

Beam Instrumentation, Controls, Feedback and Operation Aspects

(Main Classification 6)

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Demands on Beam Diagnostics and Control

Beam diagnostics is the 'sensory organs' for a real beam in a real environment.

Beam control is the active influence on beam parameters by a person or machine.

Different demands lead to different installations:

- **Quick, non-destructive measurements leading to a single number or simple plots**
Used as a check for online information.; reliable technology is required
Example: Beam position (=center-of-mass) by Beam Position Monitor BPM
- **Complex instruments for severe malfunctions, accelerator commissioning & development**
The instrumentation might be destructive and complex
Example: Emittance determination, tune etc. measurement

General usage of beam instrumentation:

- Monitoring of beam parameters for operation, beam alignment & accel. development
- Instruments for automatic, active beam control mostly by a feedback system
Example: Closed orbit feedback at synchrotrons using position measurement by BPMs

Demands on Beam Diagnostics

Beam diagnostics is the 'sensory organs' for a real beam in a real environment.

Beam control is the active influence on beam parameters by a person or machine.

Non-invasive (= 'non-intercepting' or 'non-destructive') methods are preferred:

- The beam is not influenced \Rightarrow **at transfer line**: the **same** beam at several locations
 \Rightarrow **at synchrotron**: properties of the stored beam are monitored
- The instrument is not destroyed due to high beam power

Instruments could be different for:

- Transfer lines with single passage \leftrightarrow synchrotrons with multi-passages
- Electrons are mostly relativistic \leftrightarrow protons are at the beginning non-relativistic

Remark:

Most instrumentation is installed outside of rf-cavities to prevent for signal disturbance

Outline of the Tutorial

Part 1 of the lecture on Beam Position Monitors:

- Beam position monitors for bunched beams
- Position measurements and feedback system
- Measurement of tune, chromaticity etc.

Part 2 of the lecture on transverse and longitudinal diagnostics:

- Profile measurement methods
- Transverse emittance measure, incl. tomography
- Measurement of longitudinal parameters

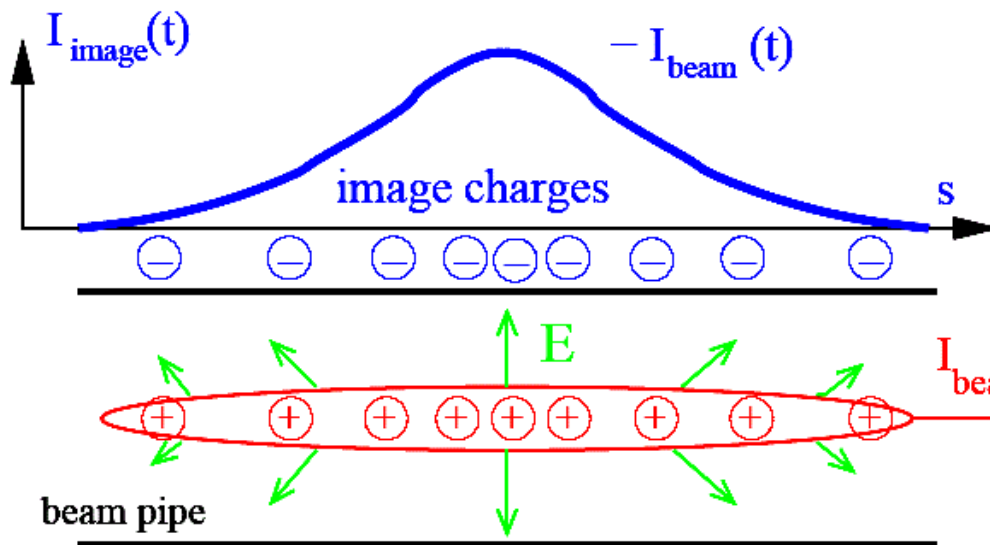
Measurements with BPMs

Outline for BPM measurements:

- **Signal generation and basic usage:**
 - transfer impedance, button BPM**
- **Electronics basics**
- **Cavity BPM at FELs**
- **Trajectory measurement, close orbit feedback**
- **Tune and lattice function measurement**

Pick-Ups for bunched Beams

The image current at the beam pipe is monitored on a high frequency basis i.e. the ac-part given by the bunched beam.



Beam Position Monitor **BPM** is the most frequently used instrument!

Relativistic transformation of an electric field:

$$E_{\perp,lab}(t_{lab}) = \gamma \cdot E_{\perp,rest}(t_{rest})$$

A Beam Position Monitor is a non-destructive device for bunched beams.

It delivers information about the transverse center of the beam:

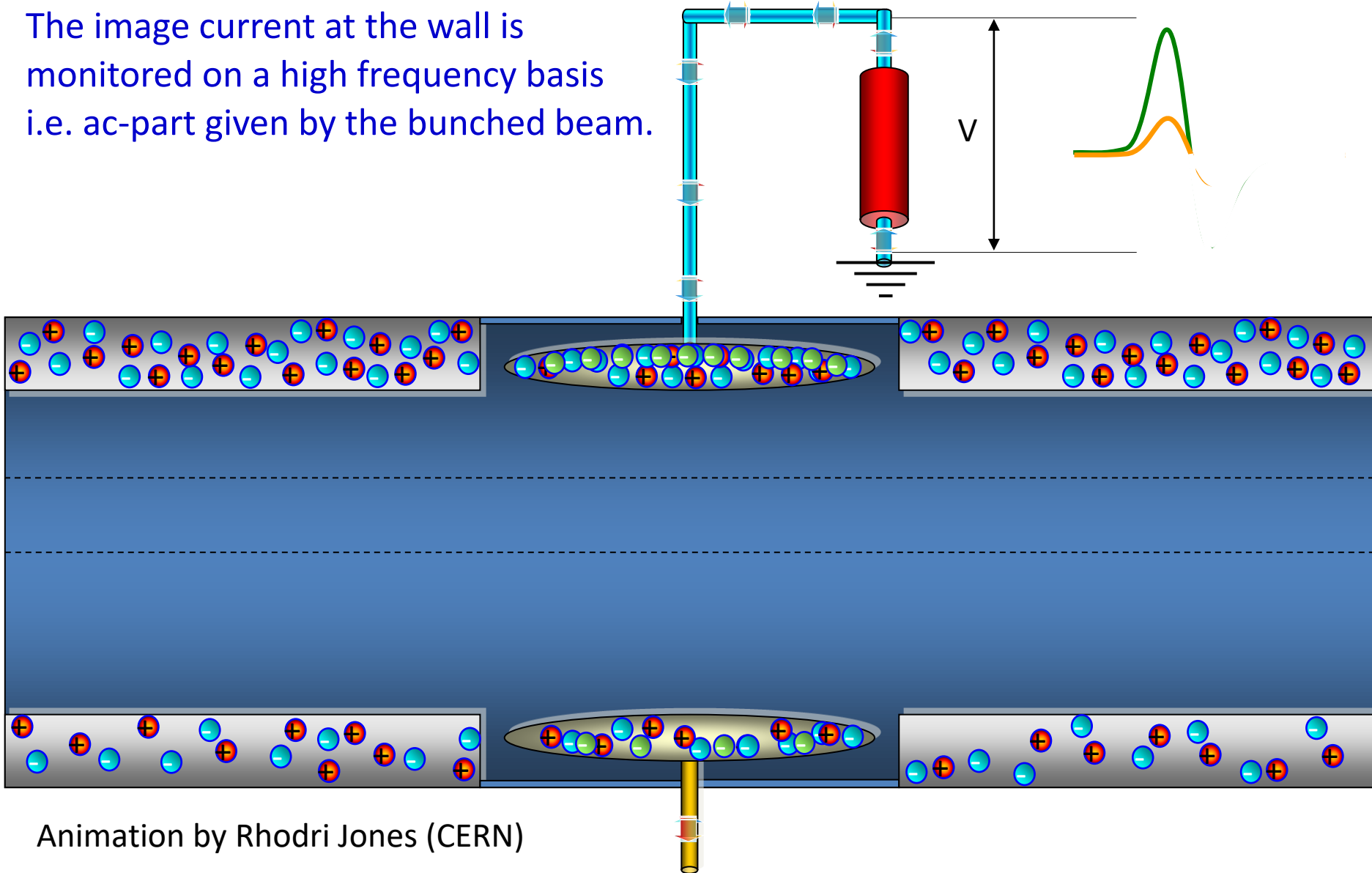
- **Trajectory:** Position of an individual bunch within a transfer line or synchrotron
- **Closed orbit:** Central orbit averaged over a period much longer than a betatron oscillation
- **Single bunch position:** Determination of parameters like tune, chromaticity, β -function

Remarks: - BPMs have a low cut-off frequency \Leftrightarrow dc-beam can't be monitored

- The abbreviation **BPM** and pick-up **PU** are synonyms

Principle of Signal Generation of a BPMs: off-center Beam

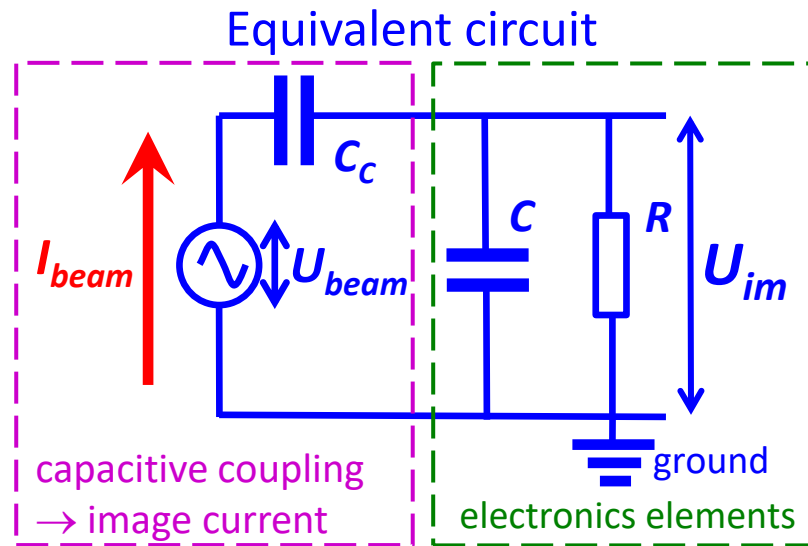
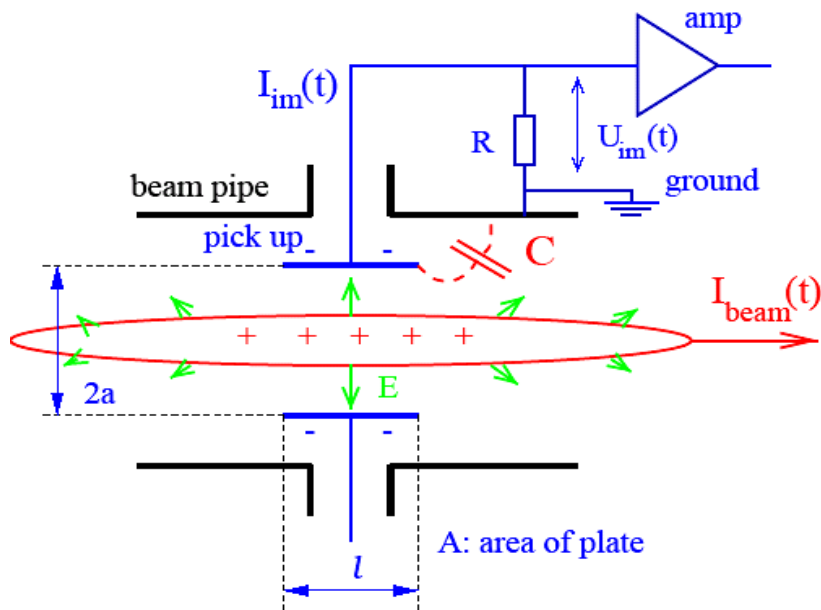
The image current at the wall is monitored on a high frequency basis i.e. ac-part given by the bunched beam.



Animation by Rhodri Jones (CERN)

Model for Signal Treatment of capacitive BPMs

The wall current is monitored by a plate or ring inserted in the beam pipe:



At a resistor R the voltage U_{im} from the image current is measured.

Goal: Connection from beam current to signal strength by transfer impedance $Z_t(\omega)$

in frequency domain: $U_{im}(\omega) = R \cdot I_{im}(\omega) = Z_t(\omega) \cdot I_{beam}(\omega)$

Result: $Z_t(\omega) = \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{1}{C} \cdot \frac{i\omega RC}{1+i\omega RC} \in \mathbb{C}$ i.e. complex function

geometry
stray capacitance
frequency response

Principle of Position Determination by a BPM

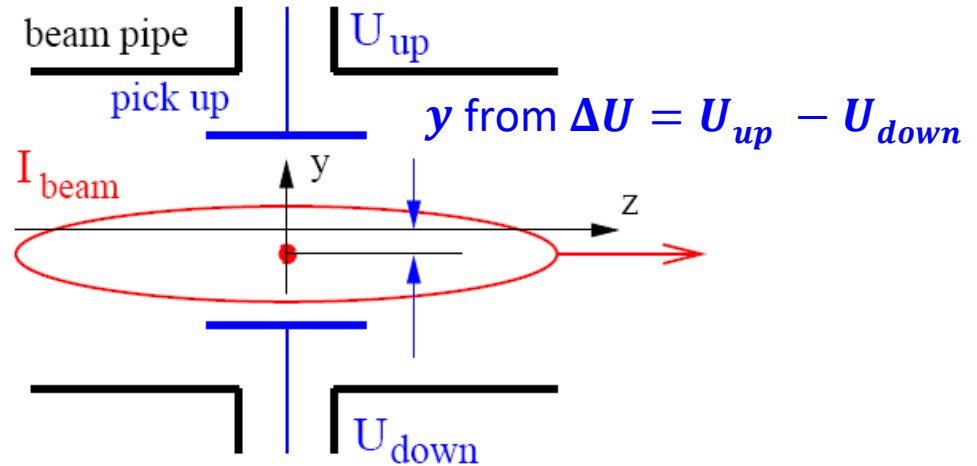
The difference voltage between plates gives the beam's center-of-mass

→ **most frequent application**

$$y = \frac{1}{S_y(\omega)} \cdot \frac{U_{up} - U_{down}}{U_{up} + U_{down}}$$

$$\equiv \frac{1}{S_y} \cdot \frac{\Delta U_y}{\Sigma U_y}$$

$$x = \frac{1}{S_x(\omega)} \cdot \frac{U_{right} - U_{left}}{U_{right} + U_{left}}$$



$S(\omega, x)$ is called **position sensitivity**; sometimes the inverse is used $k(\omega, x) = 1/S(\omega, x)$

S is a geometry dependent, non-linear function,

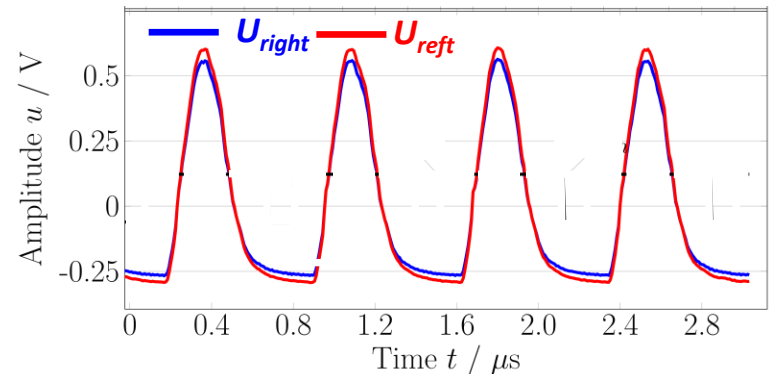
Units: $S=[\%/mm]$, sometimes $S=[dB/mm]$ or $k=[mm]$.

Example: One turn = 4 bunches @ 35 MeV/u

Typical desired position resolution:

$\Delta x \approx 0.1 \dots 0.3 \cdot \sigma_x$ of beam width

It is at least: $\Delta U \ll \frac{1}{10} \Sigma U$



2-dim Model for a Button BPM

‘Proximity effect’: larger signal for closer plate

Ideal 2-dim model: Cylindrical pipe → image current density via ‘image charge method’ for ‘pencil’ beam:

$$j_{im}(\phi) = \frac{I_{beam}}{2\pi a} \cdot \left(\frac{a^2 - r^2}{a^2 + r^2 - 2ar \cdot \cos(\phi - \theta)} \right)$$

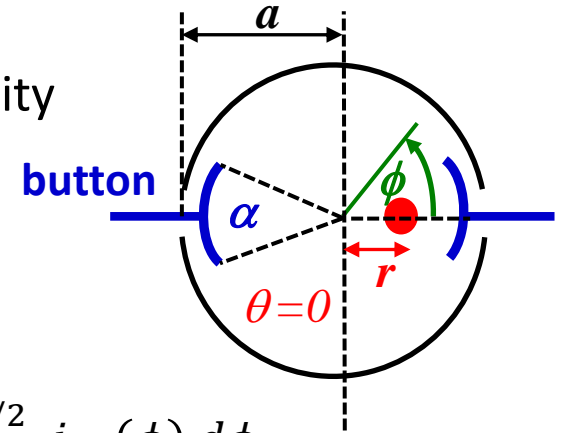
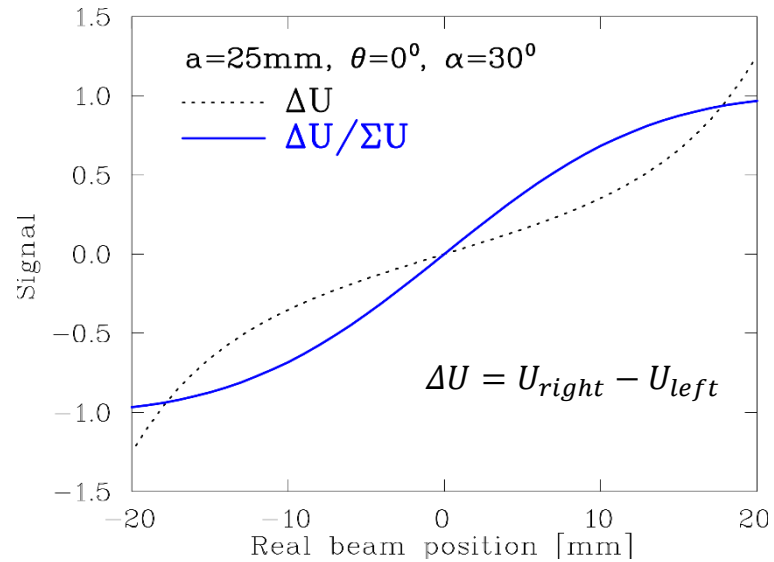
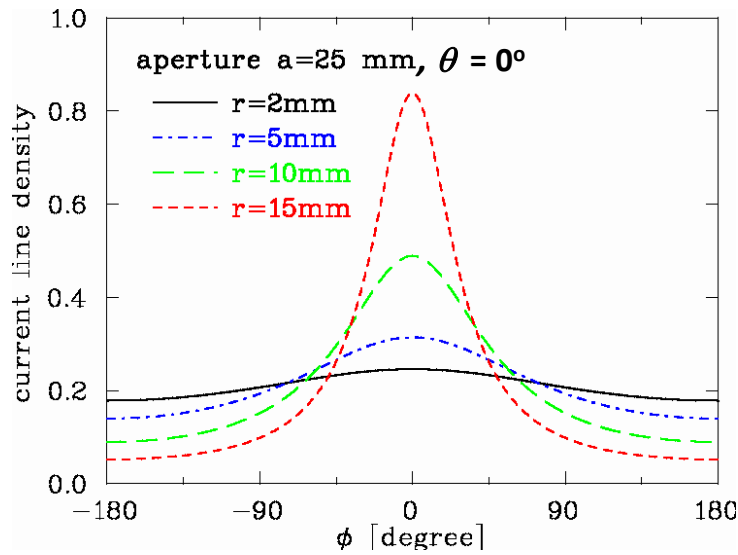


Image current: Integration over finite button size: $I_{im} = a \cdot \int_{-\alpha/2}^{\alpha/2} j_{im}(\phi) d\phi$



2-dim Model for a Button BPM

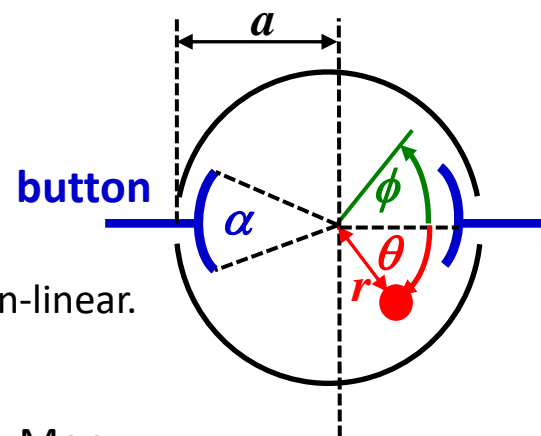
Ideal 2-dim model: Non-linear behavior and hor-vert coupling:

Sensitivity S converts signal to position $x = \frac{1}{S} \cdot \frac{\Delta U}{\Sigma U}$

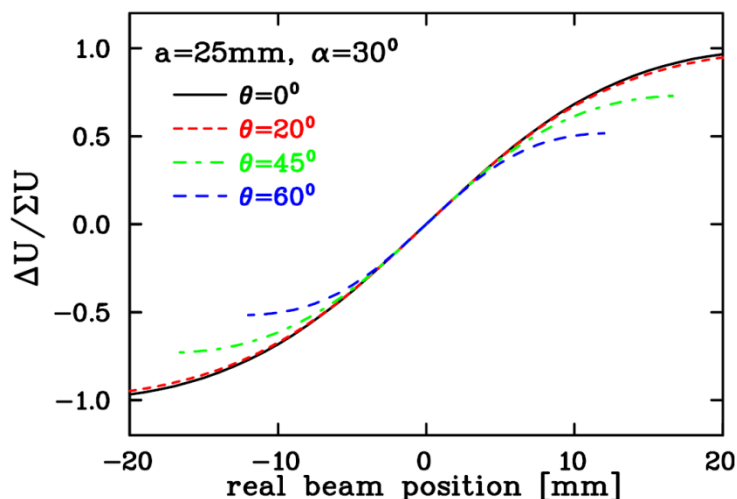
with $S = [\%/mm]$ or $[dB/mm]$

i.e. S is the derivative of the curve $S_x = \frac{\partial(\frac{\Delta U}{\Sigma U})}{\partial x}$, here $S_x = S_x(x, y)$ i.e. non-linear.

