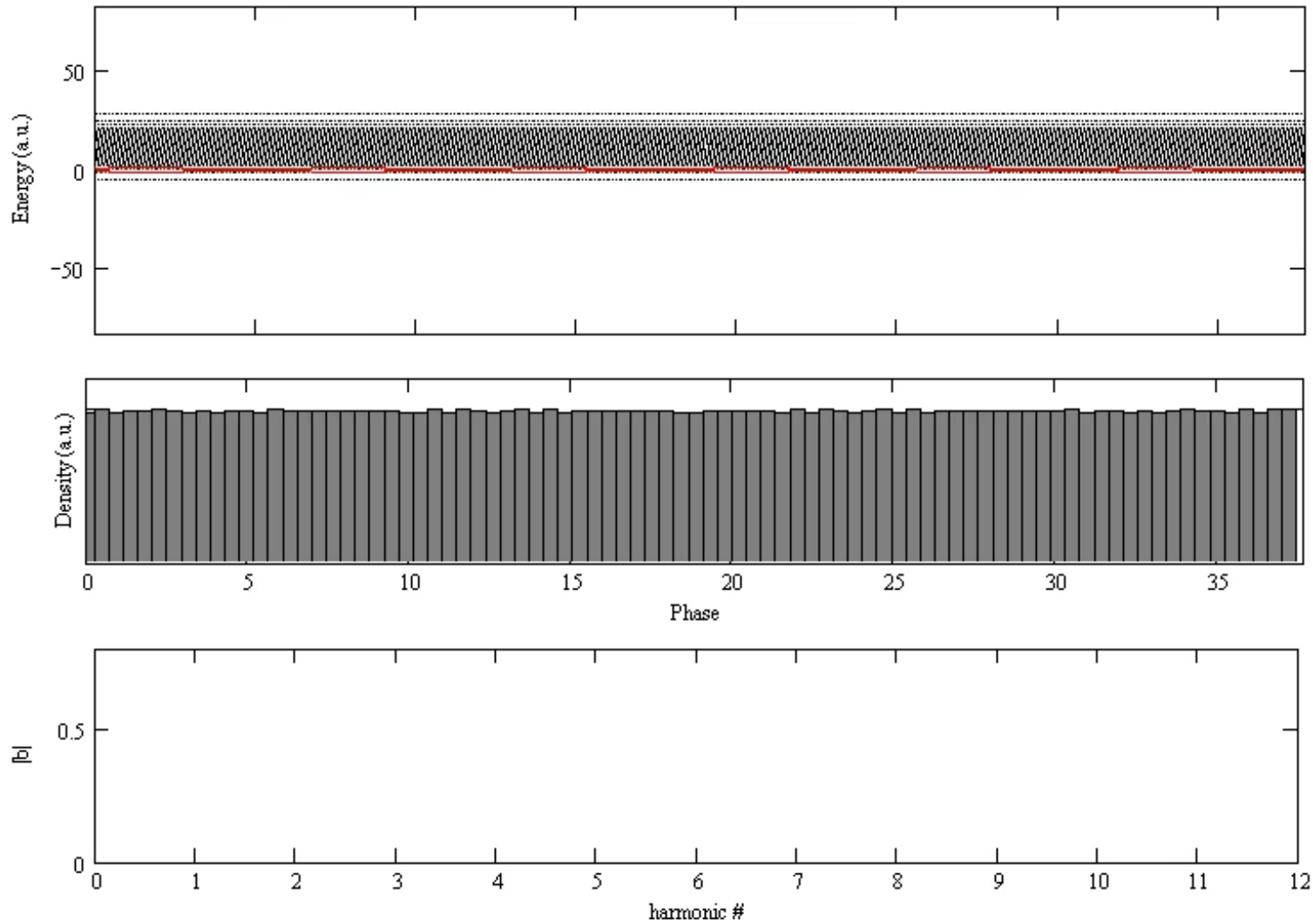


# Self-amplified spontaneous emission

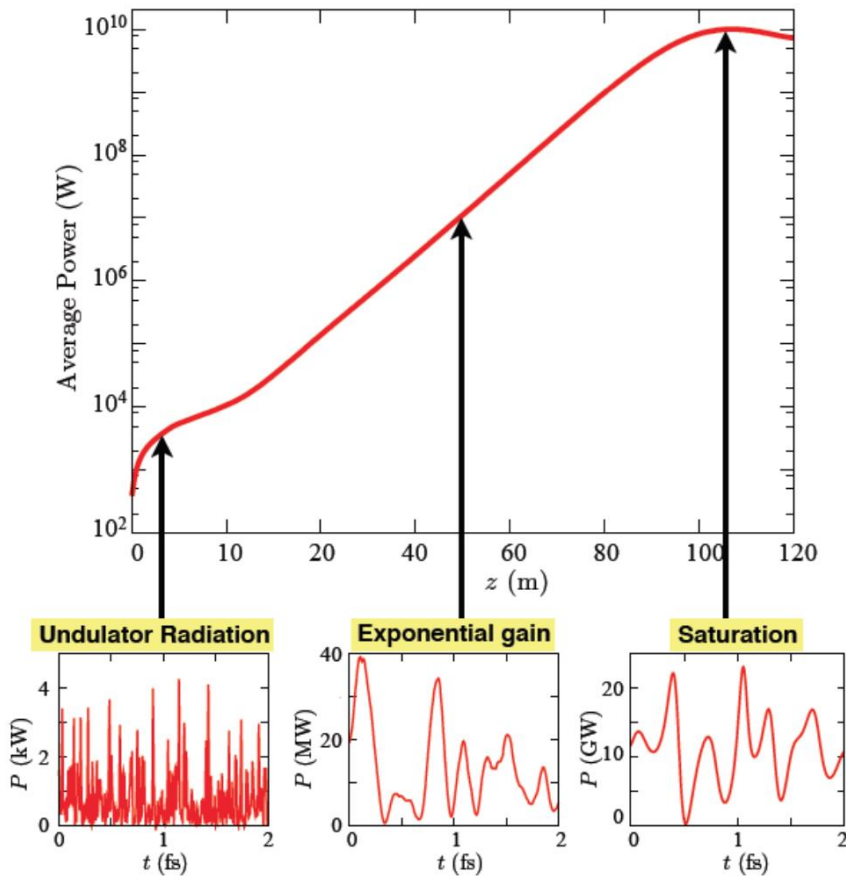




# Bunching evolution

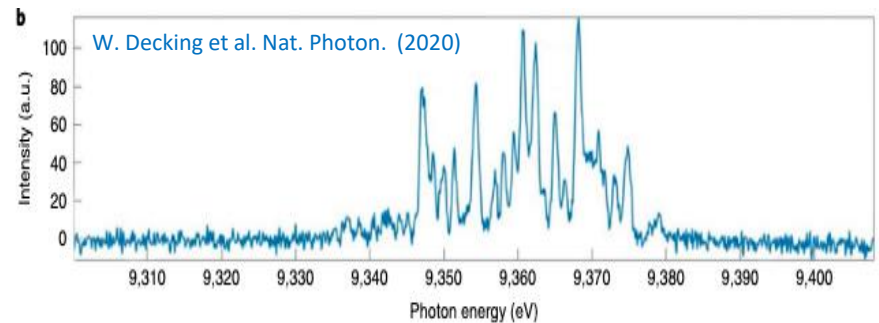


# Self-amplified spontaneous emission (SASE)



- X-ray emission
- Output power: several of GW
- Pulse duration: tens of fs
- Spectral bandwidth:  $10^{-3}$
- High degree of transverse coherence
- Limited longitudinal coherence
- Strong shot-to-shot fluctuations