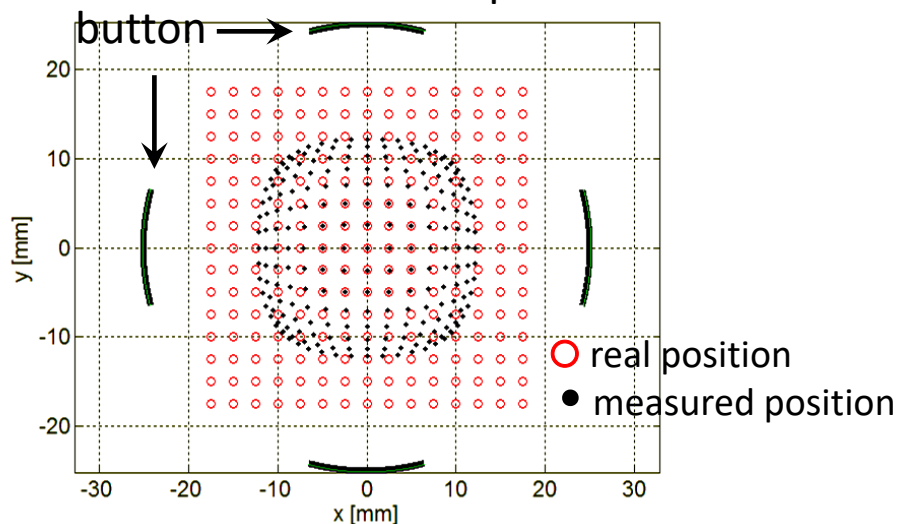
For this example: central part $S=7.4\%/mm \Leftrightarrow k = 1/S = 14 \text{ mm}$



Horizontal plane



Position Map



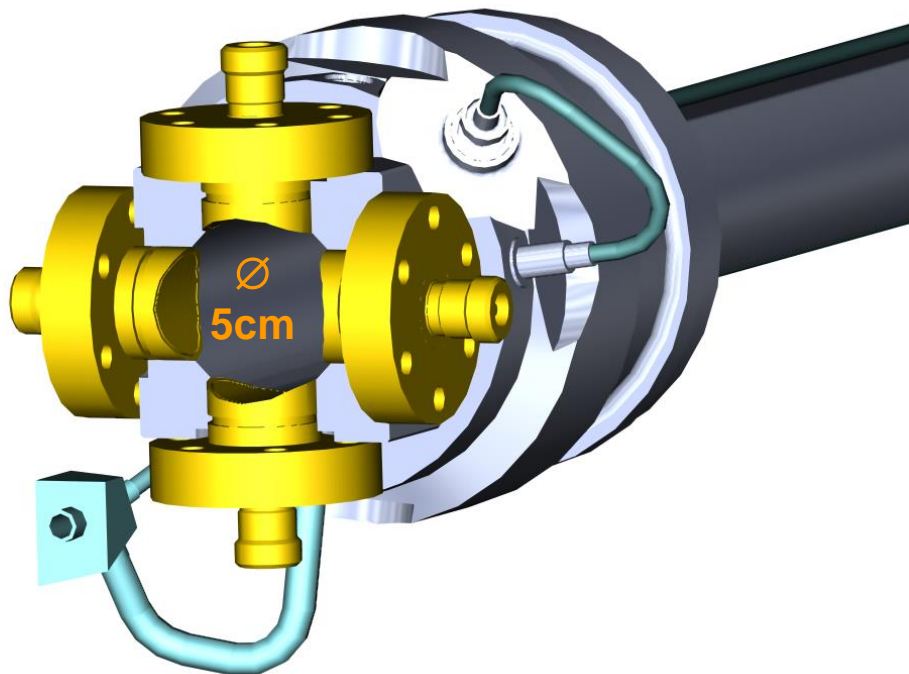
Button BPM Realization

LINACs, e⁻-synchrotrons: $100 \text{ MHz} < f_{rf} < 3 \text{ GHz}$ → bunch length \approx BPM length
 → $50 \ \Omega$ signal path to prevent reflections

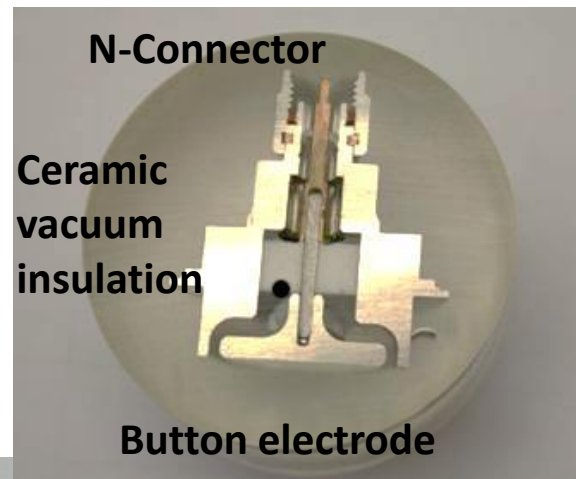
Example: LHC-type inside cryostat:

$\varnothing 24 \text{ mm}$, half aperture $a = 25 \text{ mm}$, $C = 8 \text{ pF}$

⇒ $f_{cut} = 400 \text{ MHz}$, $Z_t = 1.3 \ \Omega$ above f_{cut}



Courtesy C. Boccard (CERN)

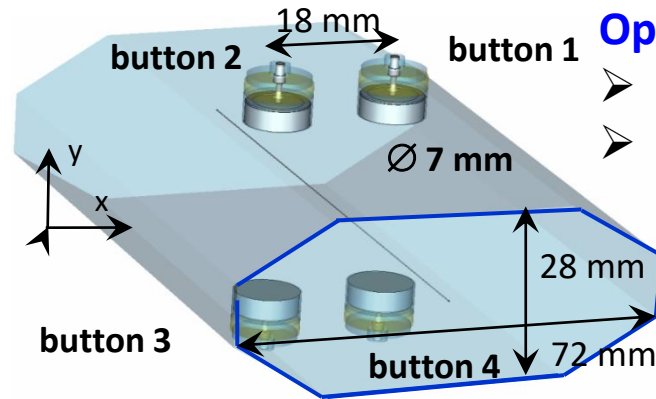
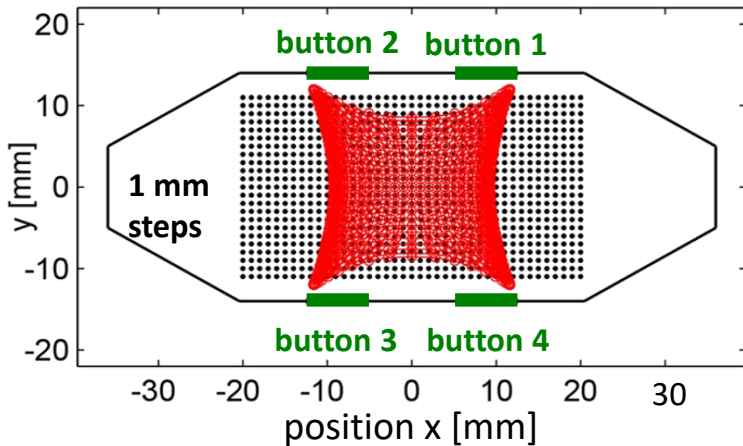
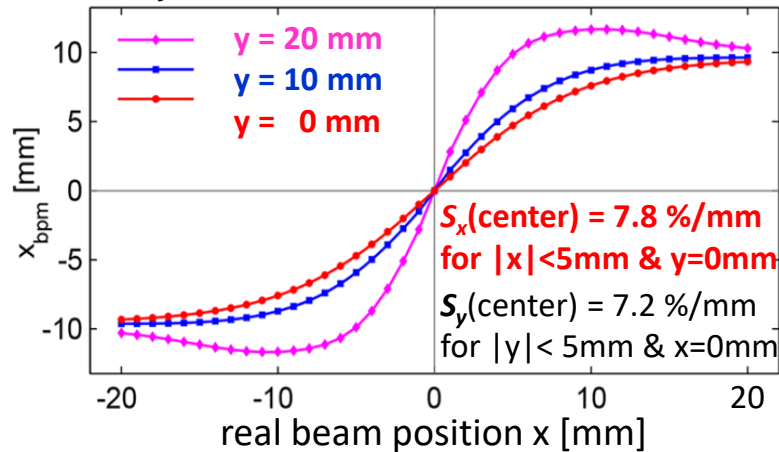
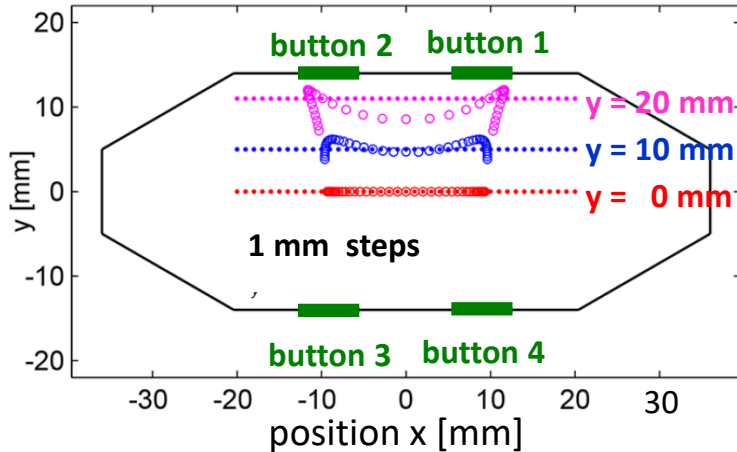


Simulations for Button BPM at Synchrotron Light Sources

Example: Simulation for ALBA light source for 72 x 28 mm² chamber

Horizontal: $x \propto \frac{1}{s_x} (\text{left} - \text{right}) \Leftrightarrow x = \frac{1}{s_x} \cdot \frac{(U_2+U_3)-(U_1+U_4)}{U_1+U_2+U_3+U_4}$

Vertical: $y \propto \frac{1}{s_y} (\text{top} - \text{bottom}) \Leftrightarrow y = \frac{1}{s_y} \cdot \frac{(U_1+U_2)-(U_3+U_4)}{U_1+U_2+U_3+U_4}$



Optimization:

- Horizontal distance
- Size of buttons

A.A. Nosych et al., IBIC'14

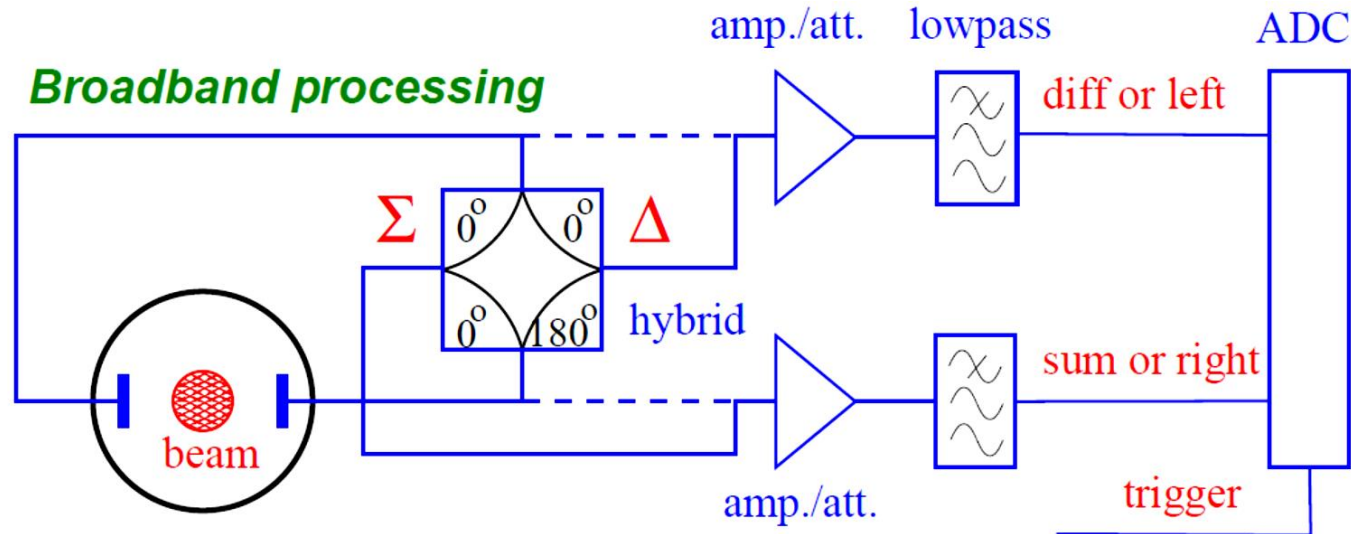
Result: - Non-linearity and **xy**-coupling occur in dependence of button size and position
 - Can be corrected by polynomial interpolation for beams much smaller than chamber

Measurement with BPMs

Outline for BPM measurements:

- Signal generation and basic usage:
transfer impedance, button BPM
- **Electronics basics:**
schemes for signal processing
- **Cavity BPM at FELs**
- **Trajectory measurement, close orbit feedback**
- **Tune and lattice function measurement**

Broadband Signal Processing



- Hybrid or transformer close to beam pipe for analog ΔU & ΣU generation or U_{left} & U_{right}
- Attenuator/amplifier
- Filter to get the wanted harmonics and to suppress stray signals
- ADC: digitalization → followed by calculation of $\Delta U / \Sigma U$

Advantage: Bunch-by-bunch observation possible, versatile post-processing possible

Disadvantage: Resolution down to $\approx 100 \mu\text{m}$ for large aperture, i.e. $\approx 0.1\%$ of aperture, resolution is worse than narrowband processing, see below

Challenge: Precise analog electronics with very low drift of amplification

General Noise Consideration

1. Signal voltage given by: $U_{im}(f) = Z_t(f) \cdot I_{beam}(f)$

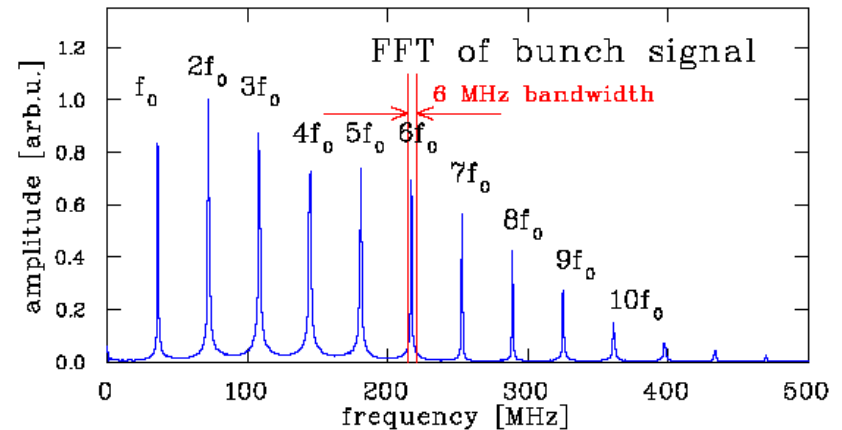
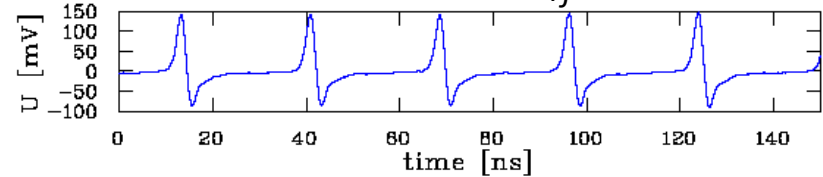
2. Thermal noise voltage given by: $U_{noise}(R, \Delta f) = \sqrt{4k_B \cdot T \cdot R \cdot \Delta f}$

Signal-to-noise $\Delta U_{im}/U_{noise}$ is influenced by:

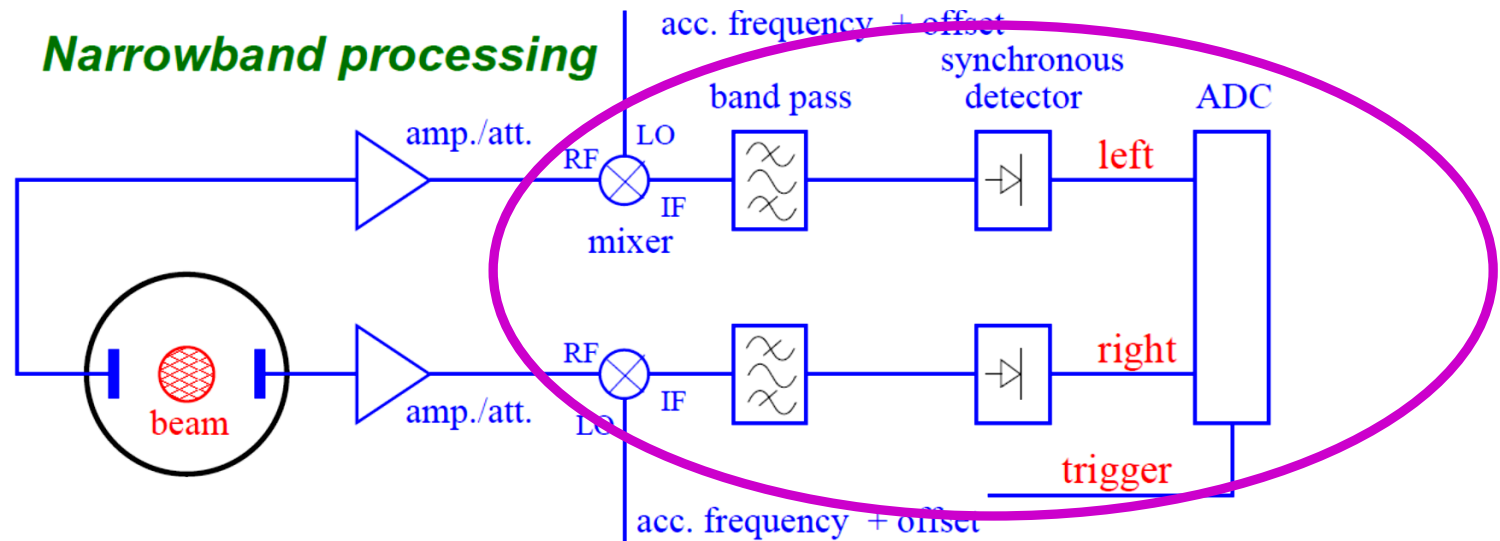
- Input signal amplitude
- Thermal noise from amplifiers etc.
- Bandwidth Δf

⇒ Restriction of frequency width
as the power is
concentrated at harmonics $n \cdot f_{rf}$

Example: GSI-LINAC with $f_{rf} = 36$ MHz



Narrowband Processing for improved Signal-to-Noise



Narrowband processing, analog correspondence heterodyne receiver (AM-radio, spectrum analyzer)

- Attenuator/amplifier
- Mixing with accelerating frequency f_{LO}
 - ⇒ IF-output: signal with difference frequency $f_{IF} = f_{LO} - f_{RF}$
- Bandpass filter of the mixed signal Rectifier: synchronous detector
- ADC: digitalization → followed calculation of $\Delta U / \Sigma U$

} Digital correspondence: I/Q de-modulation As today's technology

Advantage: Spatial resolution about 100 time better than broadband processing

Disadvantage: No turn-by-turn diagnosis, due to mixing = 'long averaging time'

Measurement with BPMs

Outline for BPM measurements:

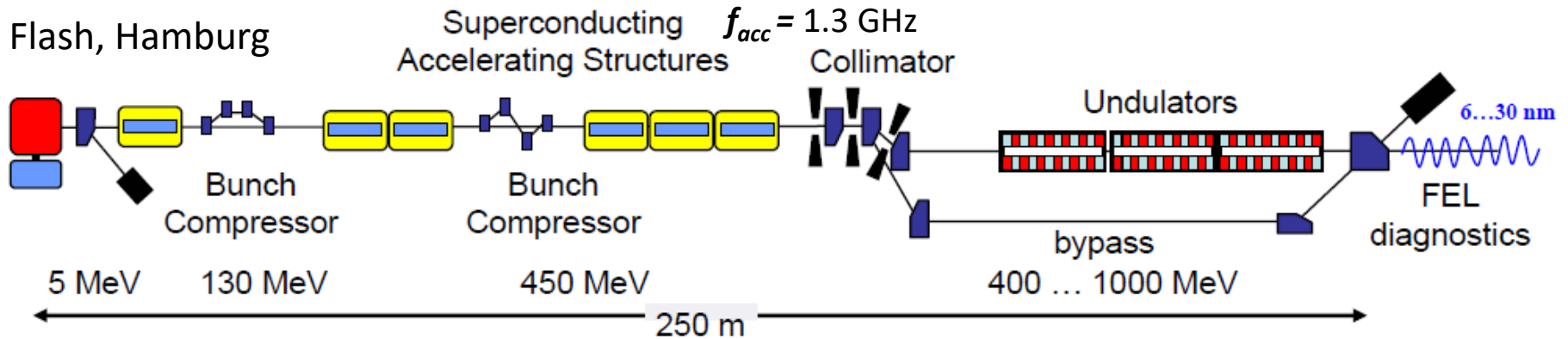
- Signal generation and basic usage:
transfer impedance, button BPM
- Electronics basics:
Schemes for signal processing
- **Cavity BPM at FELs:**
information storage for short pulses
- Trajectory measurement, close orbit feedback
- Tune and lattice function measurement

4th Generation Light Sources & Beam Delivery

LINAC-based Light Sources:

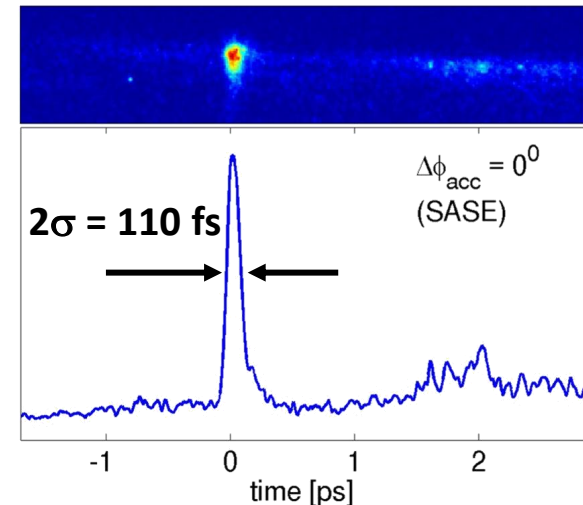
$E_{electron} \approx 1 \dots 18 \text{ GeV}$, **coherent** light from undulator, $E_{\gamma} < 1000 \text{ keV}$, temporally short pulse

Flash, Hamburg



Goal: Short bunches with **high** number of particles
 → short, intense laser pulses for electron generation
Requirement: Position stability \Rightarrow resolution $< 1 \mu\text{m}$

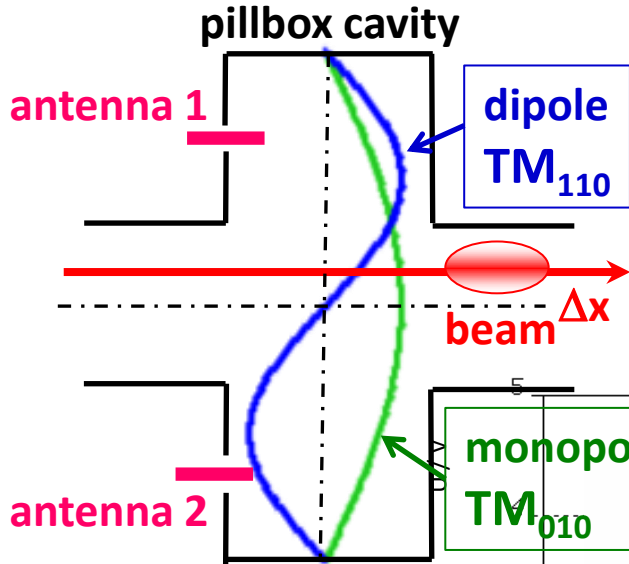
Single bunch duration $< 100 \text{ fs}$:



B. Steffen et al, DIPAC 2009, B. Steffen et al., Phys. Rev. AB 12, 032802 (2009)

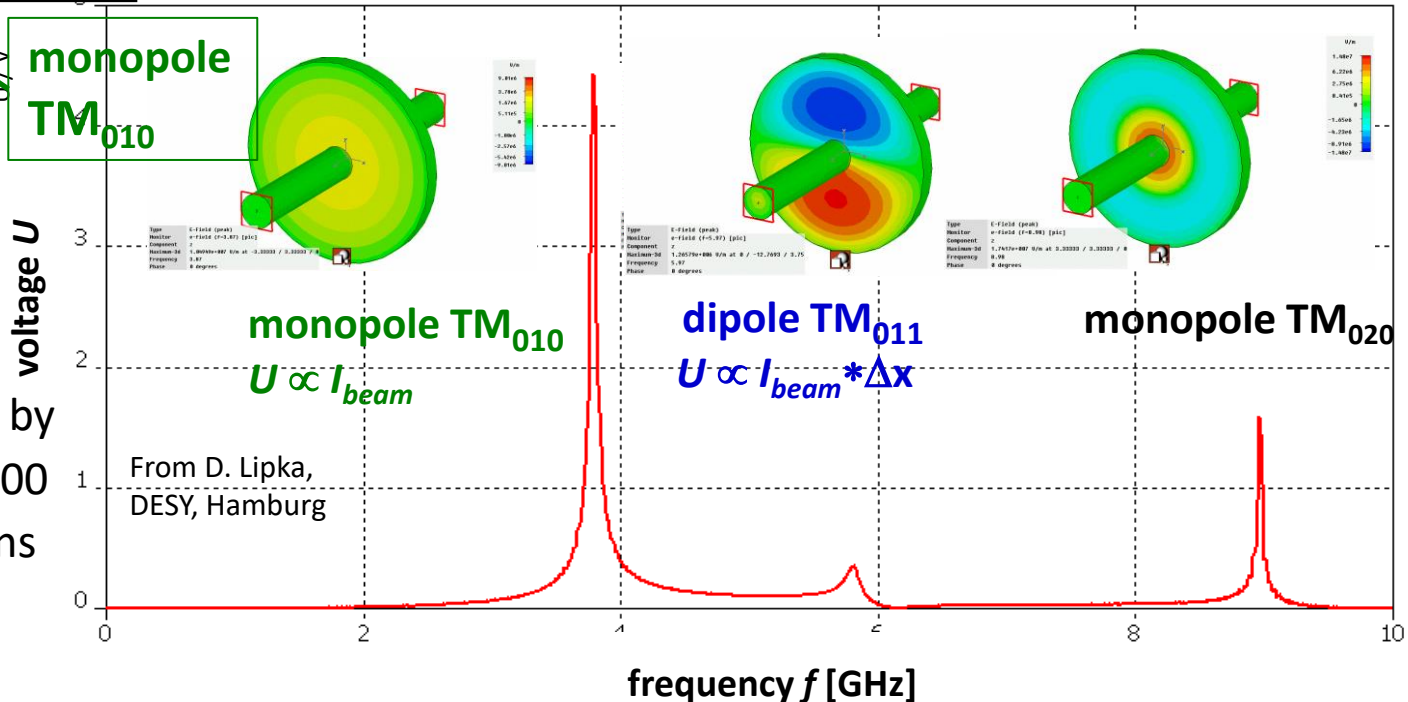
Cavity BPM for FEL-LINACs: Principle

High resolution on $t \ll 1 \mu\text{s}$ time scale can be achieved by excitation of a dipole mode
Depictive statement: 'The position information is stored due to cavity mode excitation'



- For pill box the resonator modes given by geometry:
- **Monopole** mode TM_{010} with f_{010}
 - **maximum** at beam center ⇒ strong excitation
 - **Dipole** mode TM_{011} with f_{011}
 - **minimum** at center ⇒ excitation by beam **offset**
- ⇒ Detection of dipole mode amplitude

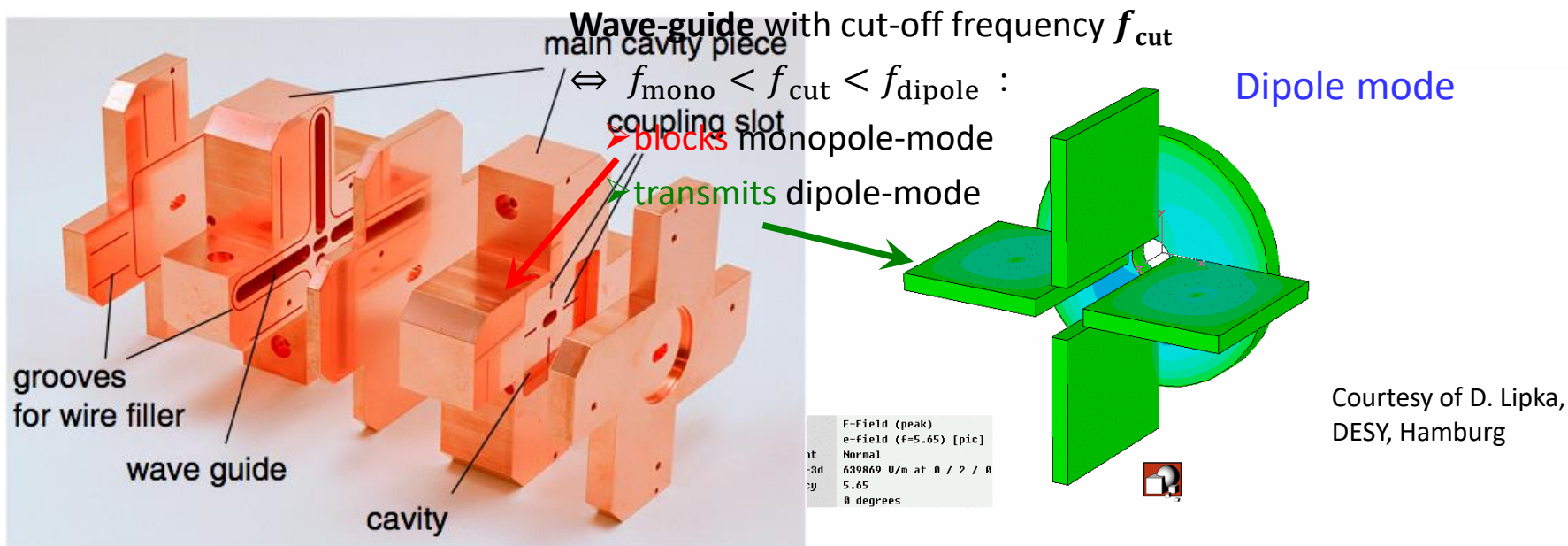
' δ -excitation' followed by oscillation with $Q \approx 1000$ and $\tau = 2Q/2\pi f \approx 100 \text{ ns}$ ⇒ '0.1 μm resolution within 100 ns'



Cavity BPM: Suppression of monopole Mode

Suppression of mono-pole mode: waveguide only for dipole-mode transmission

Example: $f_{dipole, hori} = 5.7 \text{ GHz}$, $f_{dipole, vert} = 6.4 \text{ GHz}$



Prototype BPM for ILC Final Focus:

Achieved **resolution** (i.e. 3 BPM relative) of 8.7 nm in a 6 × 12 mm beam pipe at ATF2 (KEK, Japan)

Remark: Separated, smaller cavity for monopole mode to have **same** frequency for normalization

Courtesy of D. Lipka and Y. Honda et al., Phys. Rev. Accel. Beams 11, 062801 (2008)

Measurement with BPMs

Outline for BPM measurements:

- Signal generation and basic usage:
transfer impedance, button BPM
- Electronics basics:
Schemes for signal processing
- Cavity BPM at FELs
information storage for short pulses
- **Trajectory measurement, close orbit feedback:**
Beam stabilization methods via real-time control
- **Tune and lattice function measurement**

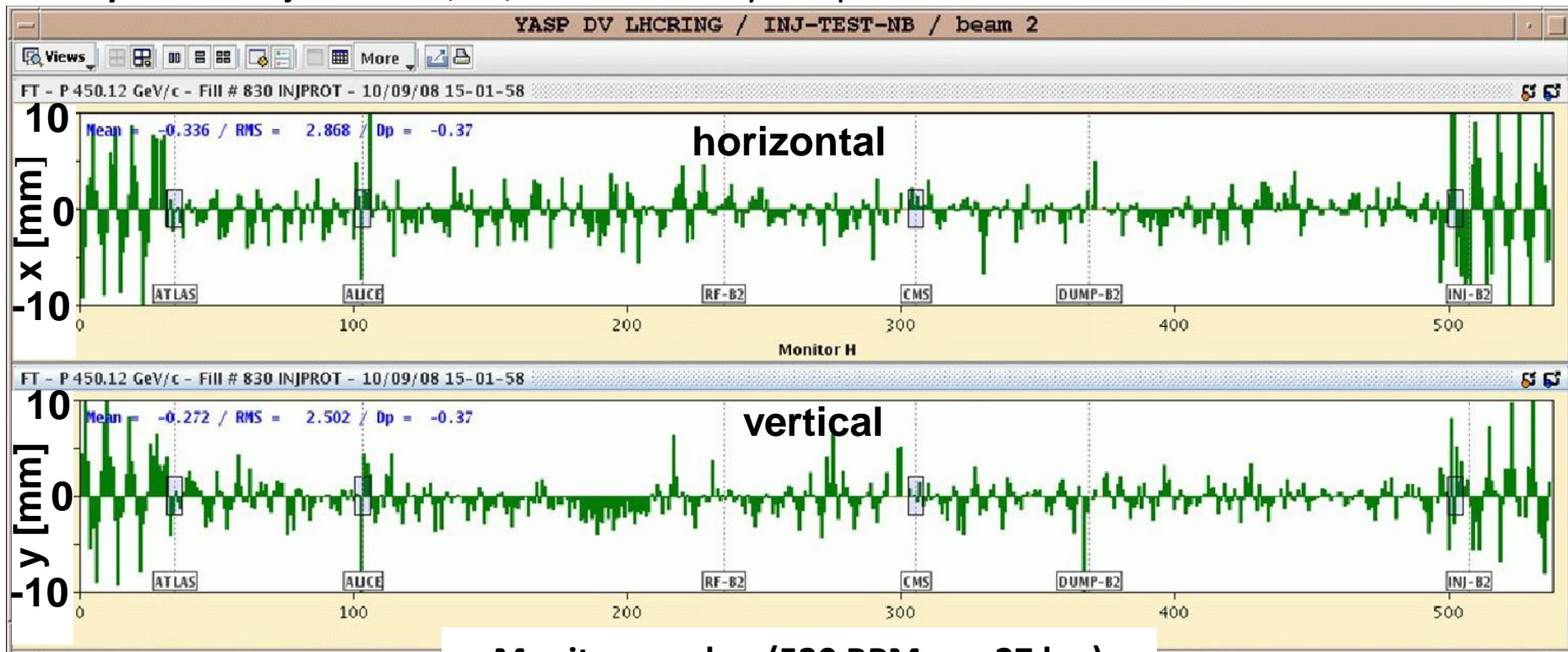
Trajectory Measurement with BPMs

Trajectory:

The position delivered by an **individual bunch** within a transfer line or a synchrotron.

Main task: Control of matching (center and angle), first-turn diagnostics

Example: LHC injection 10/09/08 i.e. first day of operation !



Monitor number (530 BPMs on 27 km)

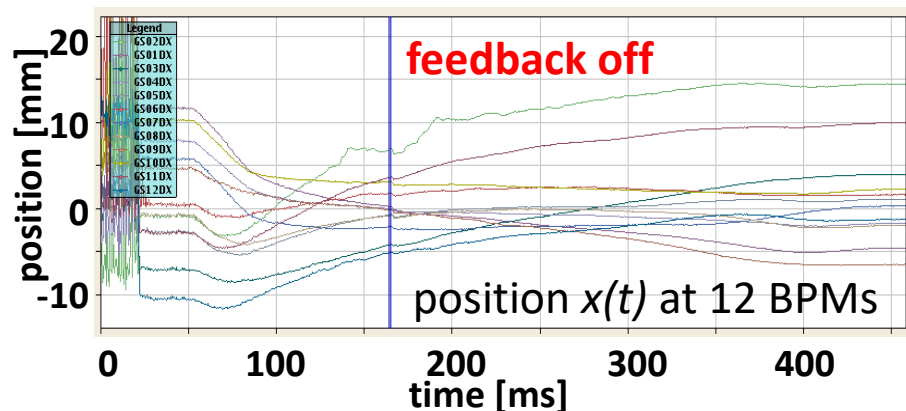
Courtesy R. Jones (CERN)

Tune values at LHC: $Q_h = 64.3$, $Q_v = 59.3$

Close Orbit Measurement with BPMs

Orbit measurement:

Example: 12 beam positions at GSI-SIS during ramping from $E_{kin} = 8.6$ to 500 MeV/u for Ar^{18+}



Closed orbit:

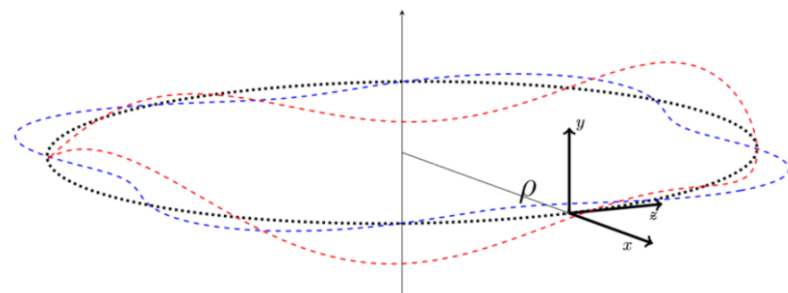
Beam position averaged over many turns (i.e. betatron oscillations).