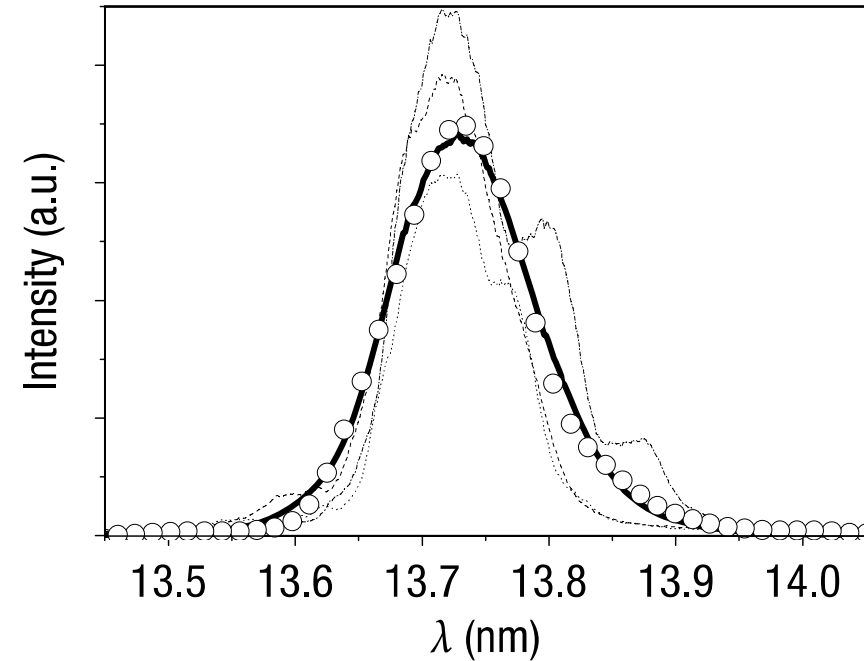
XFEL, Hamburg (Germany)



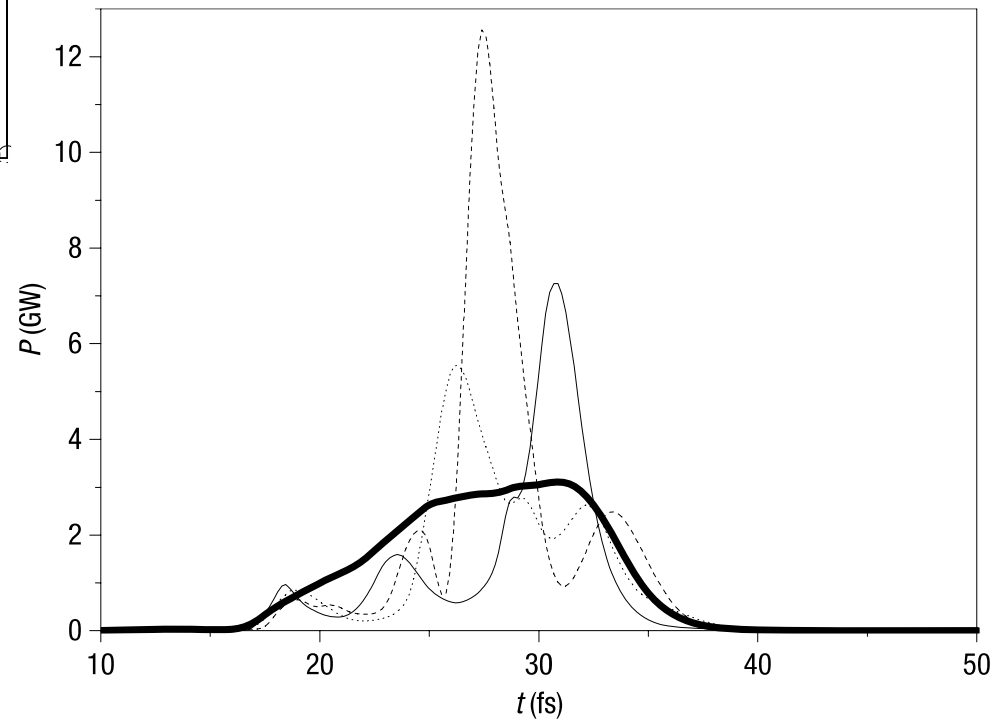
# SASE spectral and temporal performance

(FLASH FEL, Hamburg, Germany)

Spectral profile



Temporal profile



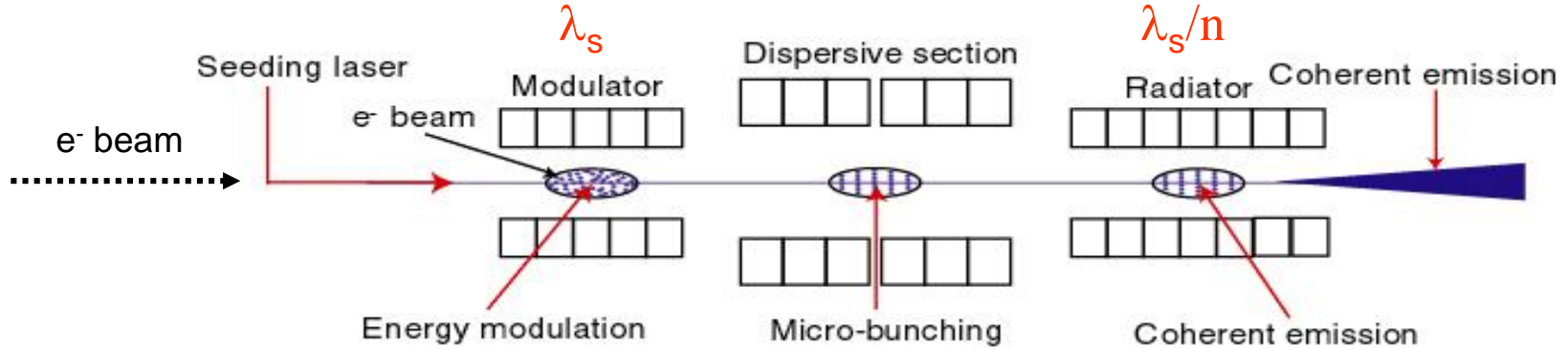
How to overcome SASE limits?

Use an **external coherent seeding** signal  
(instead of spontaneous emission)  
to generate electron bunching

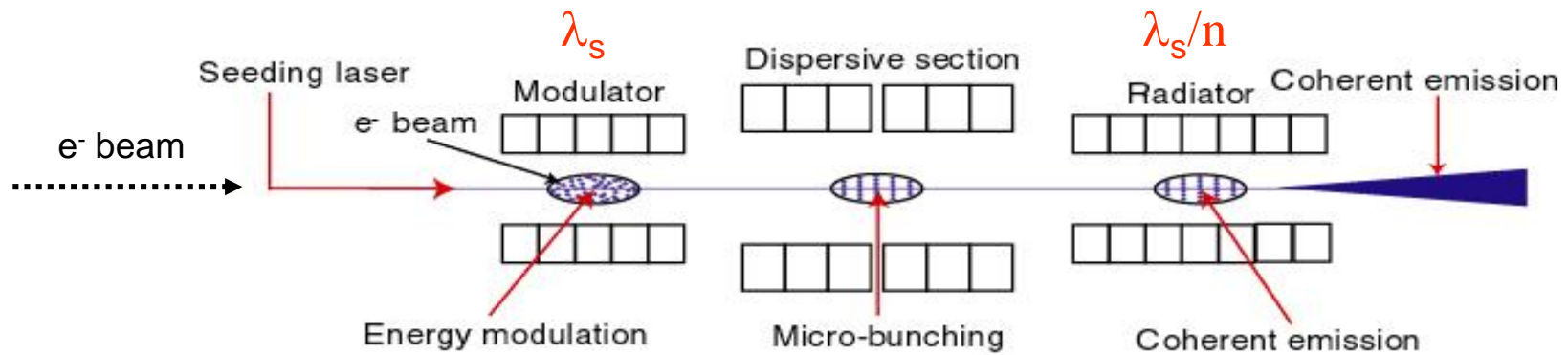


Seeded High-Gain Harmonic Generation (**HGHG**)