The result is the basic tool for alignment & stabilization

Position resolution Δx :

Required resol. Δx depends on beam size σ_x :

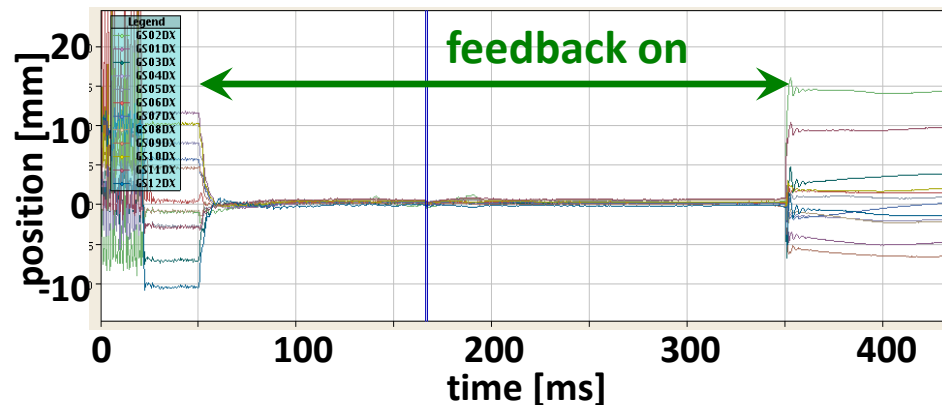
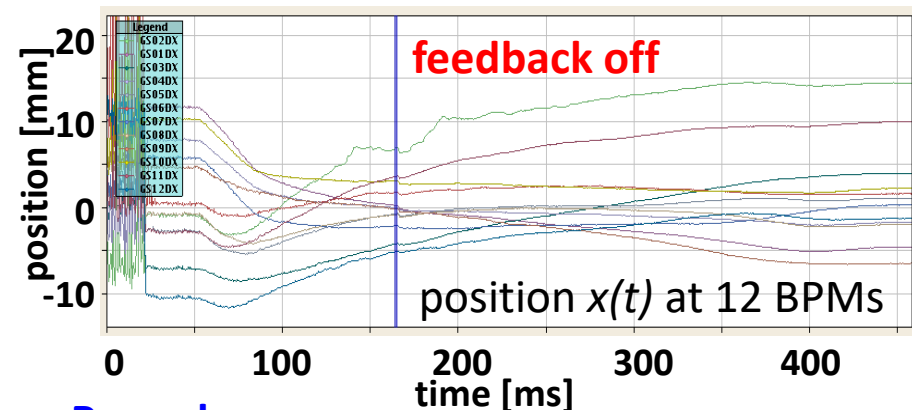
Role of thumb: $\Delta x \approx (0.1 \dots 0.3) \cdot \sigma_x$



Close Orbit Feedback: Results

Orbit feedback:

Example: 12 beam positions at GSI-SIS during ramping from $E_{kin} = 8.6$ to 500 MeV/u for Ar¹⁸⁺



Procedure:

1. Position digitalization of all 12 BPMs
2. Calculation of corrector setting on fast (FPGA-based) electronics
3. Submission to corrector magnets
4. New position measurement

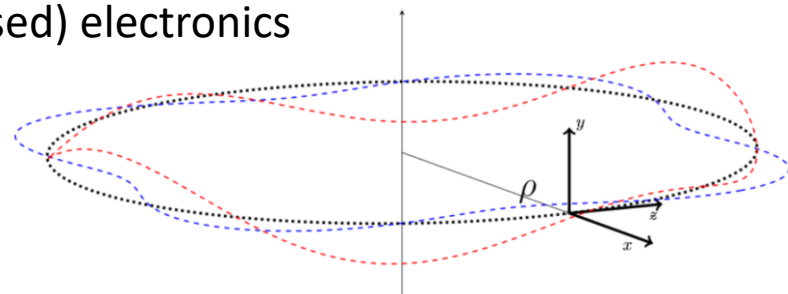
⇒ regulation time down to 10 ms

Rule of thumb:

Movement related to tune i.e. 'natural oscillations by periodic focusing'

To determine the 'sine-like' oscillation 4 BPMs per oscillation are required

⇒ 4 BPMs per tune value (but detailed investigation required to determine the # of BPMs)



Closed Orbit Feedback: Typical Noise Sources

Beam movement:

Short term (min to 10 ms):

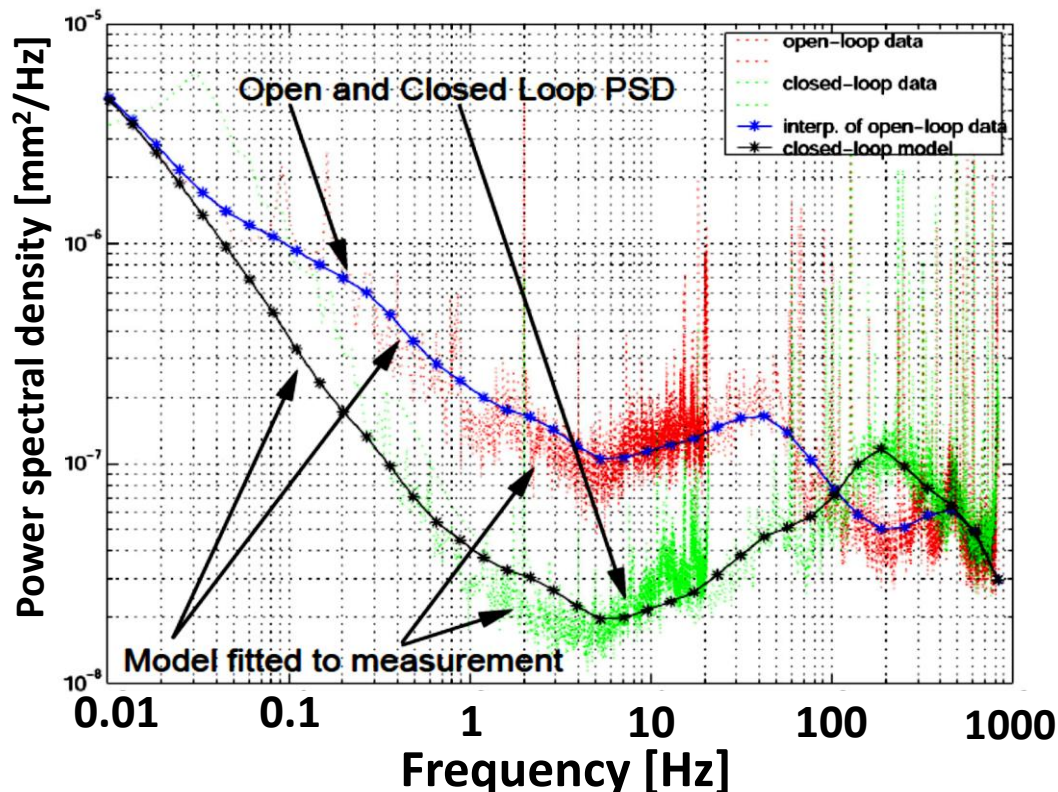
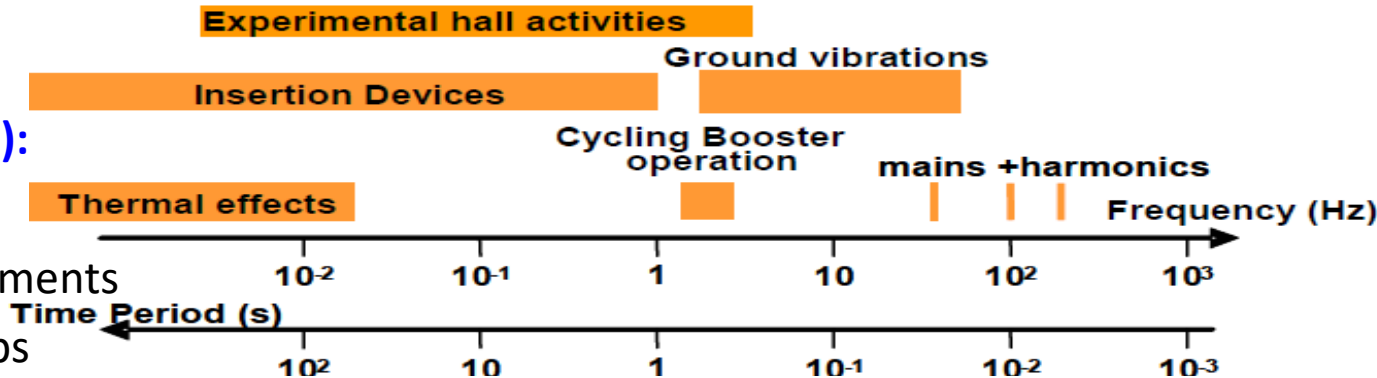
- Traffic
- Machine (crane) movements
- Water & vacuum pumps
- 50 Hz main power net

Medium term (day to min):

- Movement of chambers due to heating by radiation
- Day-night variation
- Tide, moon cycle

Long term (> days):

- Ground settlement
- Seasons, temperature variation

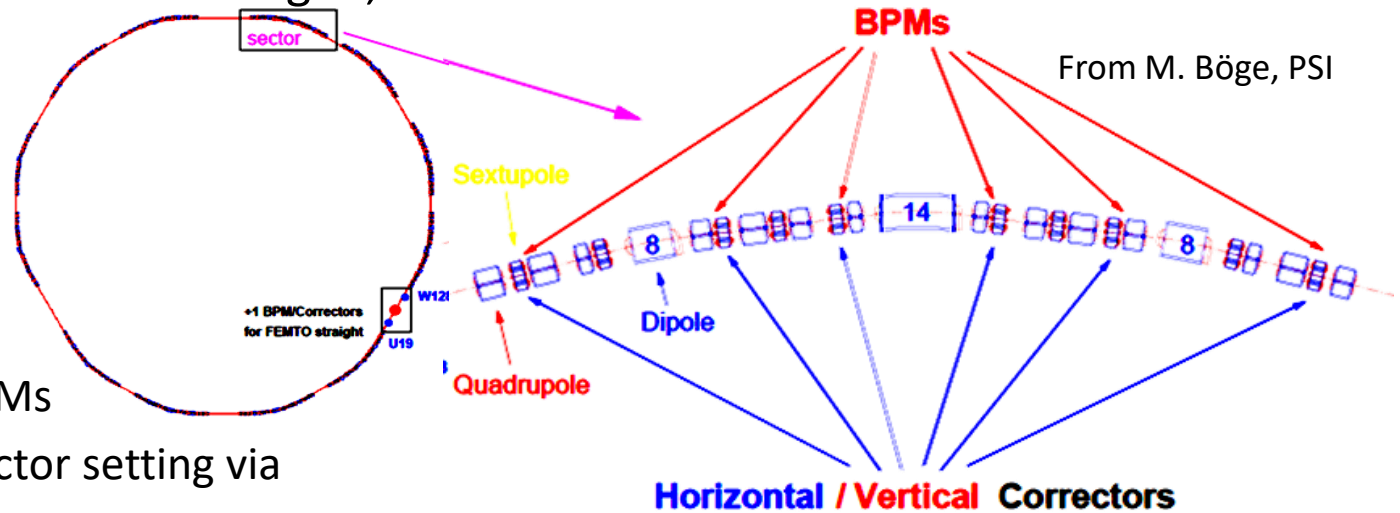


Courtesy M. Böge (PSI) CAS 2008, N. Hubert (Soleil) DIAPC 2009

Close Orbit Feedback: BPMs and magnetic Corrector Hardware

Orbit feedback: Synchrotron light source → spatial stability of light beam

Example: SLS-Synchrotron at Villigen, Switzerland



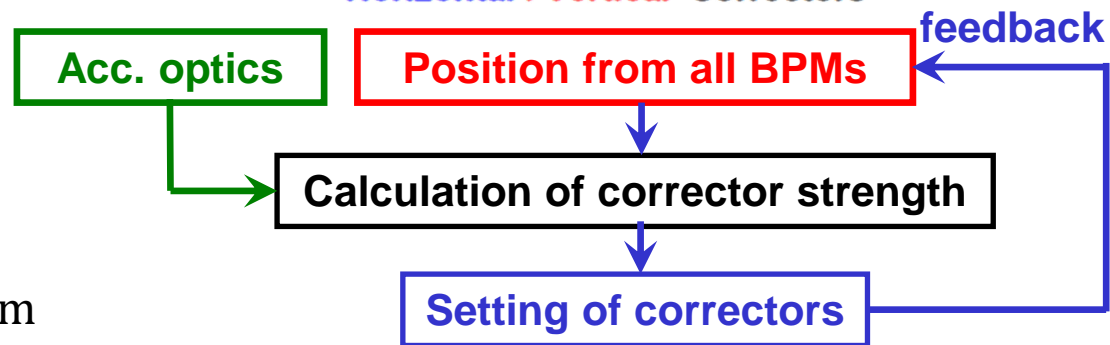
Feedback loop:

1. Position from all BPMs
 2. Calculation of corrector setting via Orbit Response Matrix
 3. Change of magnet setting
 - 1.' New position measurement
- ⇒ regulation time down to 10 ms

Uncorrected orbit: typ. $\langle x \rangle_{rms} \approx 1 \text{ mm}$

Corrected orbit: typ. $\langle x \rangle_{rms} \approx 1 \mu\text{m}$ up to $\approx 100 \text{ Hz}$ bandwidth!

Rule of thumb: 4 BPMs per tune value (to determine the 'sine-like' betatron oscillation)



Close Orbit Feedback: Mathematical Treatment

Linear beam optics lead to Orbit Response Matrix ORM:

Kick angle θ_j of single steerer j transfers to an offset x_i at BPM i :

$$x_i = \frac{\sqrt{\beta_i \beta_j}}{2 \sin \pi Q} \cos(\pi Q - |\mu_i - \mu_j|) * \theta_j \equiv R_{ij} * \theta_j$$

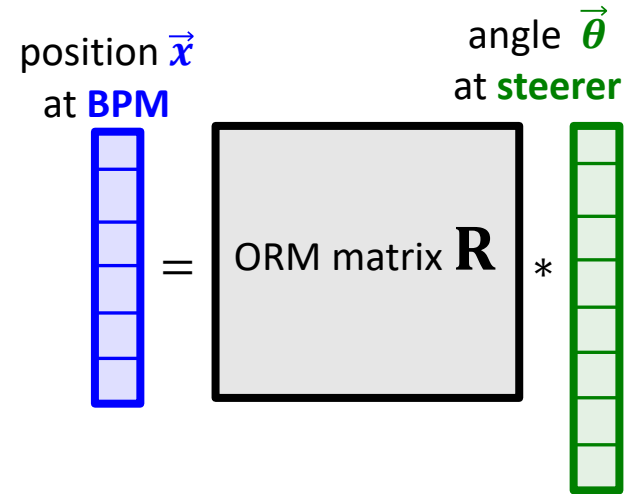
(Q transverse tune, $|\mu_i - \mu_j|$ phase advance, β_i, β_j : beta functions)

For all steerers $j = 1 \dots M$ and all BPMs $i = 1 \dots N$ the

Orbit Response Matrix \mathbf{R} is defined as: $\vec{x} = \mathbf{R} * \vec{\theta}$

The matrix elements must be measured beforehand by

changing each steerer and observing the position at each BPM

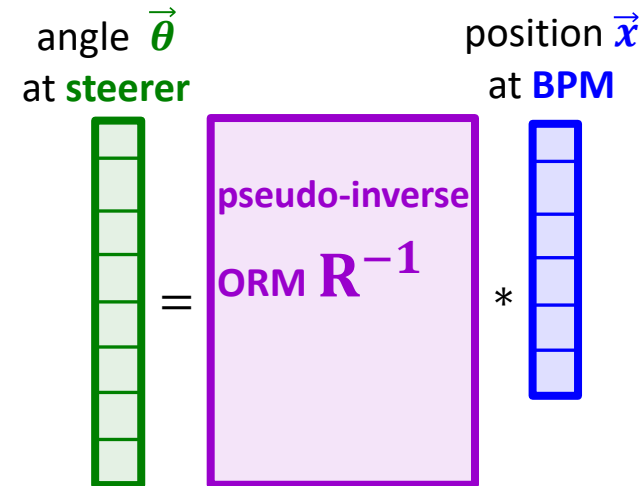


Closed orbit feedback:

1. Measurement of the position x_i at all BPMs,
2. Determine offset to respect to set value
3. Calculation of new steerer kick θ_j for correction

\Rightarrow determination of pseudo-inverse ORM $\vec{\theta} = \mathbf{R}^{-1} * \vec{x}$

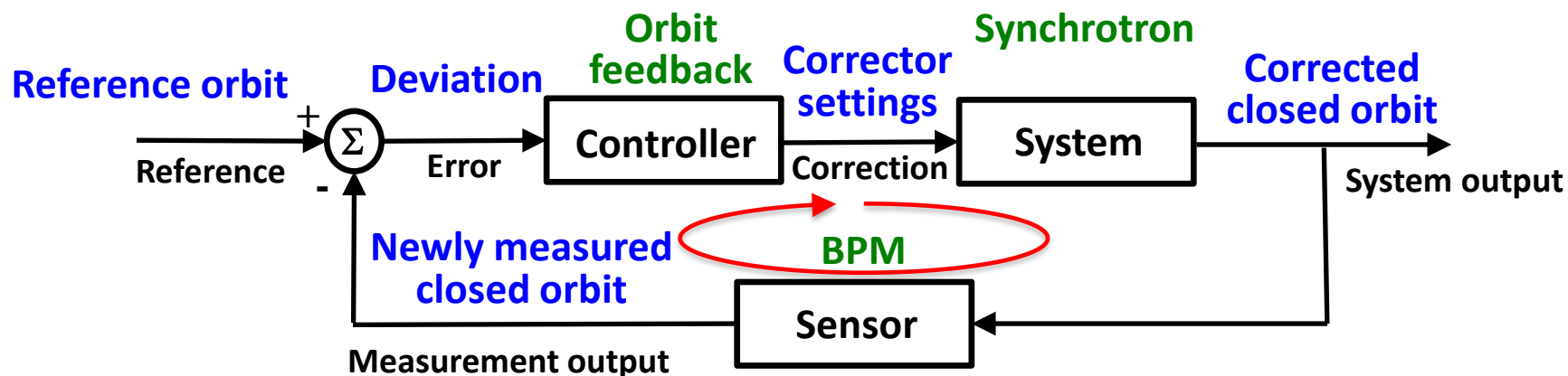
frequently used method: Singular Value Decomposition



Close Orbit Feedback: Controller Principle

A feedback is modelled by Control Theory!

Visualization for a control loop:



Mathematical description for multi-input multi-output (MIMO) system:

- **Synchrotron optics:** Orbit Response Matrix
- **System reaction:** Model for power supplies, beam dynamics, tune spread etc.
- **BPM:** Accuracy and noise

Using Laplace Transformation and frequency domain visualization for dynamic behavior

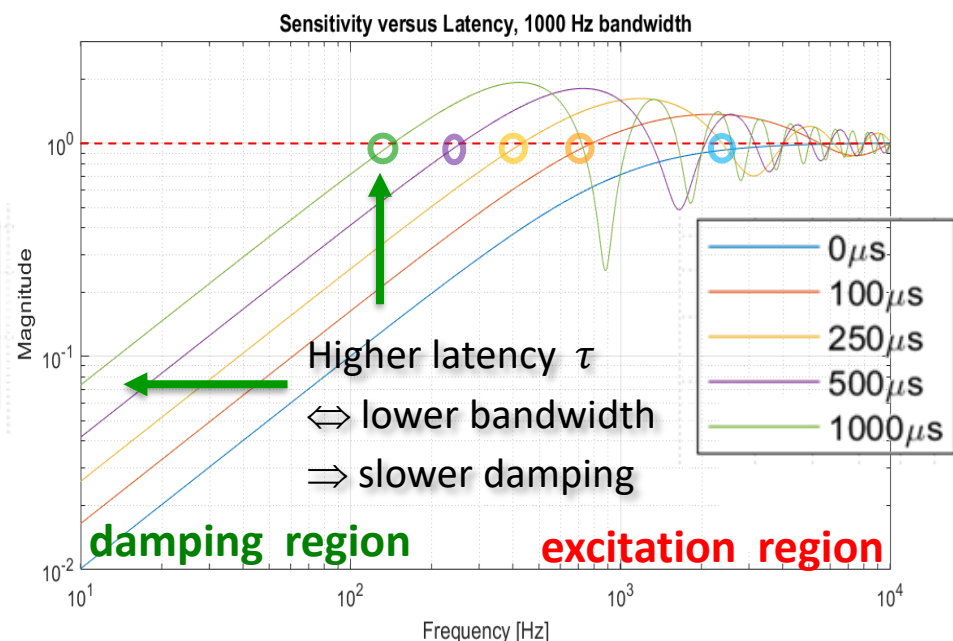
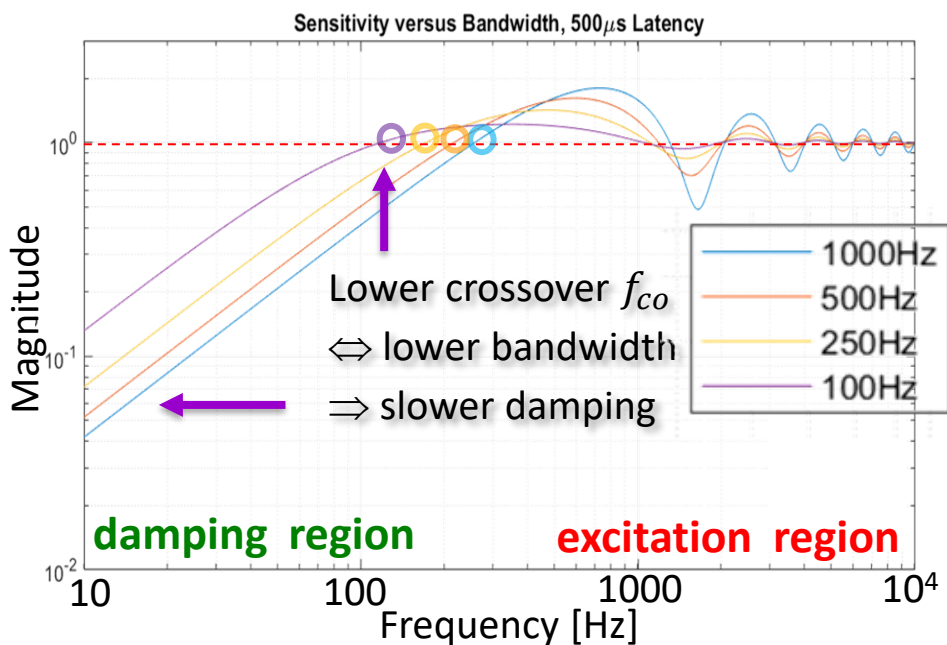
Close Orbit Feedback: System Bandwidth

Reaction depends on bandwidth and latency:

Performance measure by cross over frequency f_{co} and latency τ :

- Beam **damping** below f_{co} by anti-phase correction of disturbance
- Beam **excitation** above f_{co} by in-phase excitation with respect to disturbance