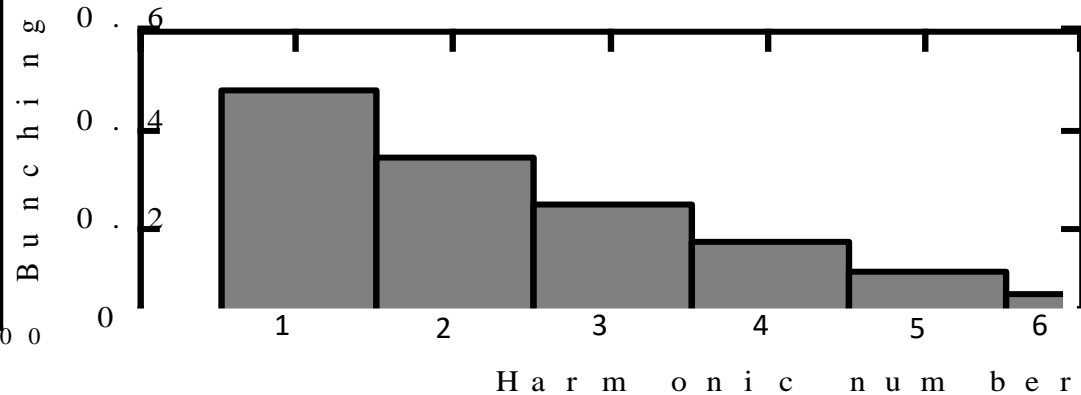
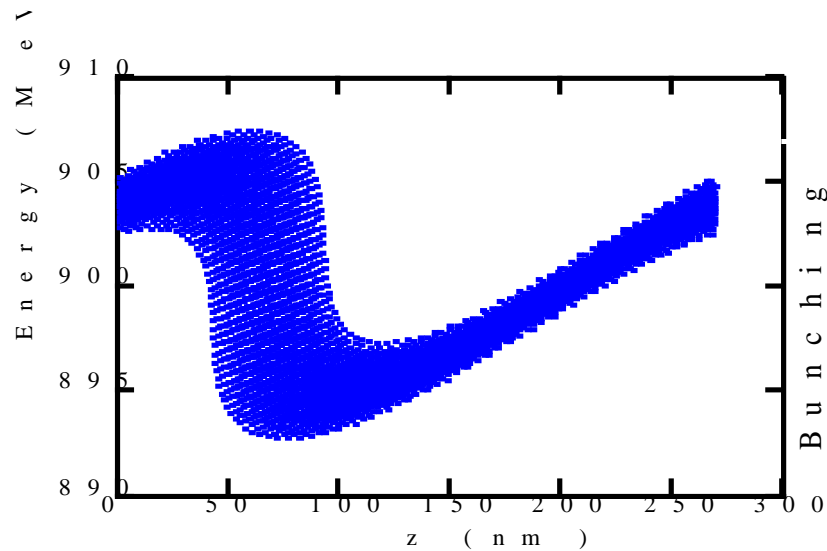
# Seeded harmonic generation: the principle



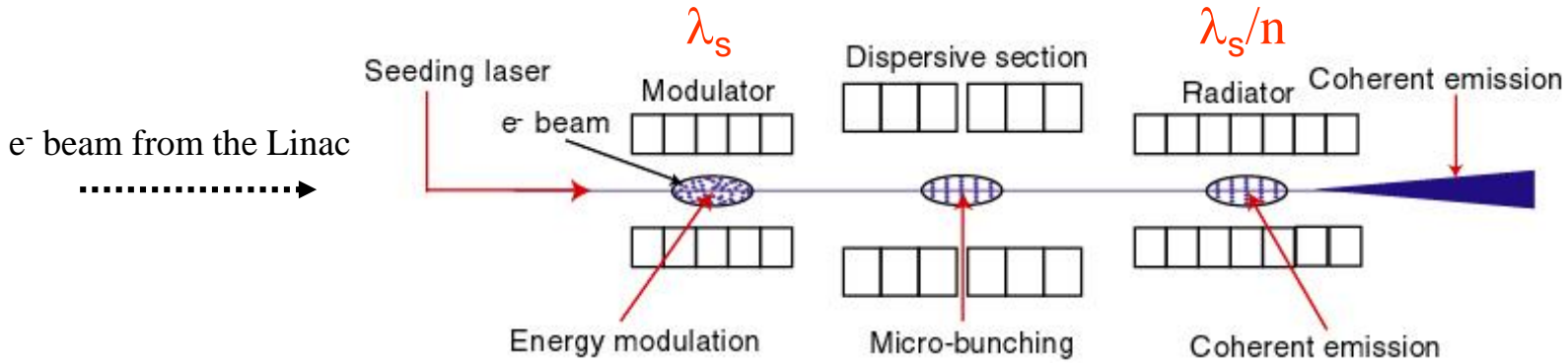
# Seeded harmonic generation: the principle



Dispersive section

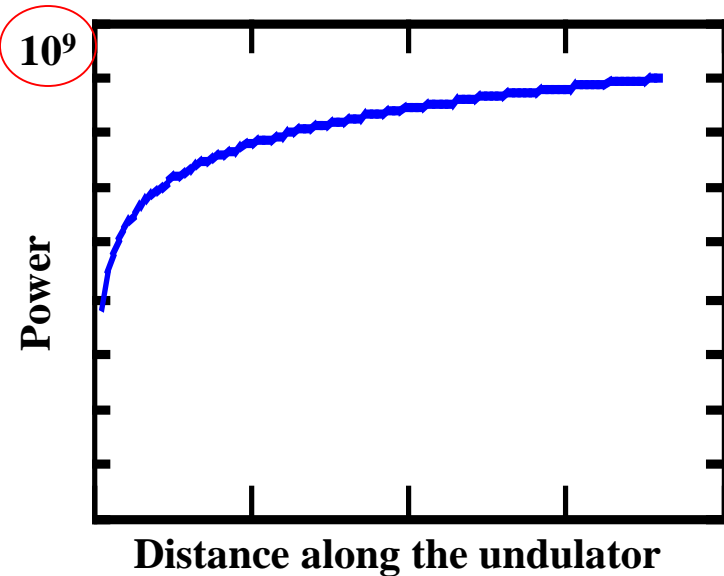


# Seeded harmonic generation: the principle



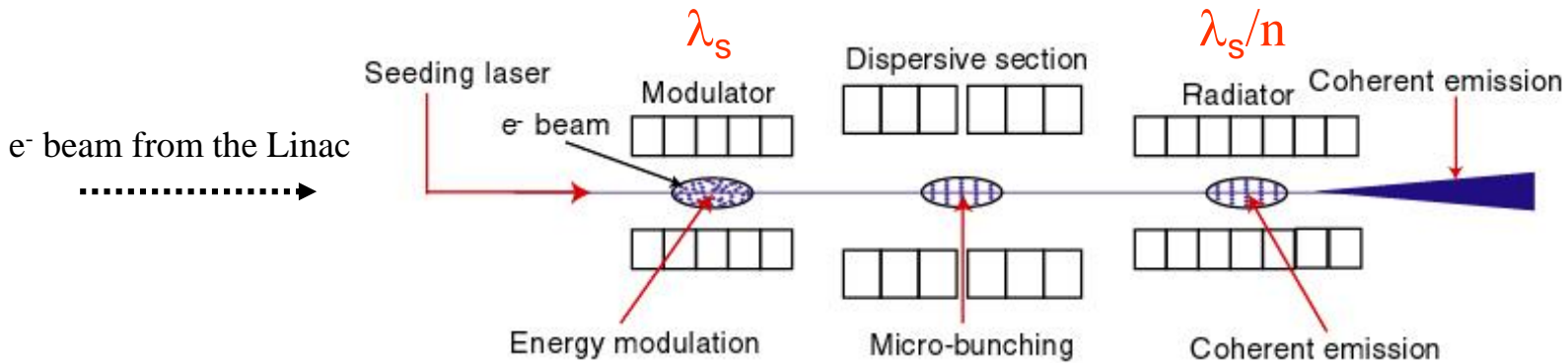
Radiator

Evolution of the harmonic signal



~ GW output signal

# Seeded harmonic generation: the principle



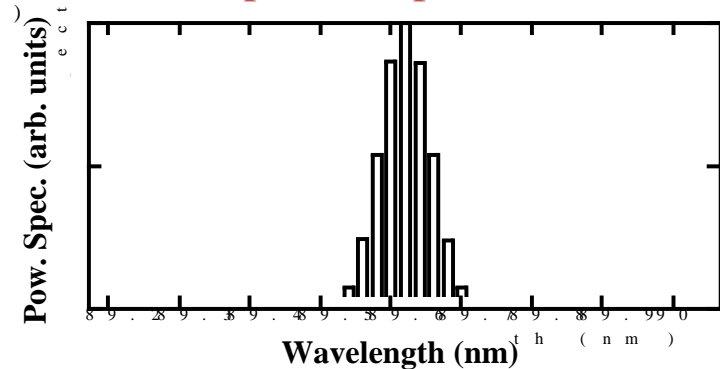
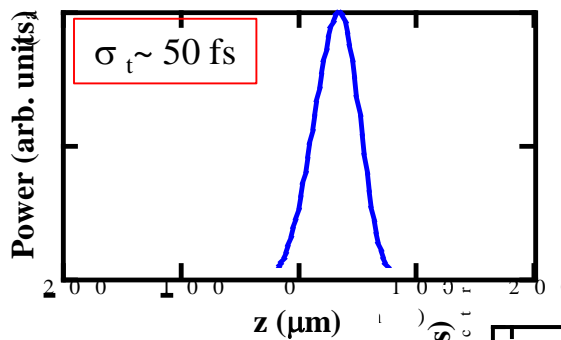
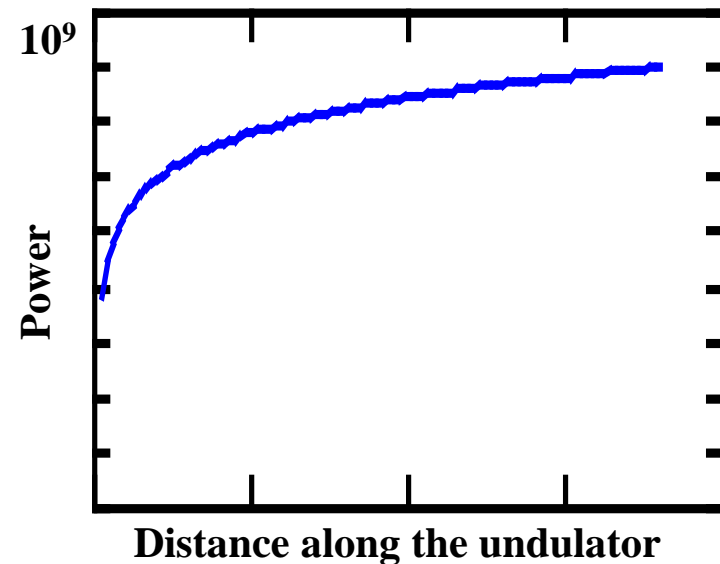
Radiator

Evolution of the harmonic signal

Temporal profile

Transform limit

Spectrum profile

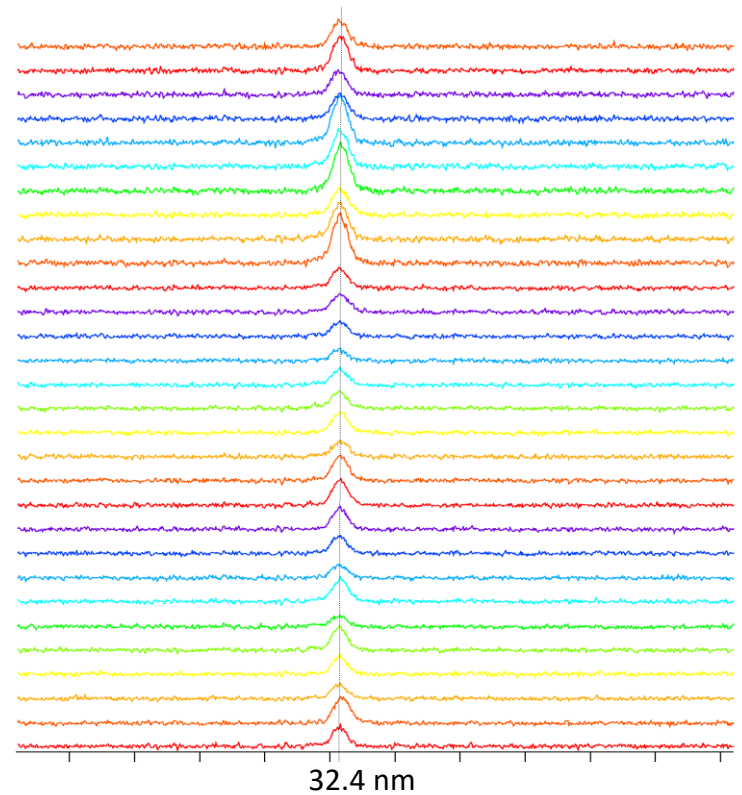
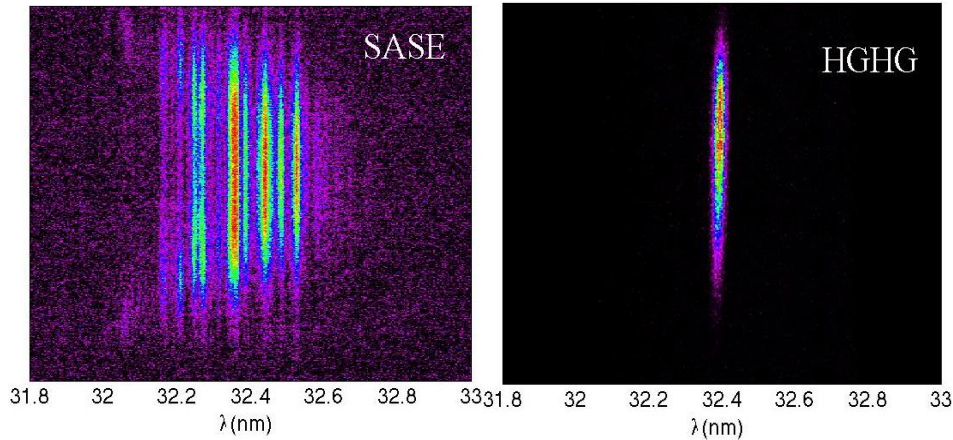




# Spectral bandwidth

32.4 nm

FERMI FEL operated in SASE and HGHG mode



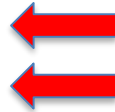
Spectral peak jitter:  $< 1$  part in  $10^4$

Spectral width: 0.1%

# Electron beam quality

## Electron beam parameters (FERMI FEL, Trieste, Italy)

Charge	800	pC
Peak current	1	kA
Energy	1.5	GeV
Energy spread	150	keV
Emittance	1	mm mrad

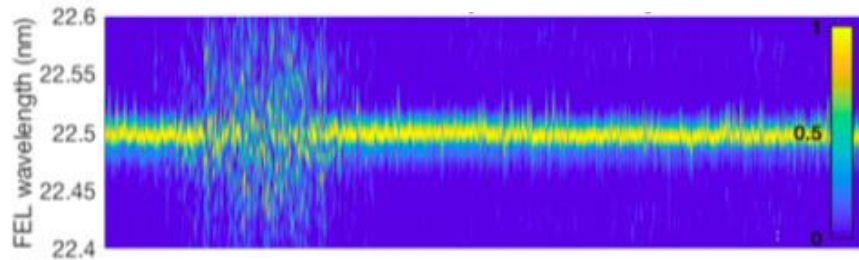


Need to monitor and control the growth of micro-bunching instability!