Large bandwidth required to enable fast damping



Slow feedback: time step of orbit correction much lower than system delay

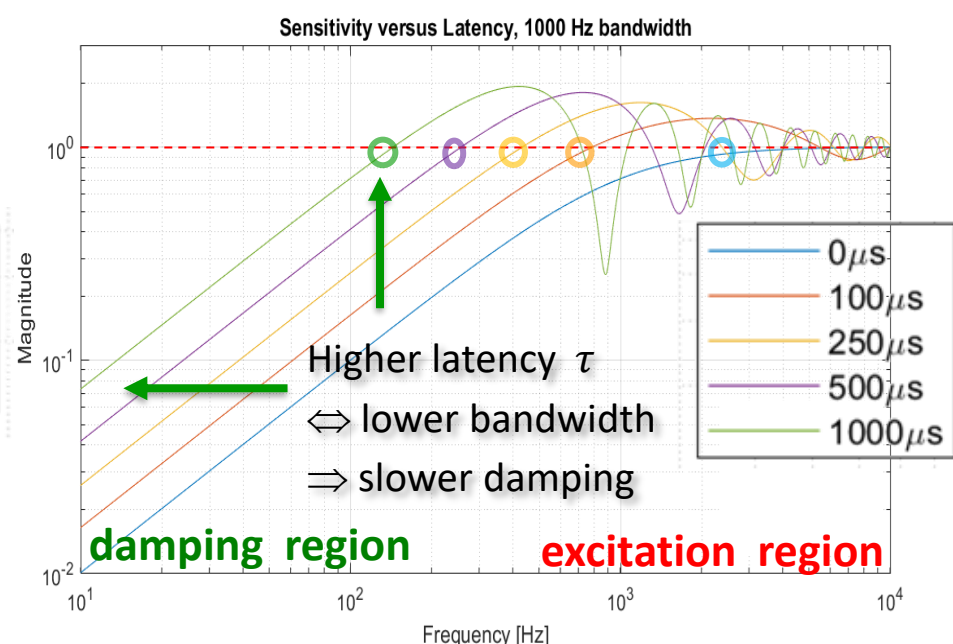
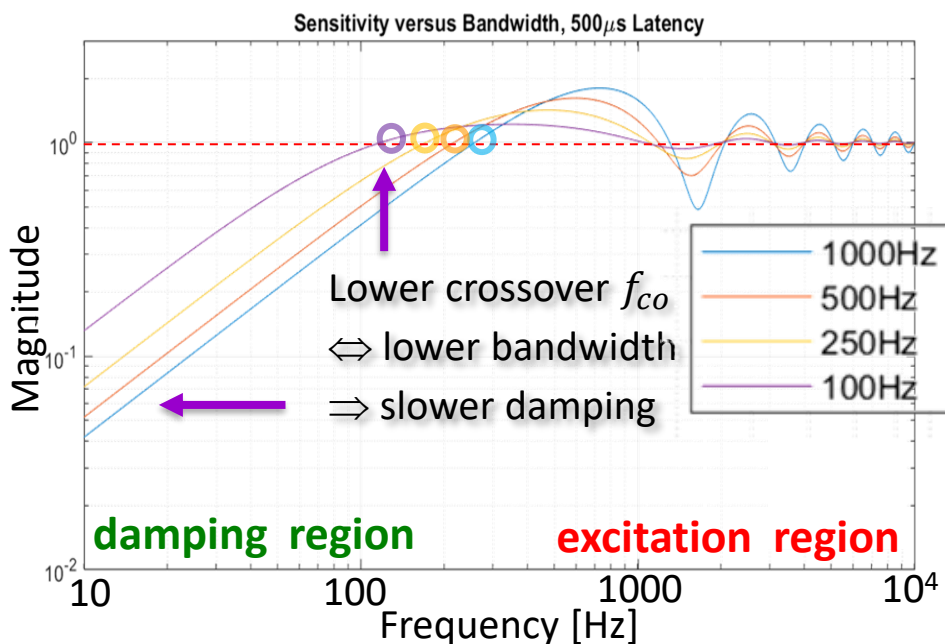
Fast feedback: time step of orbit correction comparable to system delay

Courtesy Guenther Rehm (BESSY), IBIC 2019 & CAS 2026

Close Orbit Feedback: Actual Challenges

Actual challenges (mainly for 4th generation light sources):

- Measurement accuracy for BPMs
- High bandwidth regulation
- High bandwidth power supplies
- Model inclusion of alignment errors
- ⇒ Technical improvements
- Magnets with non-linear field contributions
- Space charge and impedance contributions
- ⇒ Linear approx. by ORM might be insufficient
- ⇒ Proposal of Machine Learning for non-linear problems



Slow feedback: time step of orbit correction much lower than system delay

Fast feedback: time step of orbit correction comparable to system delay

Courtesy Guenther Rehm (BESSY), IBIC 2019 & CAS 2026

Measurement with BPMs

Outline for BPM measurements:

- Signal generation and basic usage:
transfer impedance, button BPM
- Electronics basics:
Schemes for signal processing
- Cavity BPM at FELs
information storage for short pulses
- Trajectory measurement, close orbit feedback:
beam stabilization methods via real-time control
- **Tune and lattice function measurement**

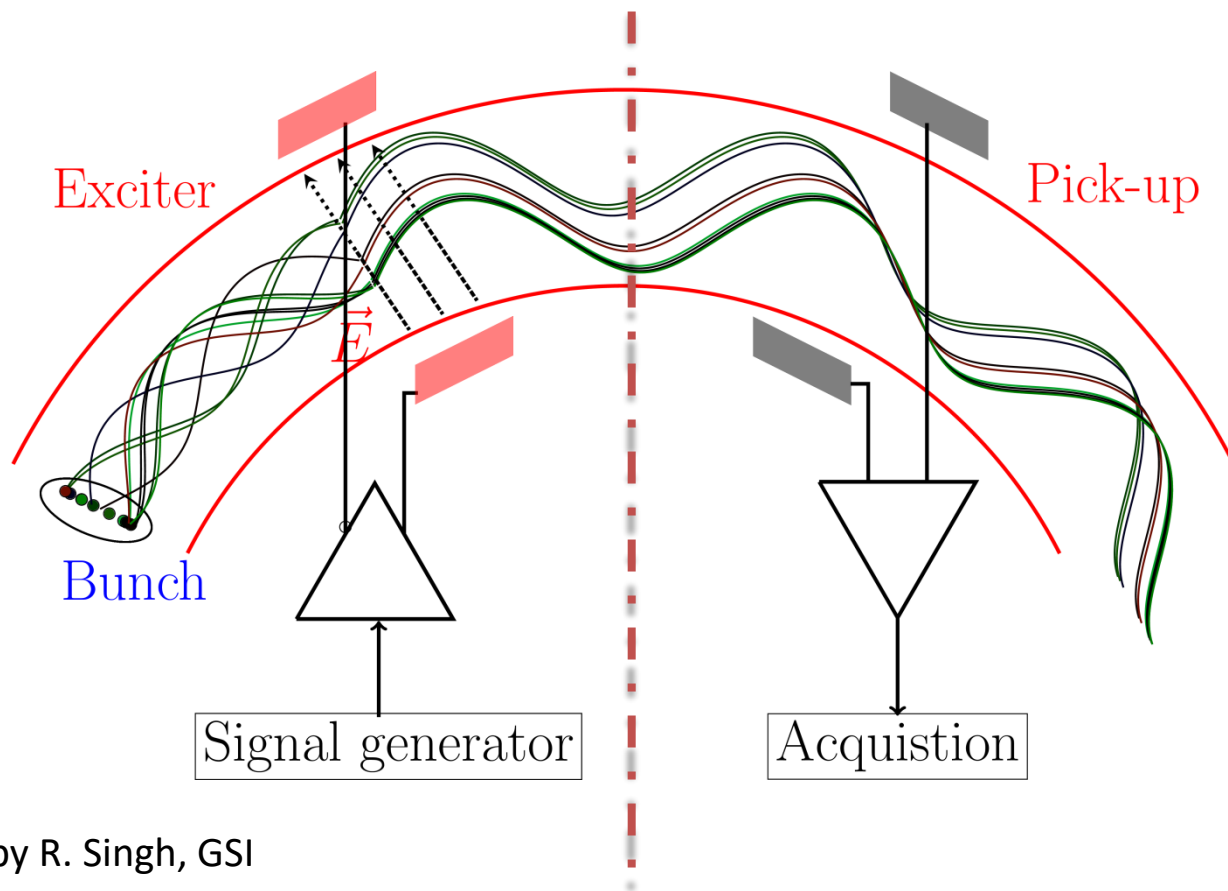
Tune Measurement: General Considerations

Coherent excitations are required for the detection by a BPM

Beam particle's *in-coherent* motion \Rightarrow center-of-mass stays constant

Excitation of **all** particles by rf \Rightarrow *coherent* motion

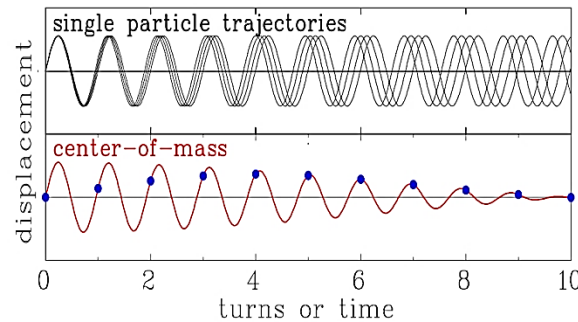
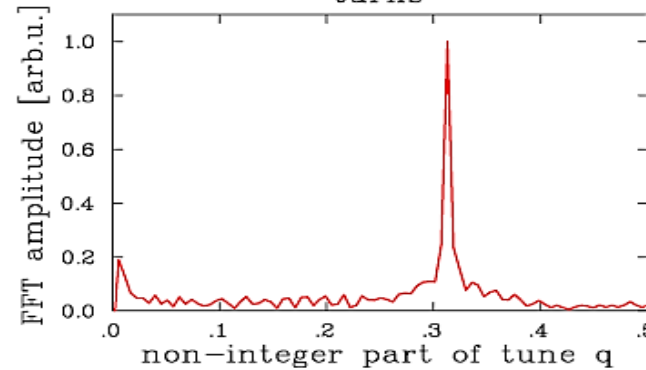
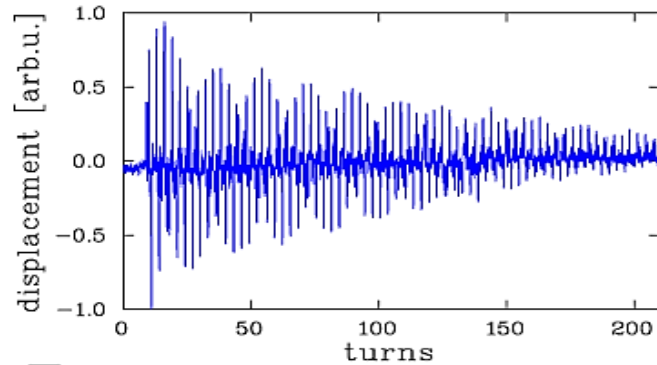
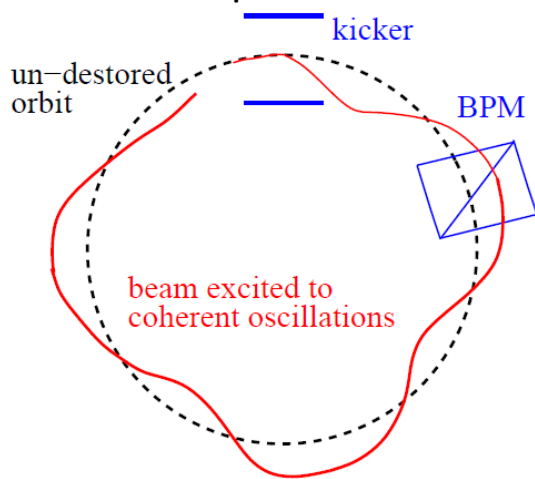
\Rightarrow center-of-mass variation turn-by-turn i.e. center acts as **one** macro-particle



Graphics by R. Singh, GSI

Tune Measurement: The Kick-Method in Time Domain

The beam is excited to **coherent** betatron oscillation:
 → Beam position measured each revolution ('turn-by-turn')
 → Fourier Trans. gives non-integer tune q .
 Short kick compared to revolution.



Decay is caused by de-phasing, **not** by decreasing single particle amplitude.

The de-coherence time limits the **resolution**:

N non-zero samples

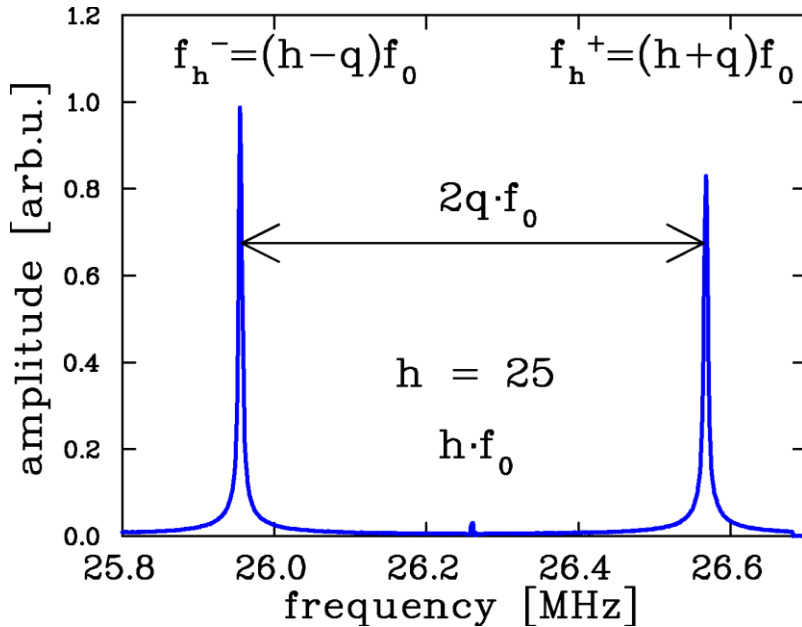
⇒ General limit of discrete FFT: $\Delta q > \frac{1}{2N}$

Here: $N = 200$ turn ⇒ $\Delta q > 0.003$
 (tune spreads can be $\Delta q \approx 0.001!$)

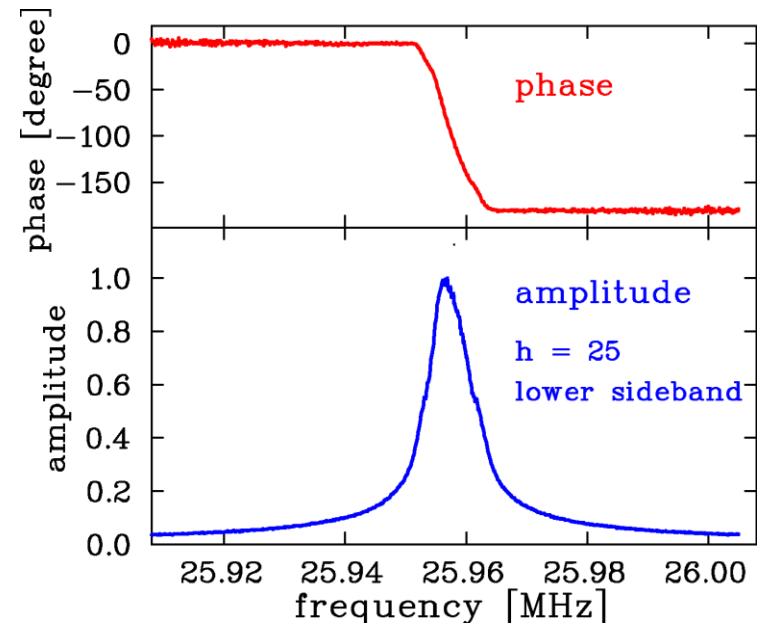
Tune Measurement: Frequency Chirp Measurement

Principle: Slowly scan of the excitation frequency → beam acts as driven oscillator!
 (sometimes refer to as **Beam Transfer Function BTF** or frequency **chirp** measurement)

SIS-synchrotron: A wide scan with both sidebands at $h=25^{\text{th}}$ -harmonics:



A detailed scan for the lower sideband → beam acts like a driven oscillator:



From the position of the sidebands $q = 0.306$ is determined.

Advantage: High resolution, possibility of tune feedback by phase detection

Disadvantage: Long sweep time (up to several seconds).

Alternative: Excitation by band-limited noise with beam 'picks' the correct frequency

Chromaticity Measurement from Closed Orbit Data

Chromaticity ξ : Change of tune for off-momentum particle $\frac{\Delta Q}{Q} = \xi \cdot \frac{\Delta p}{p}$

Two step measurement procedure:

1. Change of momentum p by detuned rf-frequency $\frac{\Delta p}{p} = \eta^{-1} \cdot \frac{\Delta f_{acc}}{f_{acc}}$

2. Excitation of coherent betatron oscillations and tune measurement

(kick-method, BTF, noise excitation):

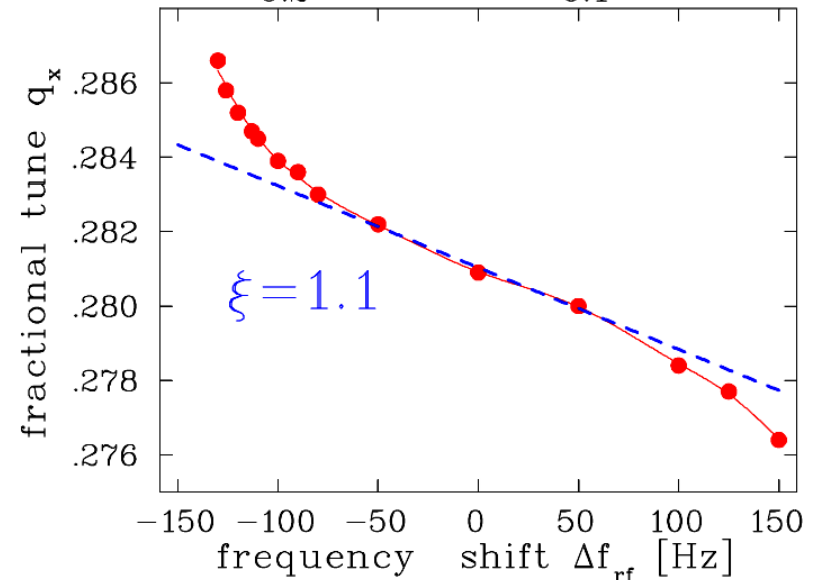
Plot of $\Delta Q/Q$ as a function of $\Delta p/p$

\Rightarrow slope is dispersion ξ .

Example: Measurement at LEP:

momentum shift $\Delta p/p$ [%]

0.2 0.1 0 -0.1 -0.2



From M Minty, F. Zimmermann,
Measurement and Control of charged Particle Beam,
Springer Verlag 2003

Actual Challenges for BPMs

Actual challenges for BPMs and COFB:

→ *Position resolution requirement at 4th generation circular light sources : about 1 μm (!)*

➤ **Low drift analog and digital electronics**

Solution keywords: Pilot tone or channel multiplexing

➤ **Fast signal processing providing high bandwidth & low latency**

Solution keywords: Parallel signal processing on powerful FPGAs

➤ **Improved accelerator model**

Solution keywords: Machine Learning to include non-linearities

Actual challenges for tune etc. measurement:

➤ **Low excitation strength**

Solution keywords: Phase-sensitive tracking (PLL-tracking)

➤ **Possible tune & chromaticity feedback**

Solution keywords: Under development

Related talks at IPAC'26 within MC6:

- Laura Torino (ALBA), *Overview of the beam position monitors development for the next generation light sources*

Measurement of Beam Profile

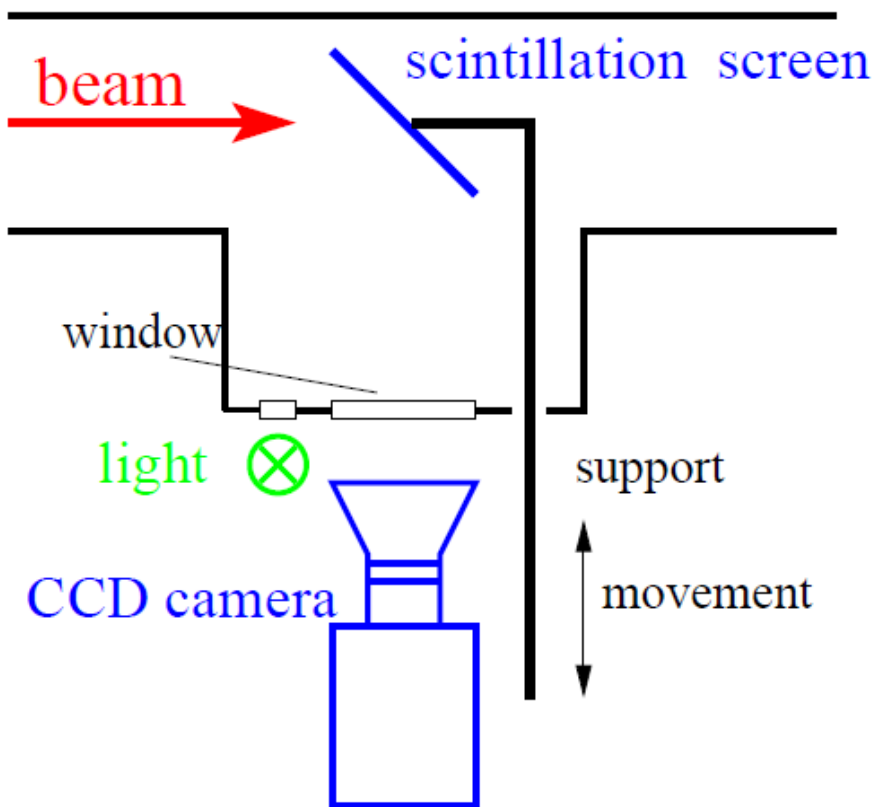
Outline for profile measurements:

- **Scintillation screens:**
emission of light, universal usage, limited dynamic range
- **Optical Transition Radiation:**
light emission due to crossing material boundary, mainly for relativistic beams
- **Ionization Profile Monitor and Beam Induced Fluorescence**
- **Synchrotron Light Monitors**

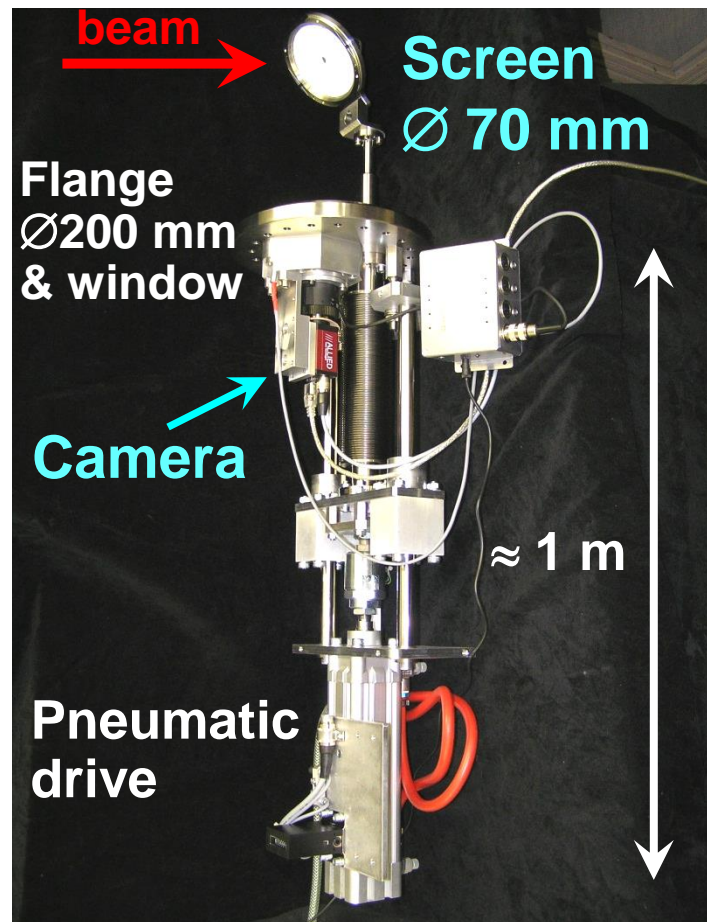
Scintillation Screen

Scintillation: Particle's energy loss in matter causes emission of light

→ the most direct way of profile observation as used from the early days on!