# Micro-bunching instability

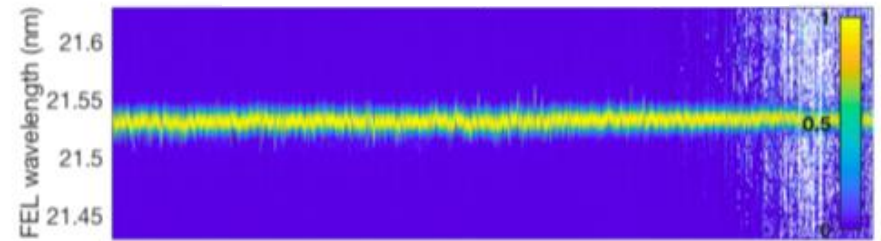
(FERMI FEL, Trieste, Italy)

Strong micro-bunching perturbation



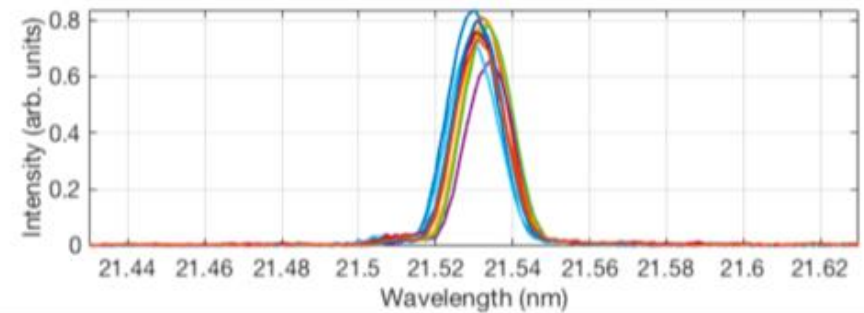
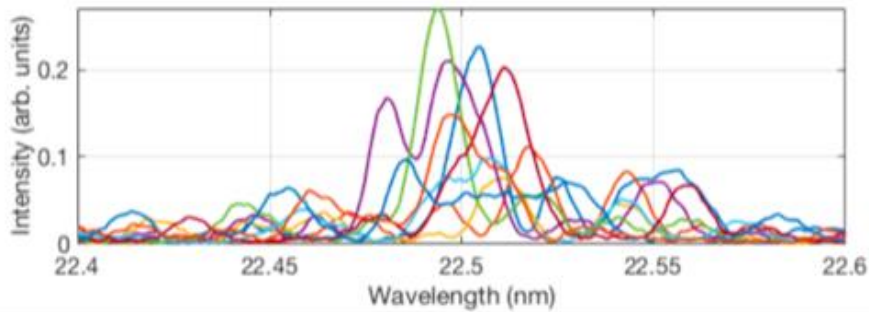
FEL shots

Smooth beam



FEL shots

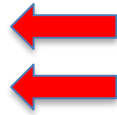
*Spectra*



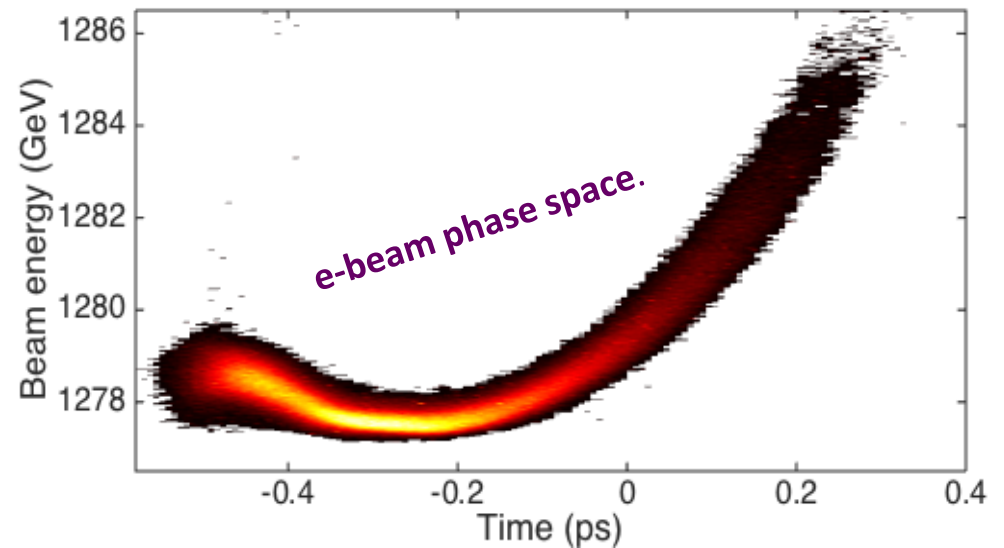
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## Electron-beam phase space



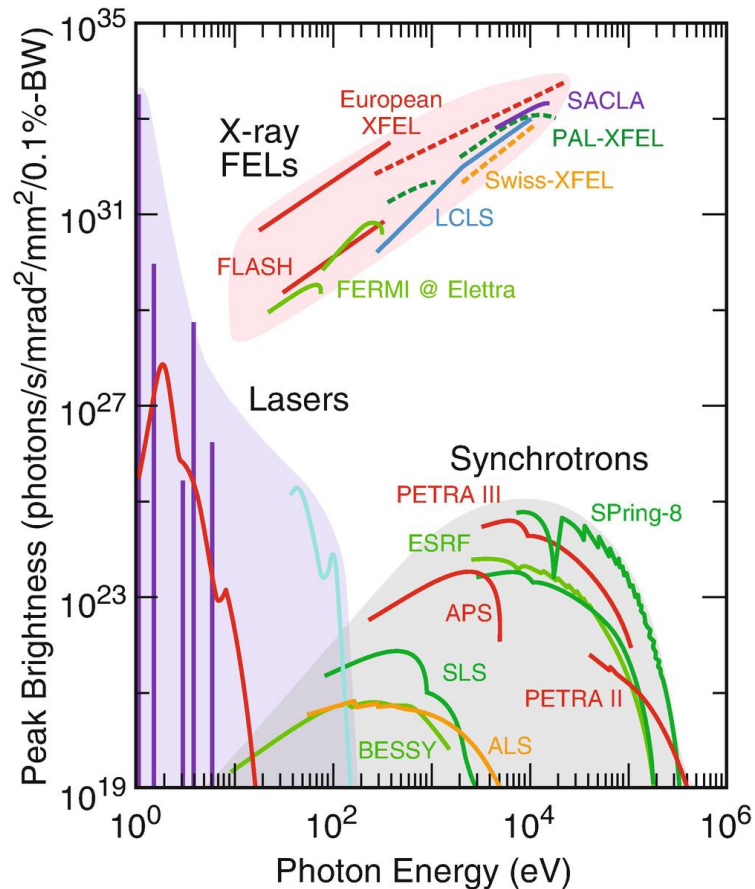
# Conclusions

The use of a high-quality relativistic electron beam of free electrons allows the generation of laser-like radiation in the X-ray spectral range.