Pneumatic drive with $\varnothing 70$ mm screen:

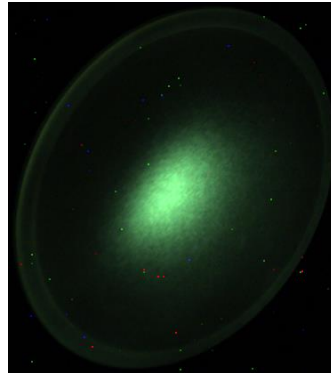


Light output from various Scintillating Screens

Example: Color CCD camera: Images at different particle intensities determined for U at 300 MeV/u



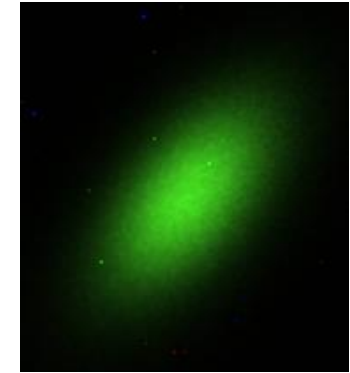
Alumina: Al₂O₃



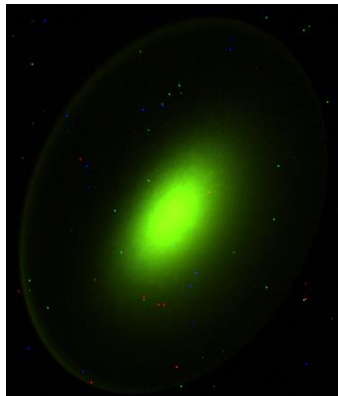
CsI:TI



Chromox: Al₂O₃:Cr



P43



YAG:Ce



Qu



Type	Name	Material	Activ.	Max. λ	Decay
Cera- mics	Chromox	Al ₂ O ₃	Cr	700nm	≈ 10ms
	Alumina	Al ₂ O ₃	Non	380nm	≈ 10ns
Crystal	YAG:Ce	Y ₃ Al ₅ O ₁₂	Ce	550nm	200ns
	LYSO	Lu _{1.8} Y _{0.2} SiO ₅	Ce	420nm	40ns
Powder of gains Ø≈10µm on glass	P43	Gd ₂ O ₃ S	Tb	545nm	1ms
	P46	Y ₃ Al ₅ O ₁₂	Ce	530nm	300ns
	P47	Y ₂ SiO ₅	Ce&Tb	400nm	100ns

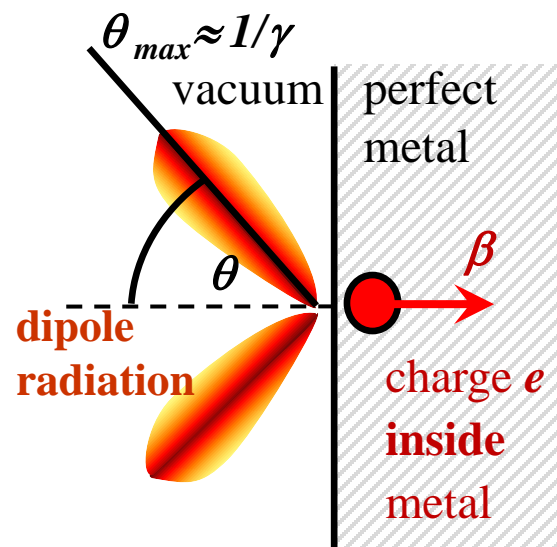
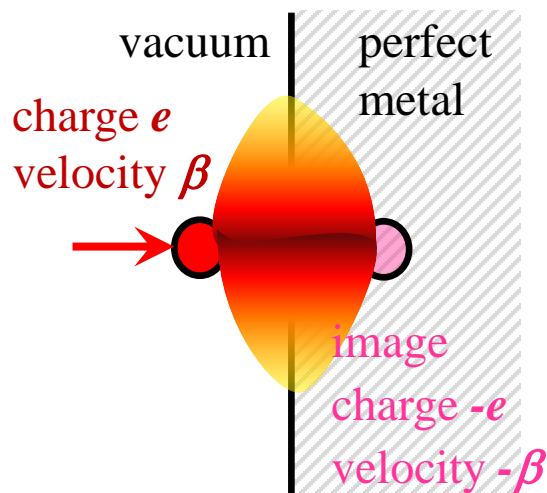
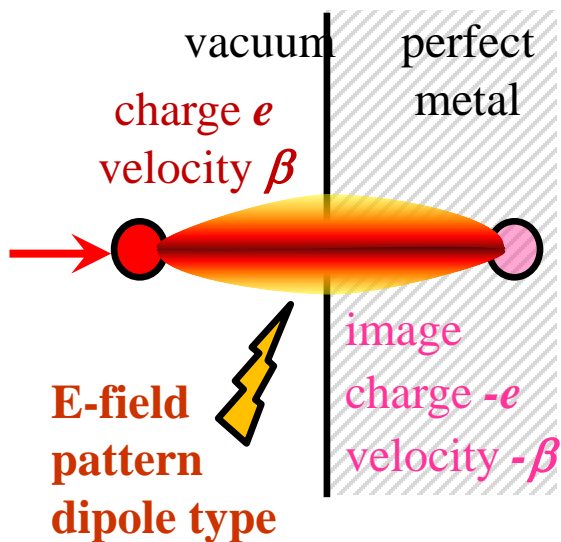
- Very different light yield i.e. photons
- Different wavelength of emitted light

Optical Transition Radiation: Depictive Description

Optical Transition Radiation OTR for a single charge e :

Assuming a charge e approaches an ideal conducting boundary e.g. metal foil:

- Image charge is created by electric field with dipole type field pattern
- Field distribution depends on velocity β and Lorentz factor γ due to relativistic trans. field increase
- Penetration of charge through surface within $t < 10$ fs: sudden change of source distribution
- Emission of radiation with dipole characteristic



Physics: sudden change charge distribution
rearrangement of sources \Leftrightarrow radiation

Other physical interpretation: Impedance mismatch at boundary leads to radiation

Technical Realization of Optical Transition Radiation OTR

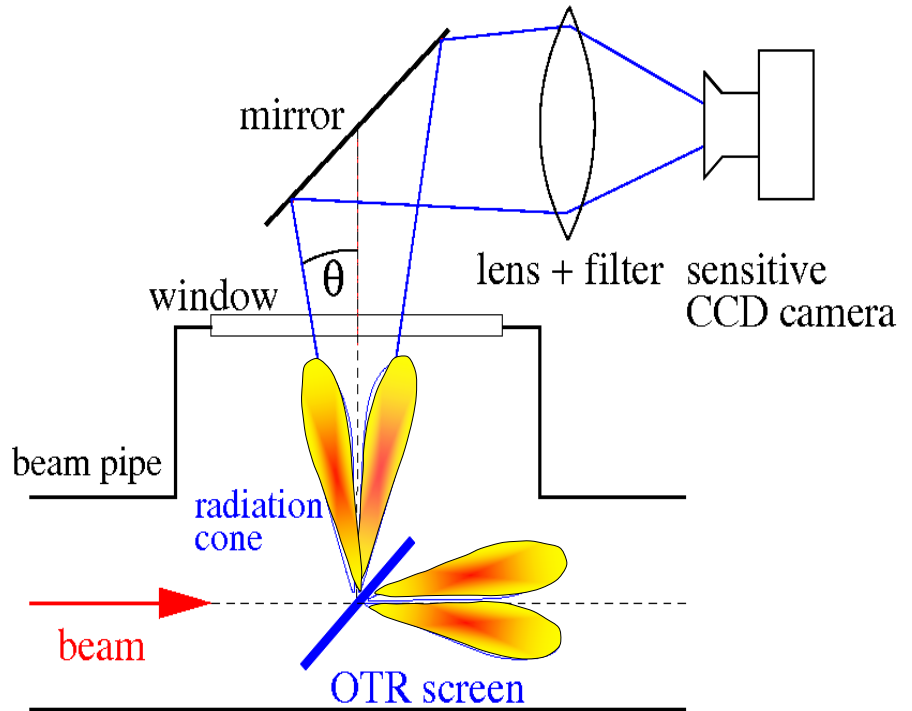
OTR is emitted by charged particle passage through a material boundary.

Photon distribution:

within a solid angle $d\Omega$ and Wavelength interval λ_{begin} to λ_{end}

$$\frac{dN_{photon}}{d\Omega} = N_{beam} \cdot \frac{2e^2 \beta^2}{\pi c} \cdot \log\left(\frac{\lambda_{begin}}{\lambda_{end}}\right) \cdot \frac{\theta^2}{(\gamma^{-2} + \theta^2)^2}$$

- Detection: Optical $400 \text{ nm} < \lambda < 800 \text{ nm}$
- Larger signal for relativistic beam $\gamma \gg 1$
- Low divergence for $\gamma \gg 1 \Rightarrow$ large signal
- \Rightarrow **Well suited for e^- beams**
- \Rightarrow **p-beam used for $E_{kin} \gtrsim 10 \text{ GeV} \Leftrightarrow \gamma \gtrsim 10$**



- Insertion of thin Al-foil under 45°
- Observation of low light by CCD.

Measurement of Beam Profile

Outline:

- Scintillation screens:
emission of light, universal usage, limited dynamic range
- Optical Transition Radiation:
light emission due to crossing material boundary, mainly for relativistic beams
- **Ionization Profile Monitor and Beam Induced Fluorescence:**
secondary particle detection from interaction beam-residual gas
- Synchrotron Light Monitors

Interaction between Residual Gas and the Beams

Physics: Energy loss of ions in gas dE/dx

⇒ Profile determination from residual gas

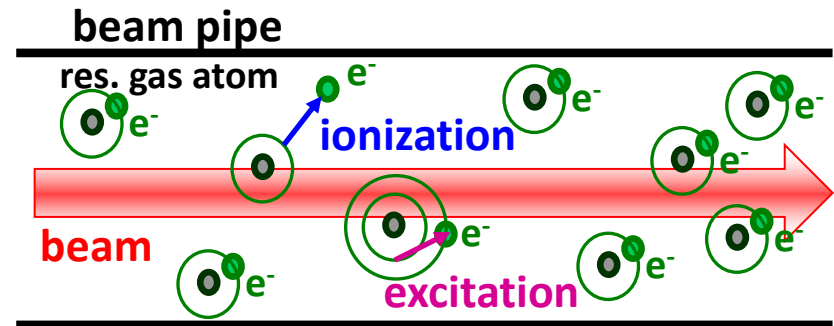
➤ Ionization:

in average roughly ≈ 100 eV/ionization

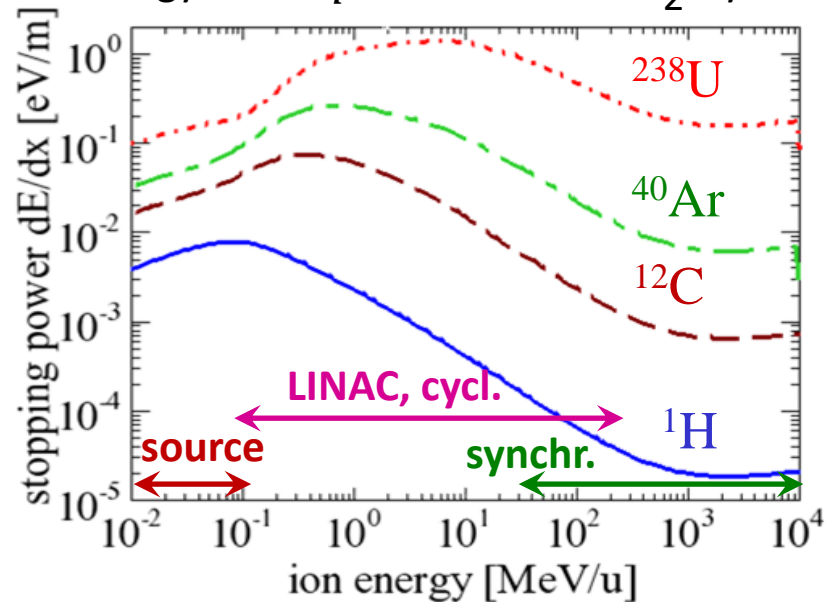
➤ Excitation followed by photon emission:

in average roughly ≈ 300 eV/event e.g. N_2

However, depends strongly on gas molecule



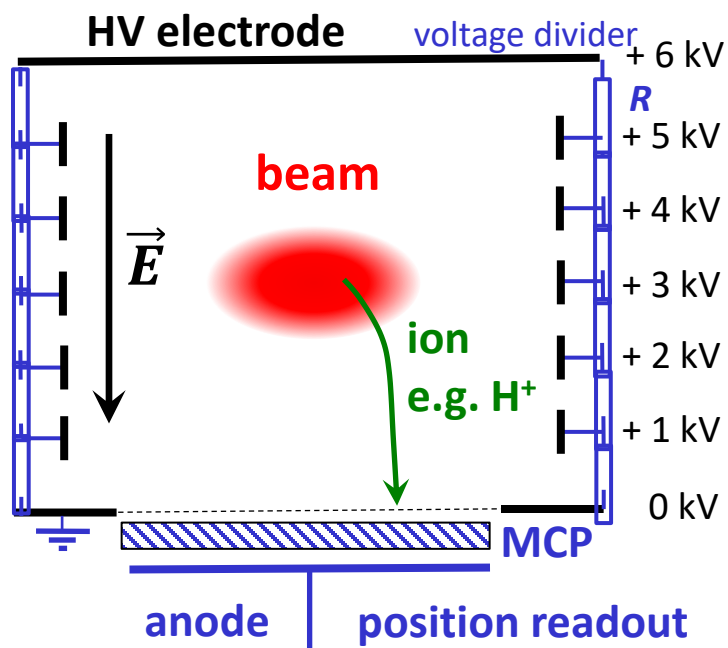
Energy loss in $p = 10^{-7}$ mbar N_2 by SRIM



Ionization Profile Monitor at GSI Synchrotron

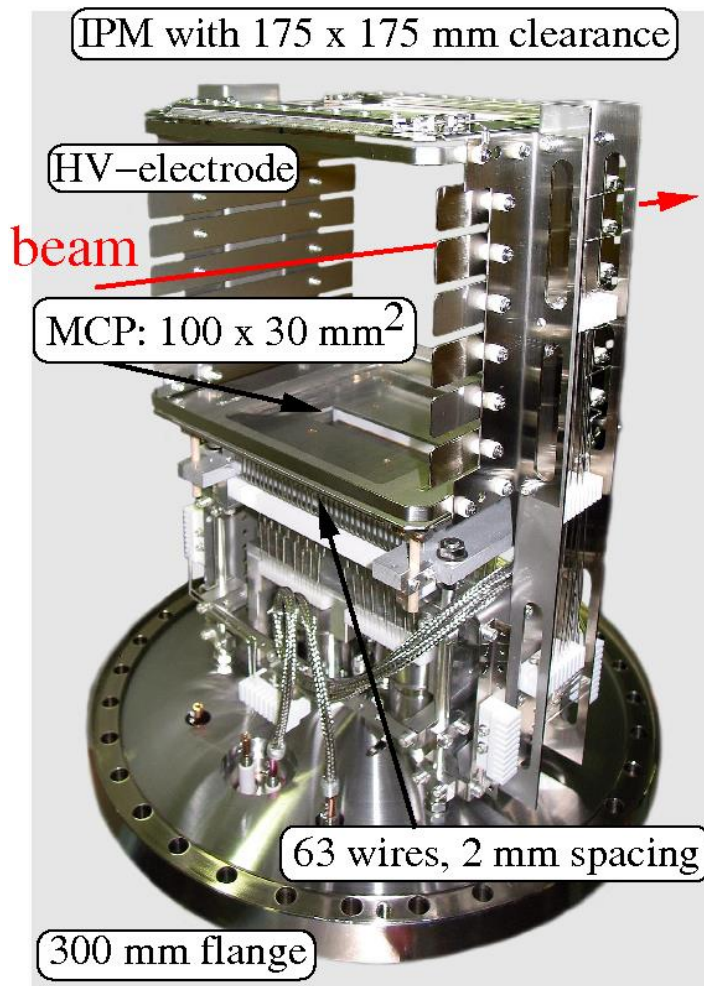
Non-destructive device for proton synchrotron:

- Beam ionizes the residual gas by electronic stopping
- Gas ions or e^- accelerated by E -field ≈ 1 kV/cm
- Spatial resolved single particle detection



Abbreviation: Ionization Profile Monitor **IPM** or
at CERN Beam Gas Ionization **BGI** Monitor