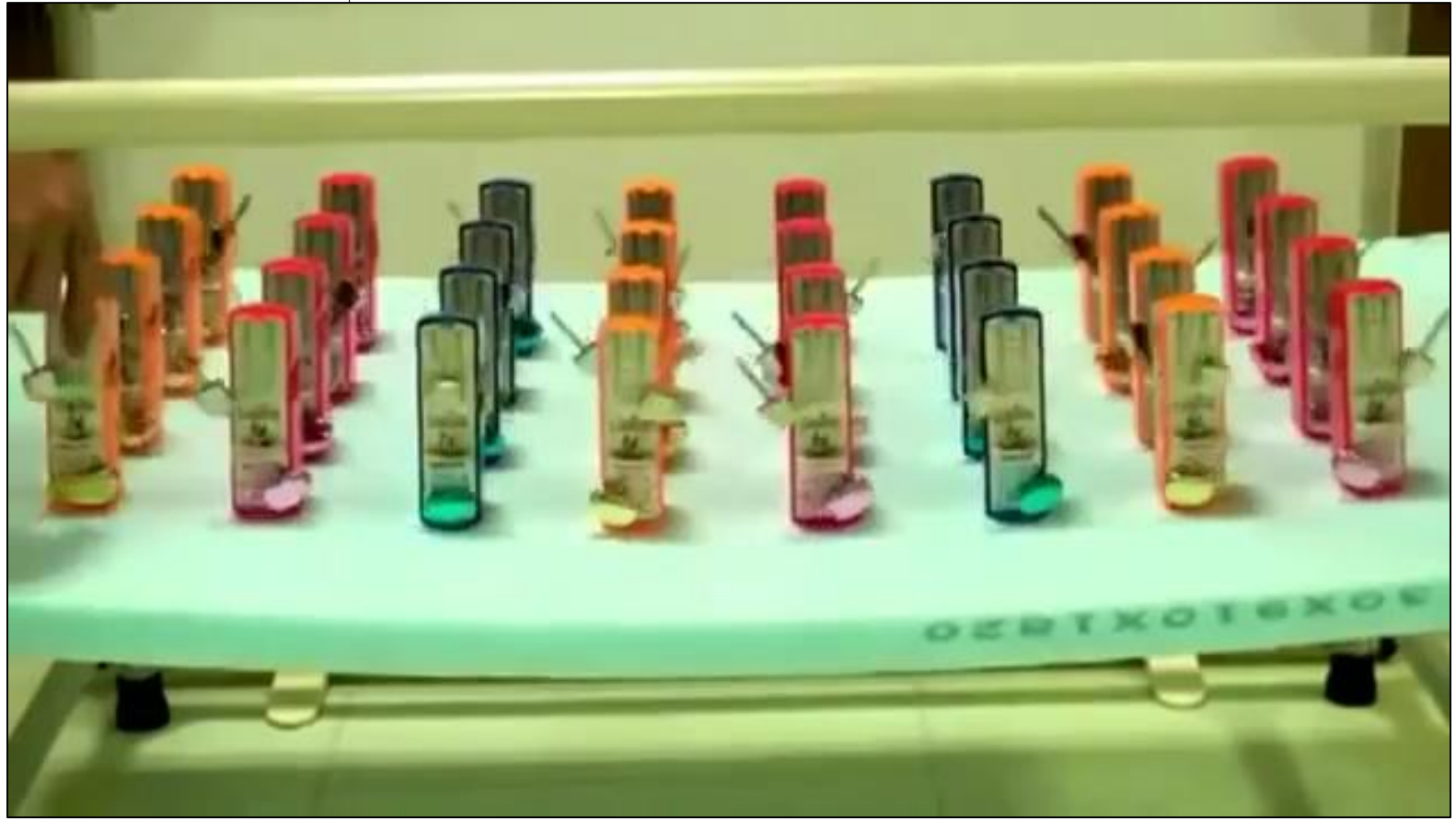
The two main schemes that can be used to generate FEL radiation, that is **self-amplified spontaneous emission (SASE)** and **high-gain harmonic generation (HGHG)**, are based on quite different philosophies: SASE is generally simpler to implement and allows to produce FEL light in the hard X-ray spectral region; HGHG relies on a more involved architecture, works well in soft X-ray region (no hard X-rays), but allows to generate fully coherent pulses.

# Conclusions

Performance of present FELs around the world (several in Europe, one in USA, one in Japan, one in Cina), in terms of **peak brightness vs photo energy**.



BACK





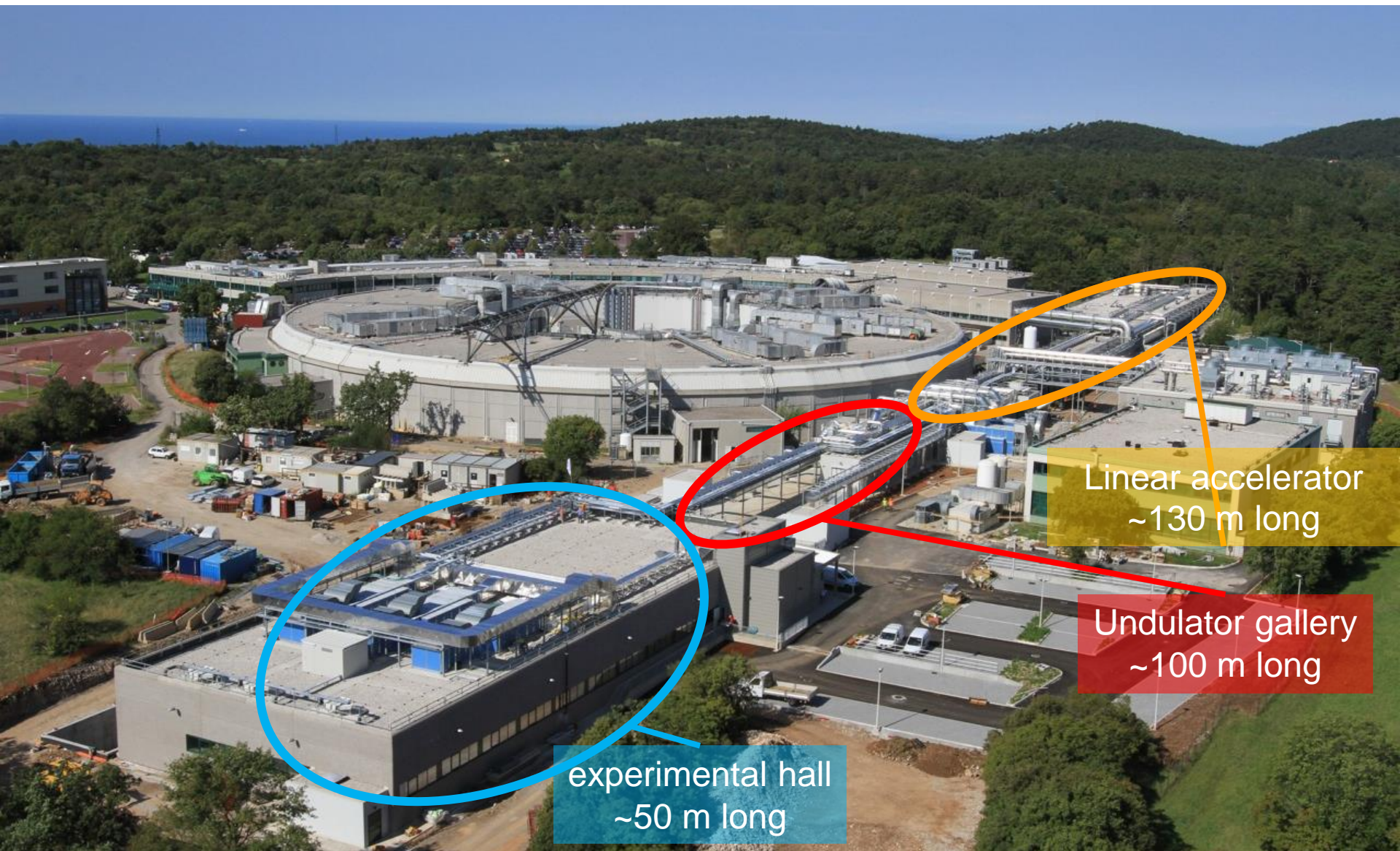
# SASE facilities open to users



	FLASH	LCLS
Wavelength range (fundamental) [nm]	4.1 – 47	0.12 – 1.2
Photons per pulse	$10^{12} - 10^{13}$	$10^{12} - 10^{13}$
Pulse duration (FWHM) [fs]	10 – 70	70 – 500
Spectral width (FWHM)	1%	0.1%
Polarization	Fixed linear	Fixed linear
Spatial coherence	High	High
Temporal coherence	Limited	Limited
Shot-to-shot reproducibility	Poor	Poor