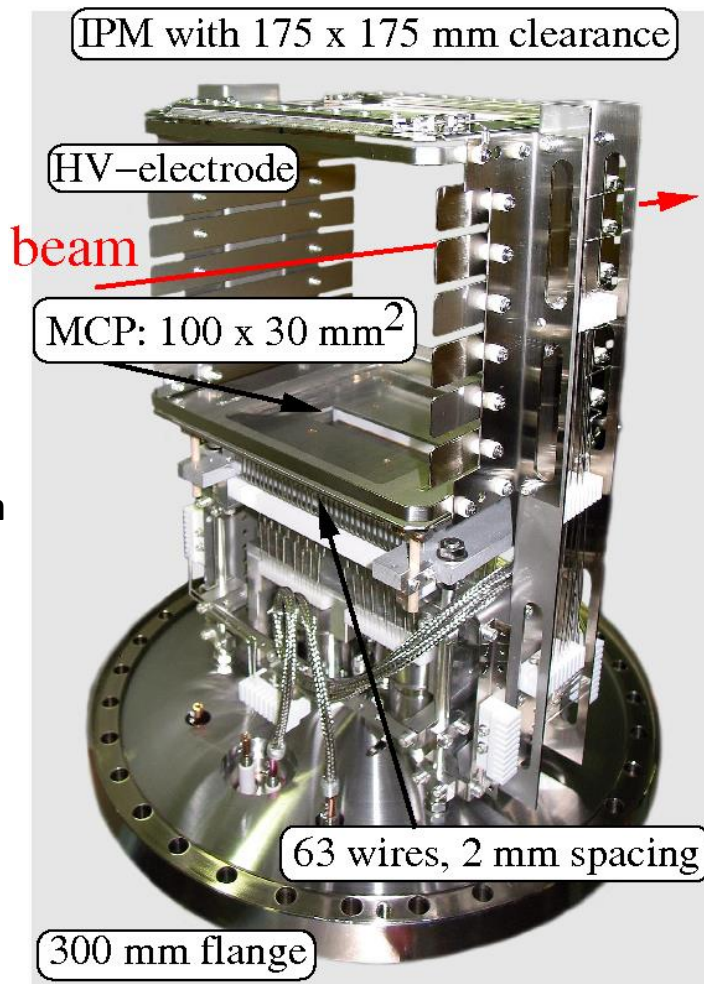
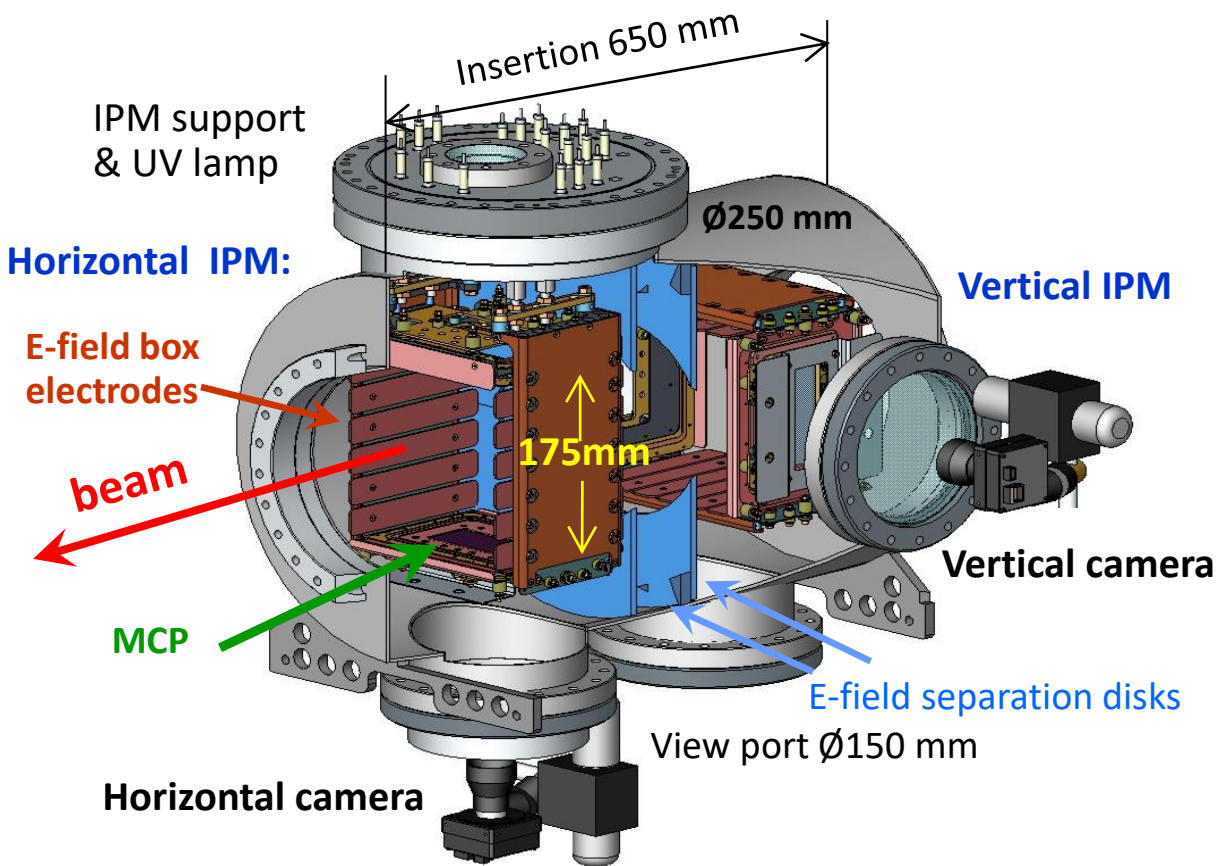
Realization at GSI synchrotron:
One monitor per plane



Ionization Profile Monitor Realization

The realization for the heavy ion storage ring ESR at GSI: Realization at GSI synchrotron:

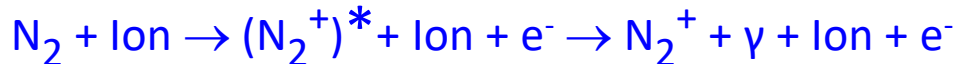
One monitor per plane



Beam Induced Fluorescence for intense Profiles

Large beam power → Non-intercepting method: *Example: Installation of hor&vert. BIF Monitor:*

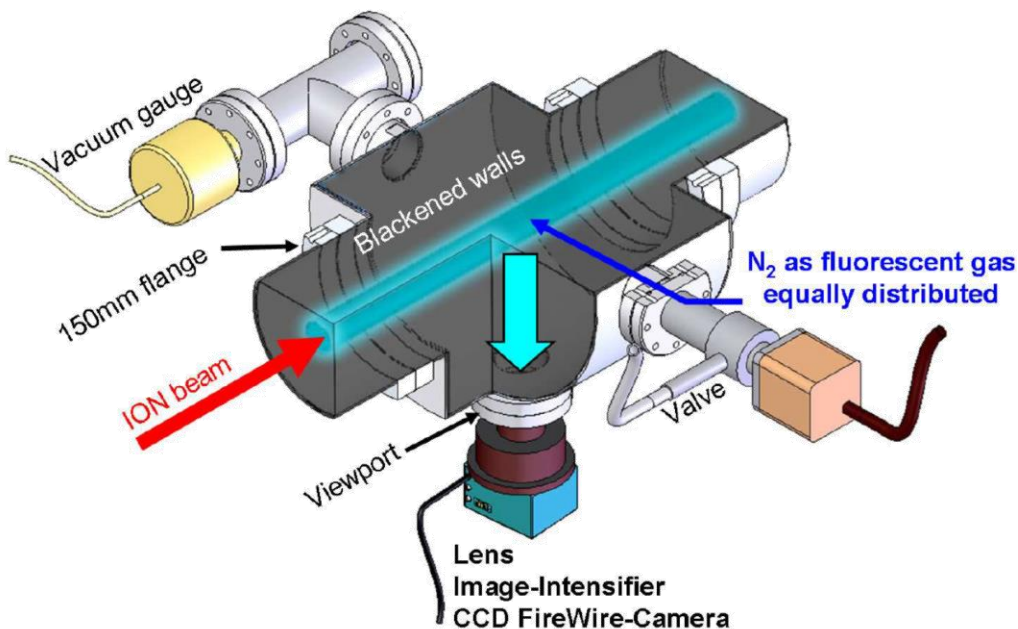
⇒ **B**eam **I**nduced **F**luorescence BIF



With single photon detection scheme

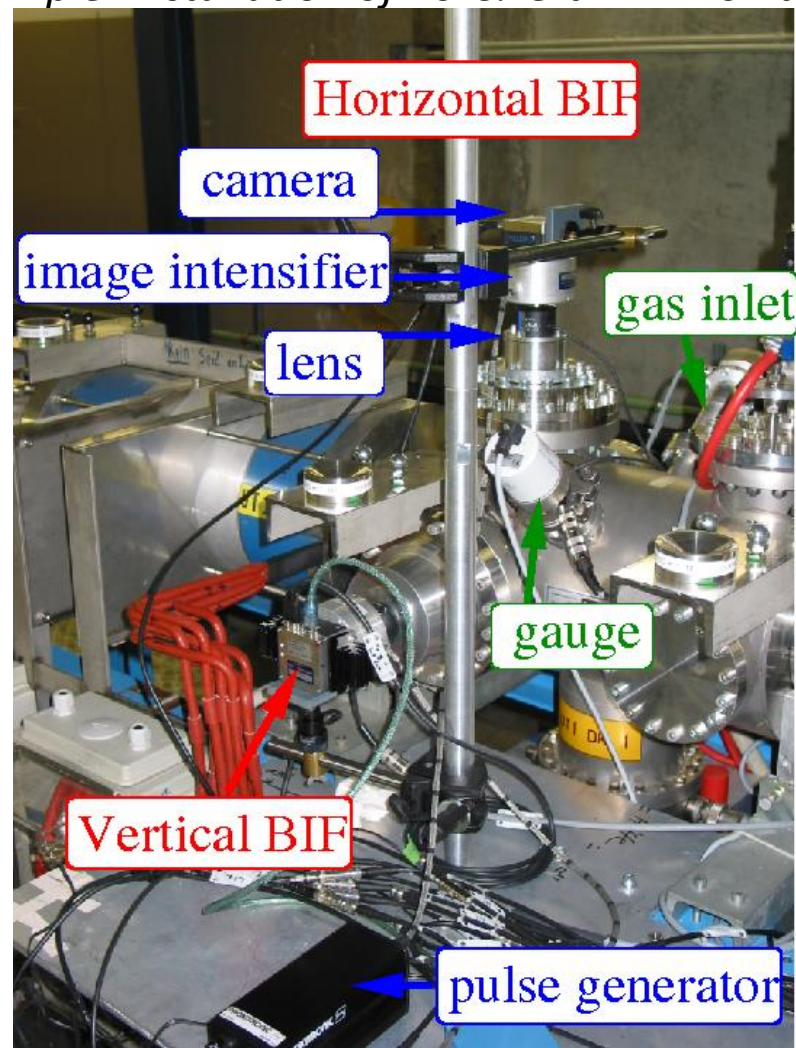
390 nm < λ < 470 nm blue wavelength

⇒ non-destructive, compact installation.



Fluorescence gas:

- Either from residual gas or extended gas bump
- Or intersecting gas jet



Measurement of Beam Profile

Outline:

- Scintillation screens:
emission of light, universal usage, limited dynamic range
- Optical Transition Radiation:
light emission due to crossing material boundary, mainly for relativistic beams
- Ionization Profile Monitor and Beam Induced Fluorescence:
secondary particle detection from interaction beam-residual gas
- **Synchrotron Light Monitors**
standard for circular light sources, visible or X-ray range

Synchrotron Light Monitor

An electron bent (i.e. accelerated) by a dipole magnet emit synchrotron light.

This light is emitted into a cone of opening $2/\gamma$ in lab-frame.

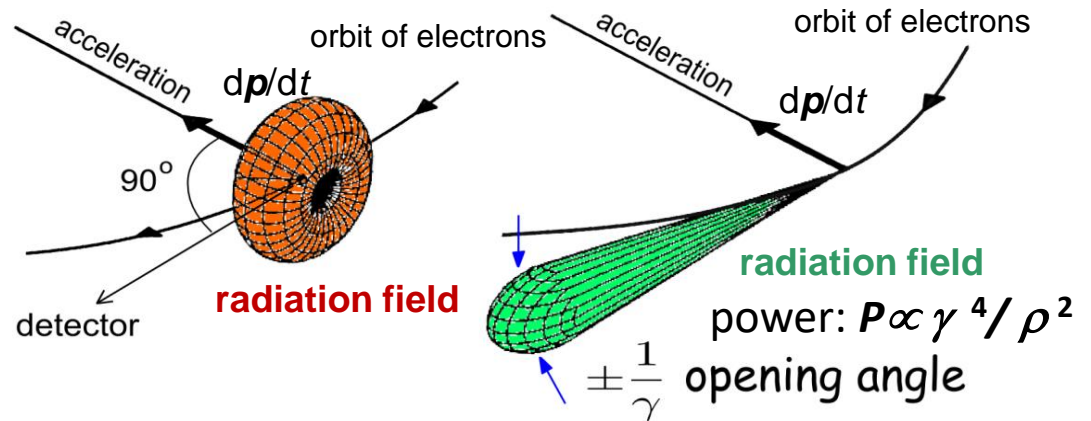
⇒ Well suited for rel. e^-

For protons:

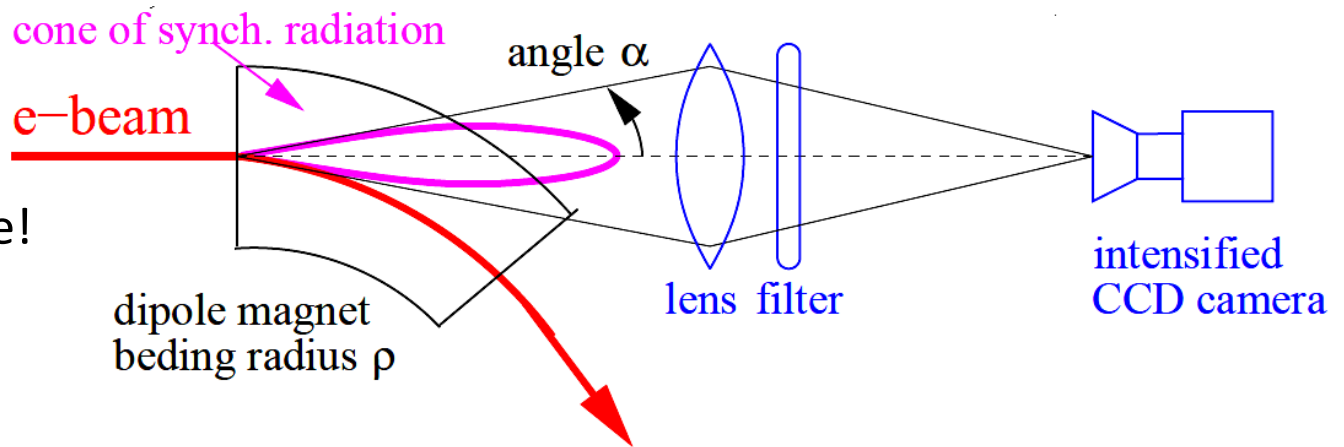
Only for energies $E_{kin} > 100$ GeV

Rest frame of electron:

Laboratory frame:



The light is focused to a camera



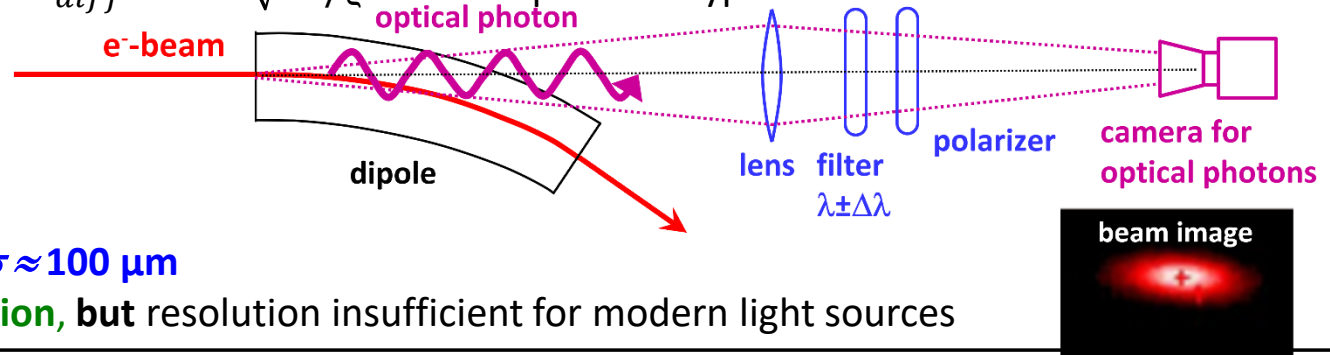
Advantage:

Signal anyhow available!

Synchrotron Light Monitor overcoming Diffraction Limit

Problem: The diffraction limit is $\sigma_{diff} \approx 0.6 \sqrt[3]{\lambda^2/\rho} \approx 100 \mu\text{m}$ for a typical case

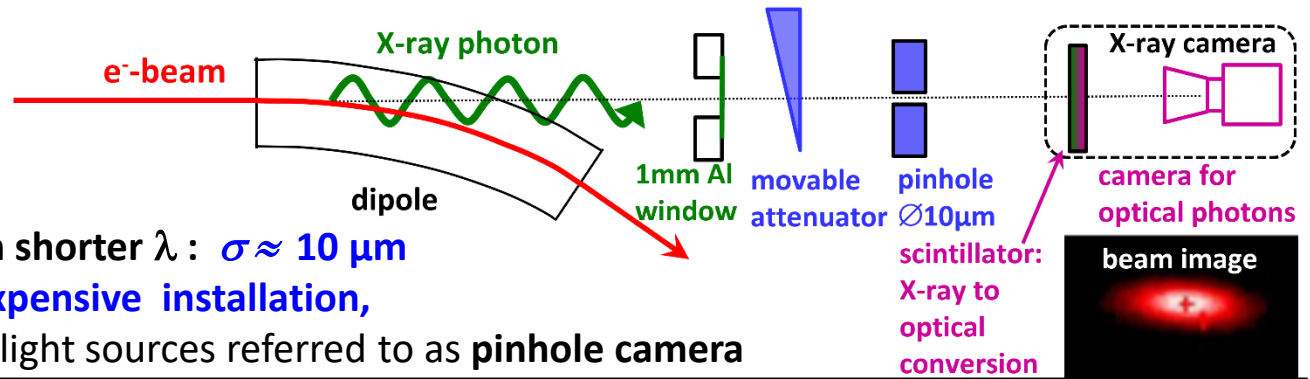
Optical imaging:
Spatial resolution
roughly $\approx 100 \mu\text{m}$



Direct optical observation: $\sigma \approx 100 \mu\text{m}$

→ **Relative simple installation**, but resolution insufficient for modern light sources

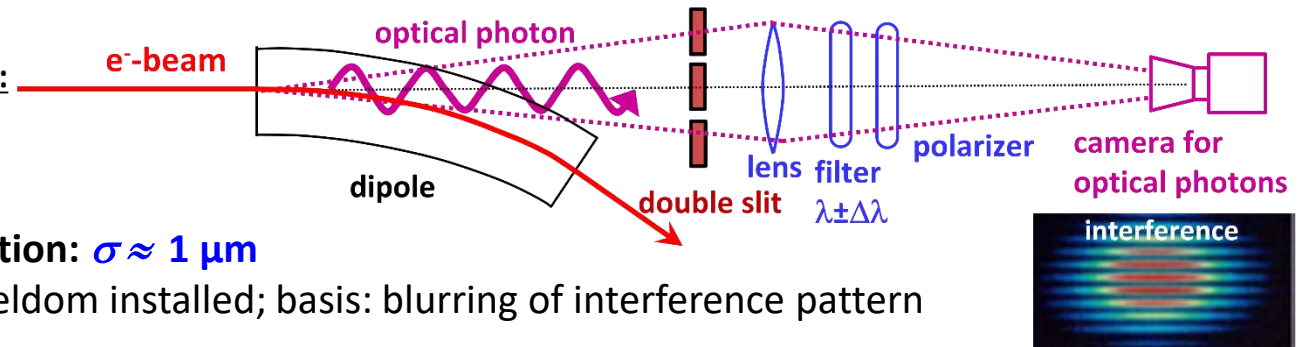
X-ray imaging:
Spatial resolution
roughly $\approx 10 \mu\text{m}$



Direct X-ray observation with shorter λ : $\sigma \approx 10 \mu\text{m}$

→ **Medium complex but expensive installation**, installed at most synchr. light sources referred to as **pinhole camera**

Optical interference:
Spatial resolution
roughly $\approx 1 \mu\text{m}$



Interference optical observation: $\sigma \approx 1 \mu\text{m}$

→ **Complex installation**, seldom installed; basis: blurring of interference pattern

Actual Challenges for Profile Measurement

Actual challenges for profile measurement:

➤ High resolution and dynamic range

Solution keywords: Material choice, complicated optical setup,
sensitive electronics and/or optics

➤ Non-invasive methods

Reason: high beam power at hadron acc., multi-location observation

Solution keywords: Machine Learning based image reconstruction

Related talks at IPAC'26 within MC6:

- Renjun Yang (CSNS), *Achieve a record dynamic range of halo diagnostics with a novel fluorescence wire concept*
- Carsten Welsch (University of Liverpool), *Progress in Data-Driven Beam Diagnostics within LIV.INNO*

Outline for transverse emittance diagnostics:

- **Quadrupole strength variation and position measurement**
emittance from several profile measurement and beam optical calculation

Definition of Coordinates and basic Equations

The basic vector is 6 dimensional:

$$\vec{x} = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \\ \delta \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{long. deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [10^{-3}] \end{pmatrix}$$

The transformation of a single particle from a location s_0 to s_1 is given by the Transfer Matrix R : $\vec{x}(s_1) = R(s) \cdot \vec{x}(s_0)$

The transformation of a the envelope from a location s_0 to s_1 is given by the Beam Matrix σ : $\Sigma(s_1) = R(s) \cdot \Sigma(s_0) \cdot R^T(s)$

6-dim Beam Matrix with decoupled hor., vert. and long. plane:

$$\Sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & 0 & 0 \\ \sigma_{12} & \sigma_{22} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sigma_{55} & \sigma_{56} \\ 0 & 0 & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix}$$

horizontal
vertical
longitudinal
hor.-long. coupling
→ 10 values

Horizontal
beam matrix:

Beam width for the three coordinates:

$$\sigma_{11} = \langle x^2 \rangle \quad x_{rms} = \sqrt{\sigma_{11}}$$

$$\sigma_{12} = \langle x x' \rangle \quad y_{rms} = \sqrt{\sigma_{33}}$$

$$\sigma_{22} = \langle x'^2 \rangle \quad l_{rms} = \sqrt{\sigma_{55}}$$

Definition of Beam Matrix: 6-dim versus 2-dim Matrices

Generally, the beam matrix contains all 6-dim variances (i.e. correlations):

$$\Sigma_x = \begin{pmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle & \langle xl \rangle & \langle x\delta \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle & \langle x'l \rangle & \langle x'\delta \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle & \langle yl \rangle & \langle y\delta \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle & \langle y'l \rangle & \langle y'\delta \rangle \\ \langle lx \rangle & \langle lx' \rangle & \langle ly \rangle & \langle ly' \rangle & \langle ll \rangle & \langle l\delta \rangle \\ \langle \delta x \rangle & \langle \delta x' \rangle & \langle \delta y \rangle & \langle \delta y' \rangle & \langle \delta l \rangle & \langle \delta\delta \rangle \end{pmatrix}$$

Σ is symmetric matrix $\Sigma = \Sigma^T$
due to $\langle xx' \rangle = \langle x'x \rangle$ etc.