# The FERMI@Elettra project

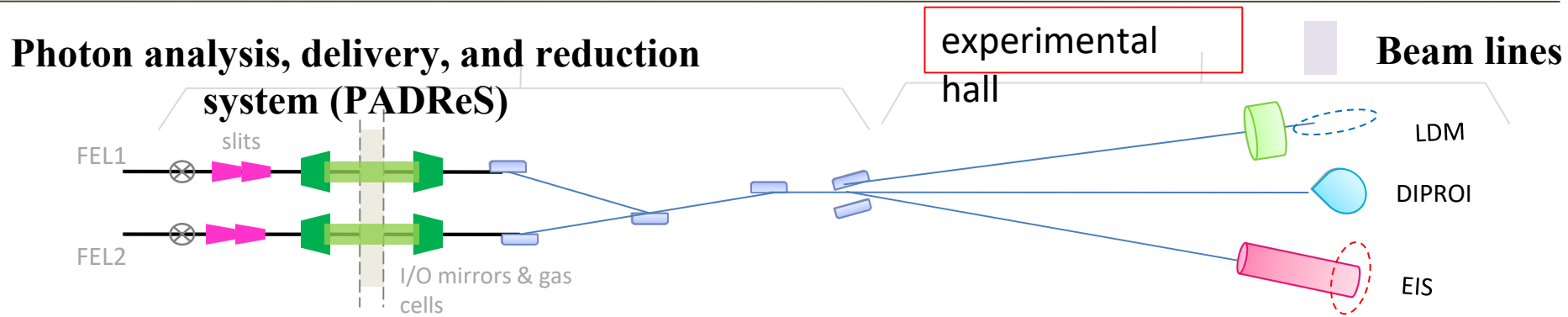
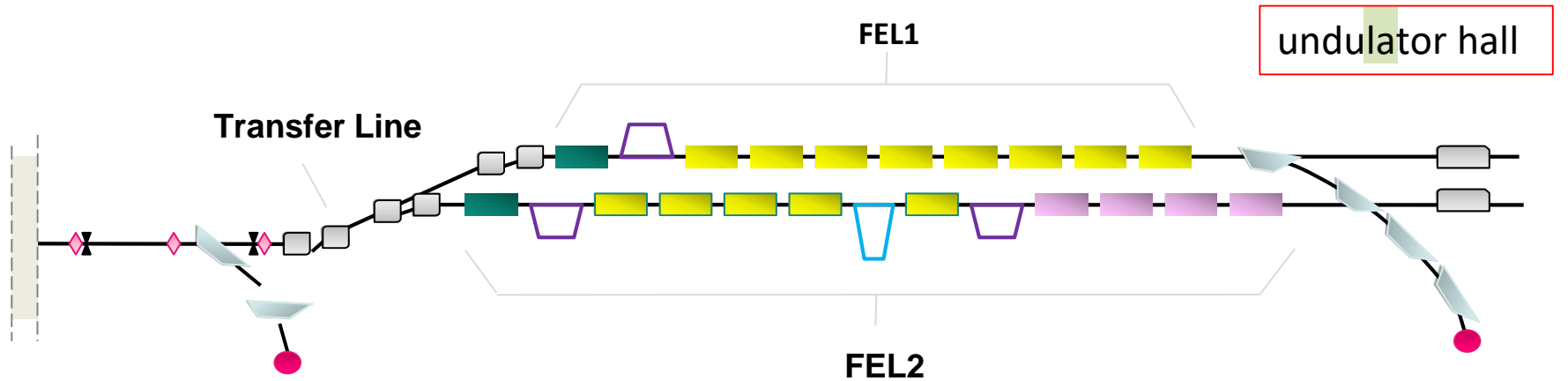
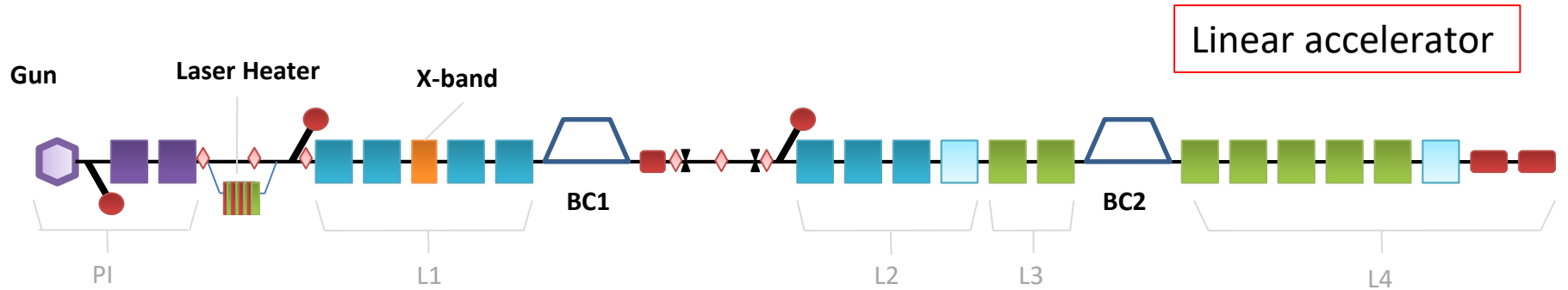


Linear accelerator  
~130 m long

Undulator gallery  
~100 m long

experimental hall  
~50 m long

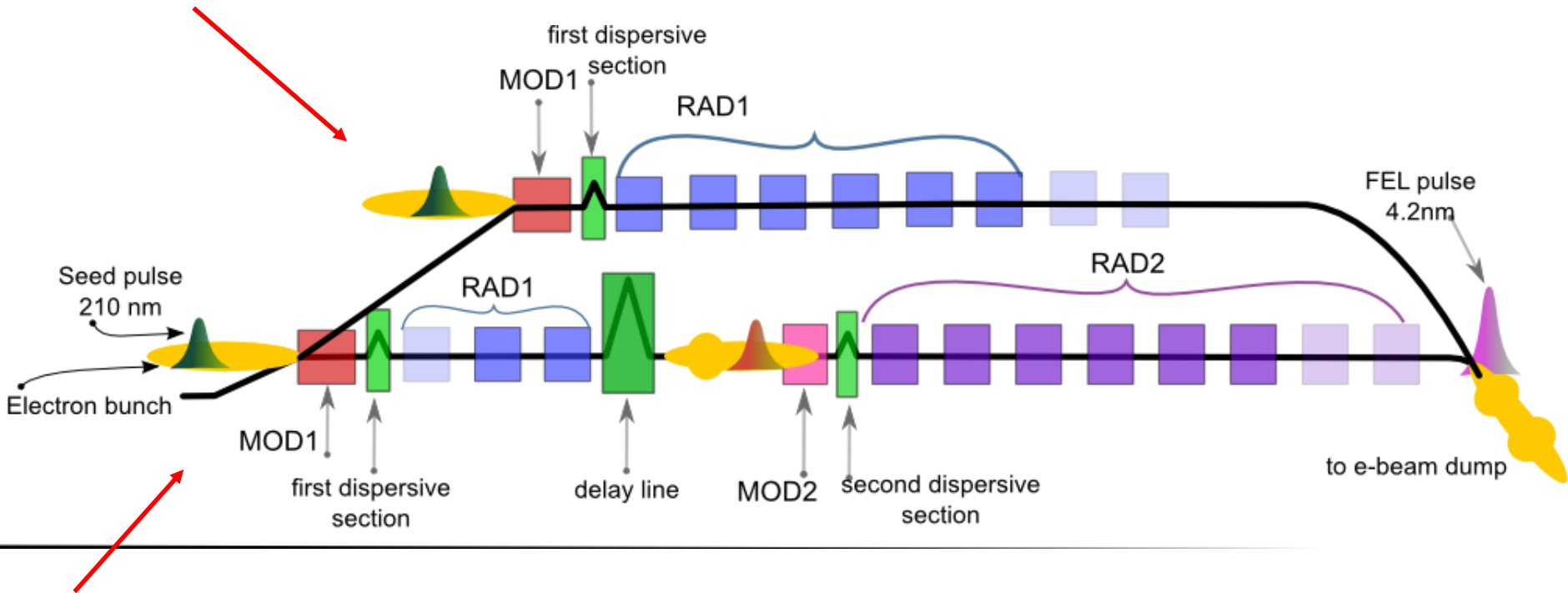
# FERMI Layout



**LDM**: Low-Density Matter ; **DIPROI**: Diffraction and PROjection Imaging; **EIS**: Elastic and Inelastic Scattering

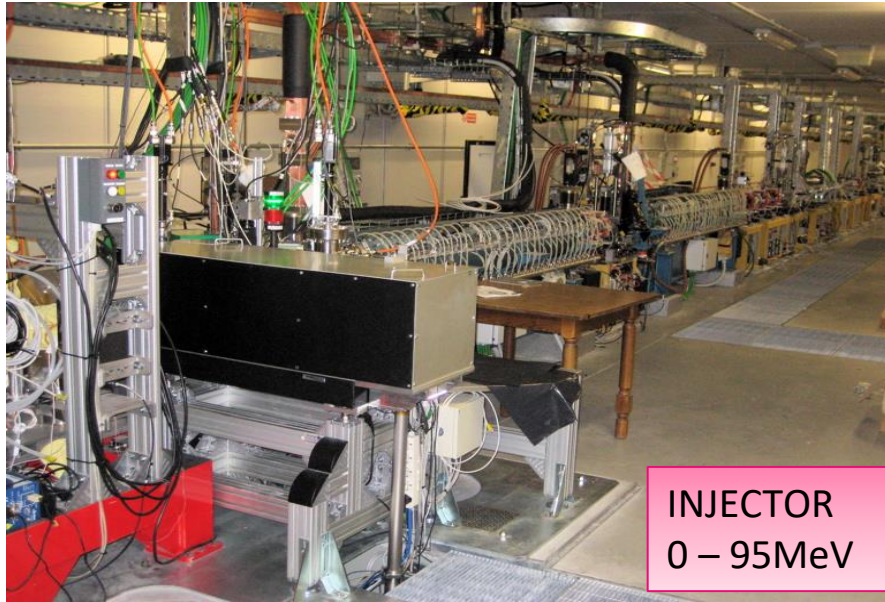
# The two FERMI's amplifiers: FEL-1 and FEL-2

FEL-1 is based on a single-stage high-gain harmonic generation scheme. It will cover the spectral range from ~100 nm to 20nm.

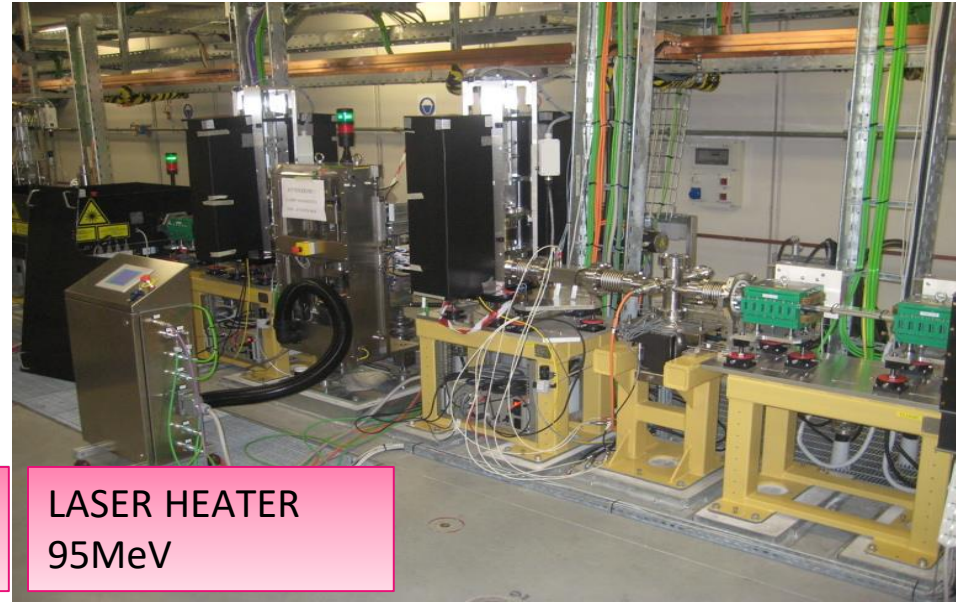


FEL-2, will allow to cover the wavelength range from 20 nm to ~4 nm. It will be based on a double-cascade of high-gain harmonic generation. A magnetic delay line, placed between the two stages, will allow to implement a “fresh bunch” technique. Both schemes are flexible enough to allow other FEL configurations.

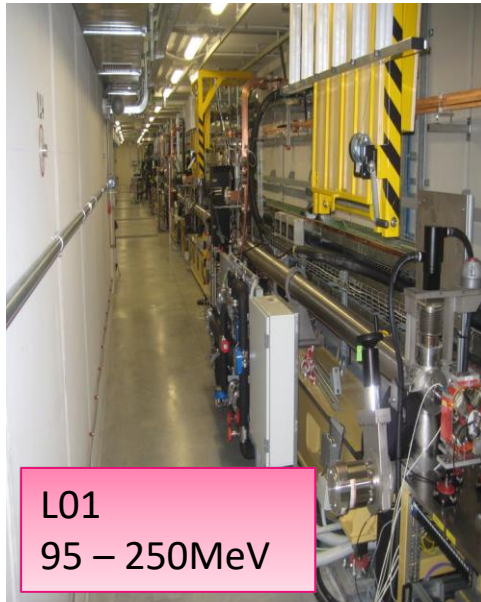
# accelerator



**INJECTOR**  
0 – 95MeV



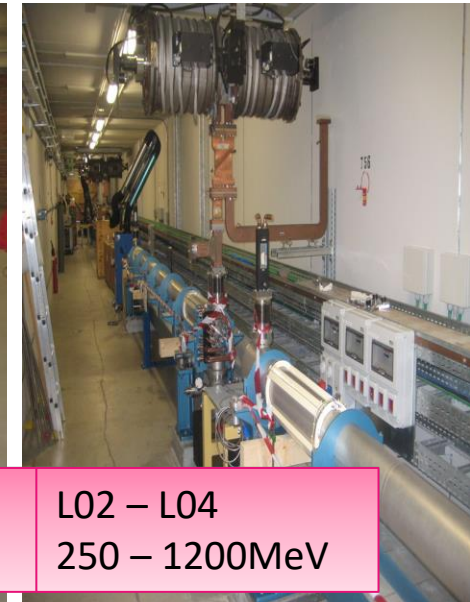
**LASER HEATER**  
95MeV



**L01**  
95 – 250MeV



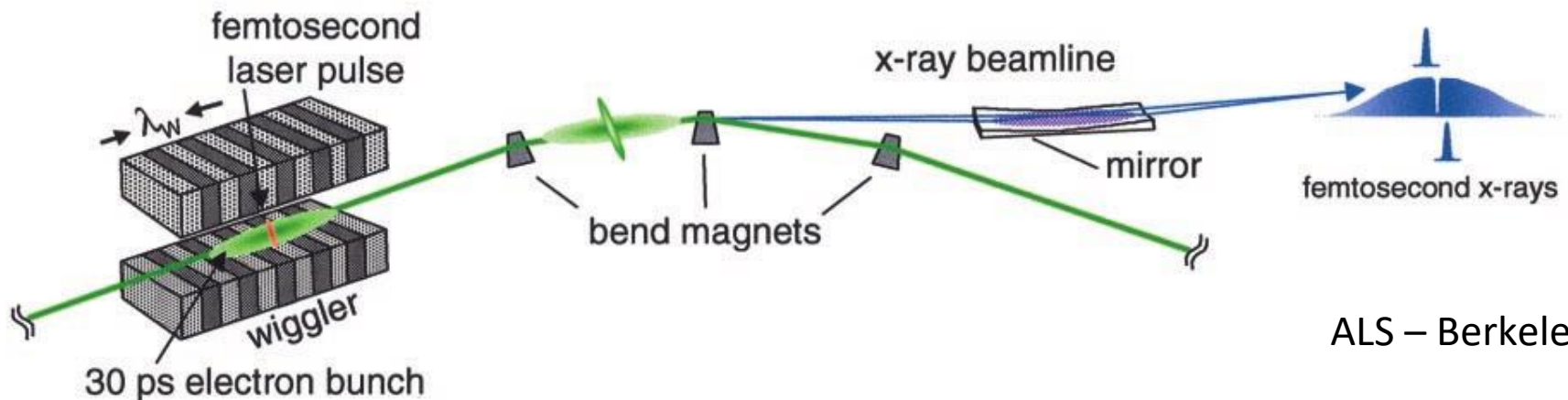
**BC1 DIAGNOSTICS**  
250MeV



**L02 – L04**  
250 – 1200MeV

# Decreasing pulse duration femto-slicing

A femtosecond laser can be used to create femto-second time structure on a long electron bunch through **energy modulation** of an ultra-short slice of the bunch.



ALS – Berkeley

$\approx 10^7$  ph/pulse

Brilliance:  $10^{11}$  ph/s/0.1%BW/mm<sup>2</sup>/mrad<sup>2</sup>