$\Rightarrow \Sigma \in \mathbb{R}^{6 \times 6}$ has 21 independent quantities if all directions are coupled.

- For **linear** forces, the equation $\Sigma(\mathbf{s}_1) = \mathbf{R}(\mathbf{s}) \cdot \Sigma(\mathbf{s}_0) \cdot \mathbf{R}^T(\mathbf{s})$ is valid for **all** dimensions
- For **linear** forces, the **6-dim** emittance is preserved: $\varepsilon_{6d}^2 = \det \Sigma = \text{const.}$

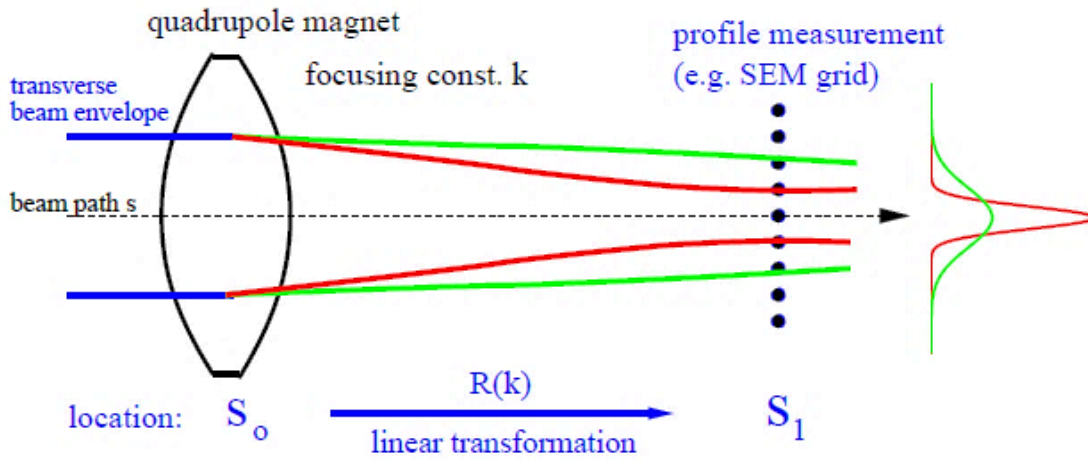
Remarks:

- A 2-dim matrix $\Sigma_x \in \mathbb{R}^{2 \times 2}$ was used in textbooks providing simpler formulas
- **Non-linear** forces are e.g.:
 - Sextupole, octupole etc. i.e. higher-order magnetic fields
 - Non-linear contribution by longitudinal rf as it is a sine-wave
 - **Space charge forces for intense beams or cooled beams**
 - **Impedance contributions**

Emittance Measurement by Quadrupole Variation

From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.

The basis is beam matrix transformation $\sigma(s_1) = \mathbf{R}(s_0 \rightarrow s_1) \cdot \sigma(s_0) \cdot \mathbf{R}^T(s_0 \rightarrow s_1)$



➤ Measurement of beam width

$$x_{max}^2 = \sigma_{11}(1, k)$$

➤ With the drift matrix the transfer is

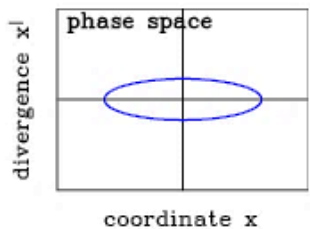
$$\mathbf{R}(k_i) = \mathbf{R}_{drift} \cdot \mathbf{R}_{focus}(k_i)$$

➤ Transformation of the beam matrix

$$\Sigma(1, k_i) = \mathbf{R}(k_i) \cdot \Sigma(0) \cdot \mathbf{R}^T(k_i)$$

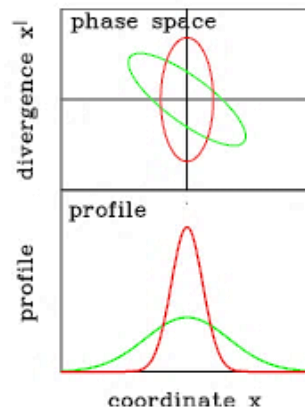
Task: Calculation of matrix $\sigma(0)$

at entrance s_0 i.e. all three elements



beam matrix:
(Twiss parameters)

$\sigma_{11}(0), \sigma_{12}(0), \sigma_{22}(0)$
to be determined



measurement:

$$x^2(k) = \sigma_{11}(1, k)$$

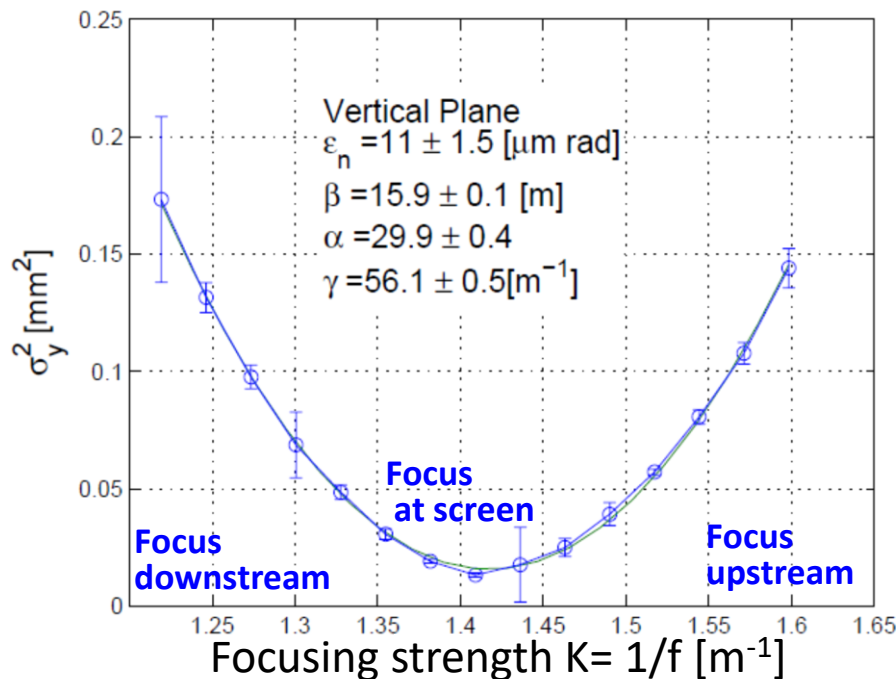
Measurement of transverse Emittance under ideal Conditions

Using the 'thin lens approximation' i.e. the quadrupole has a focal length of f :

$$\mathbf{R}_{focus}(K) = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ K & 1 \end{pmatrix} \Rightarrow \mathbf{R}(L, K) = \mathbf{R}_{drift}(L) \cdot \mathbf{R}_{focus}(K) = \begin{pmatrix} 1 + LK & L \\ K & 1 \end{pmatrix}$$

Measurement of matrix-element $\sigma_{11}(s_1, K)$ from matrices $\sigma(s_1, K_i) = \mathbf{R}(K_i) \cdot \sigma(s_0) \cdot \mathbf{R}^T(K_i)$

Example: Square of the beam width at ELETTRA 100 MeV e^- Linac, YAG:Ce screen:



G. Penco (ELETTRA) et al., EPAC'08

For completeness: The relevant formulas

$$\begin{aligned} \sigma_{11}(1, K) &= L^2 \sigma_{11}(0) \cdot K^2 \\ &+ 2 \cdot (L \sigma_{11}(0) + L^2 \sigma_{12}(0)) \cdot K \\ &+ L^2 \sigma_{22}(0) + \sigma_{11}(0) \\ &\equiv a \cdot K^2 - 2ab \cdot K + ab^2 + c \\ &= a \cdot (K - b)^2 + c \end{aligned}$$

A fit delivers the beam matrix elements $\sigma_{ij}(s_0)$

Assumptions:

- Well aligned beam, no steering
- 'Regular' phase space distribution
- No emittance blow-up due to space charge

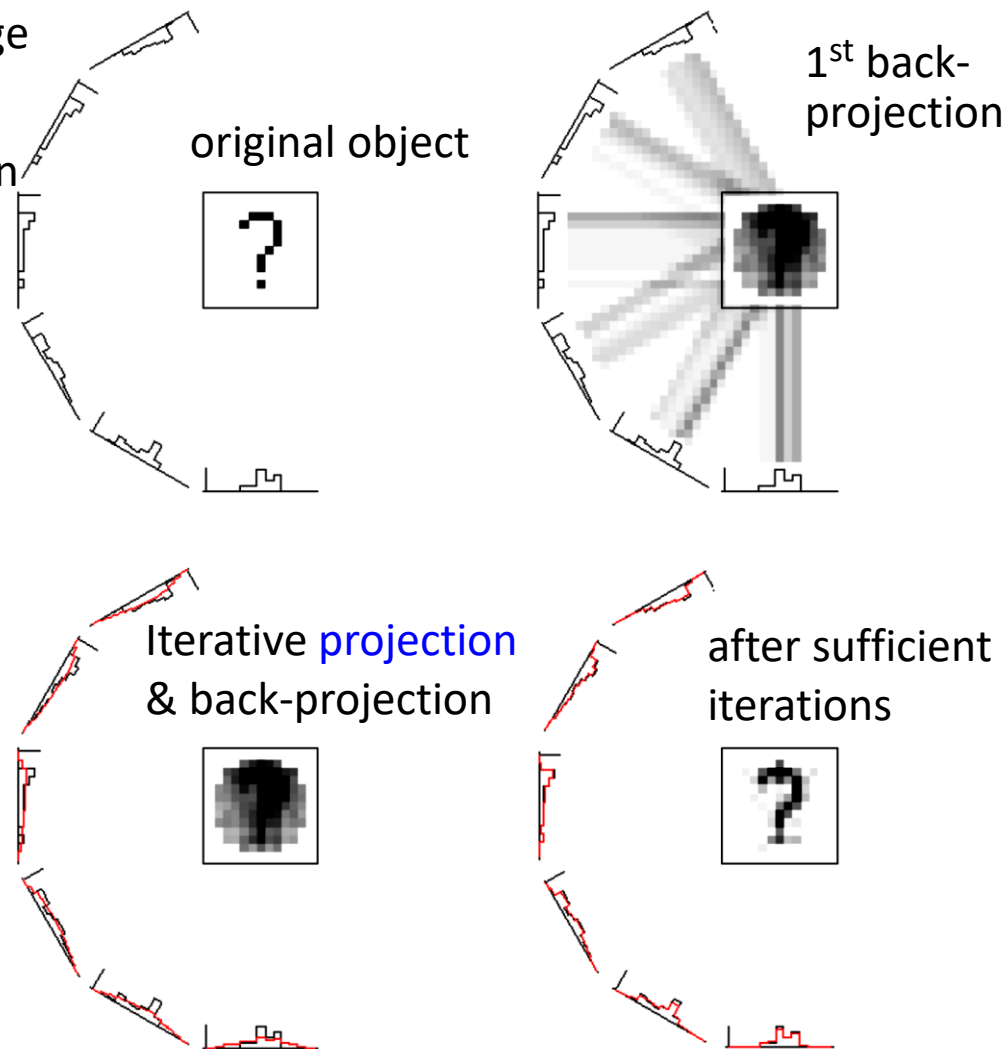
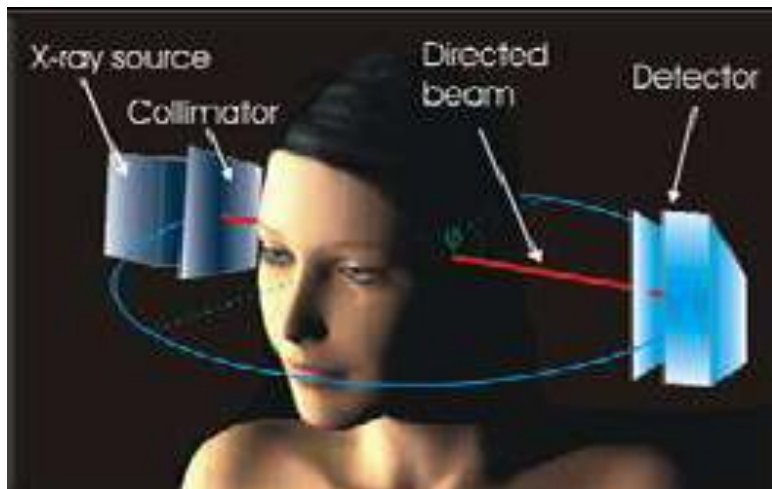
Improved methods:

Based on e.g. tomographic reconstruction

Tomographic Reconstruction

Tomography: Generation of a n-dim image from many lower-dim projections

Medical application: 2-dim reconstruction using sufficient 1-dim projections



Filtered back projection:

Iterative process by redistributing the 2-dim image and considering the differences to the previous iteration step.

Courtesy S. Hancock et al.

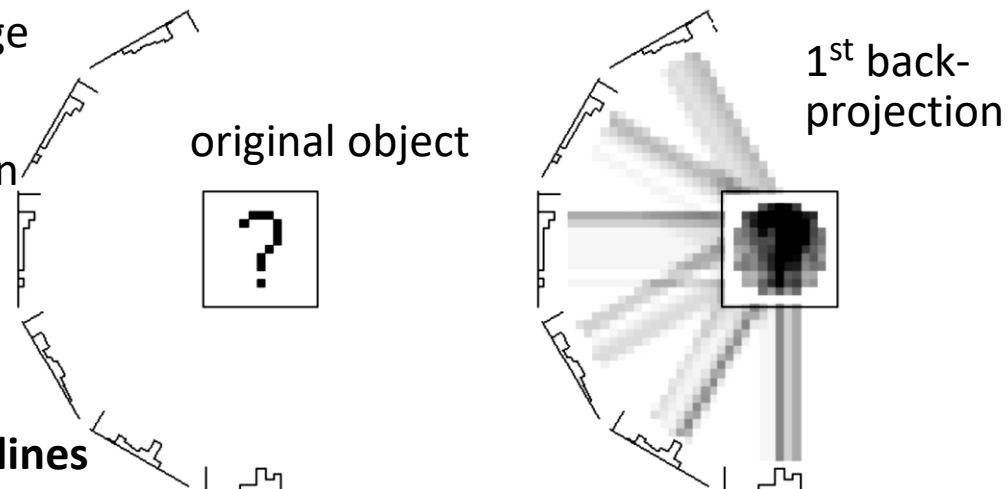
Tomographic Reconstruction

Tomography: Generation of a n-dim image from many lower-dim projections

Medical application: 2-dim reconstruction using sufficient 1-dim projections

Application at accelerators:

- **Transverse & longitudinal emittance reconstruction in transfer lines**
- Longitudinal bunch evolution in synchr.



Most often used:

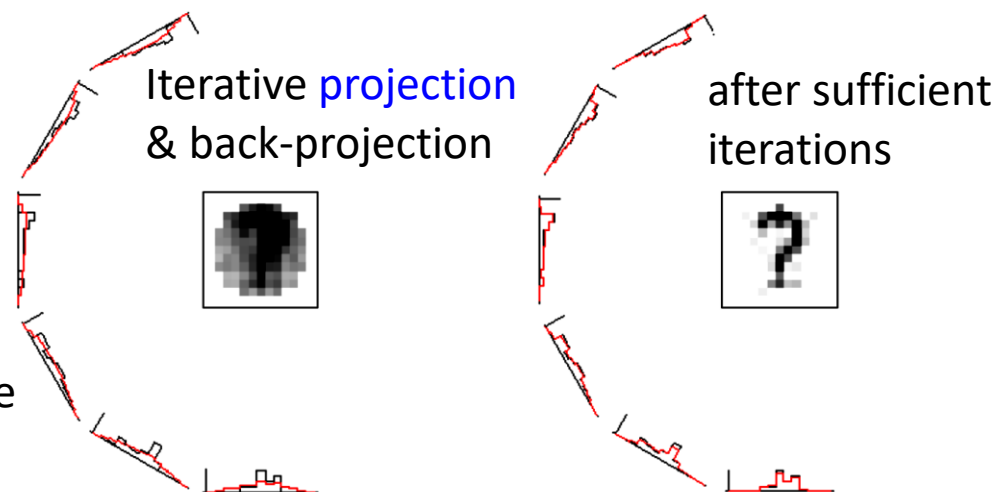
FBP: Filtered **B**ack-**P**rojection

ART: Algebraic **R**econstruction **T**echnique

Other methods:

MENT: Maximum Entropy

Image recognition by **Machine Learning**

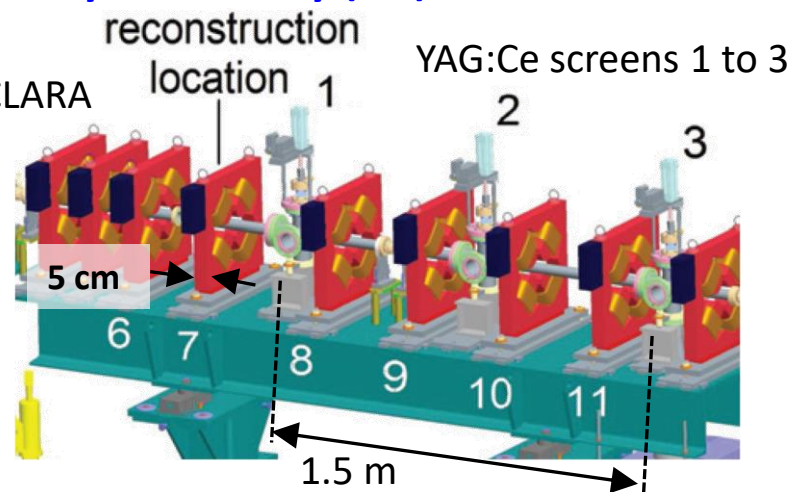


Courtesy S. Hancock et al.

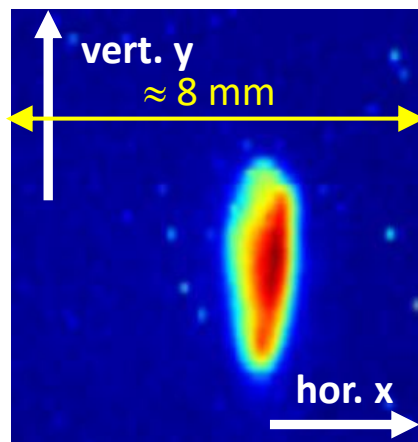
Exemplary Results of 2-dim Emittance Reconstruction

Experiments at ALICE dedicated transfer line Daresbury Laboratory (UK):

- Electron LINAC ALICE with $E_{kin} = 12$ MeV in the frame of EMMA FFAG (up to year 2016), then CLARA
- Dedicated line with typ. 60° betatron phase advance between Yag:Ce screens (0.75 m distance each)
- Several quadrupoles for flexible beam setting for versatile experiments



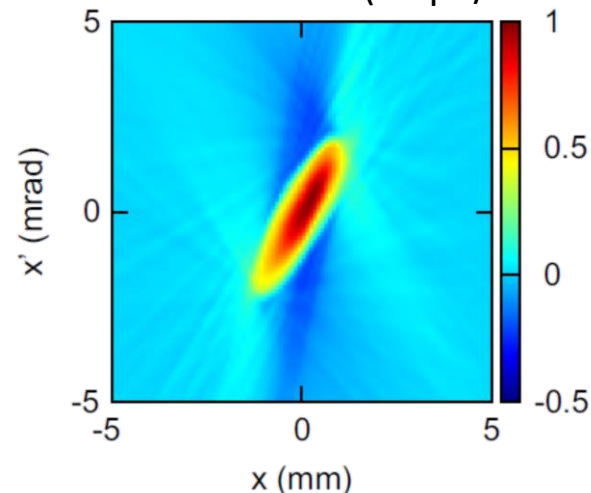
Typical 2-d trans. **profile**
@ YAG:Ce#1



Typically 150 quad-setting Quad 7
($20^\circ \dots 170^\circ$ betatron phase)
& profile measurements screen 1
→ **tomography by FBP**



Phase space at entrance of Quad 7
for low current (20 pC/bunch)

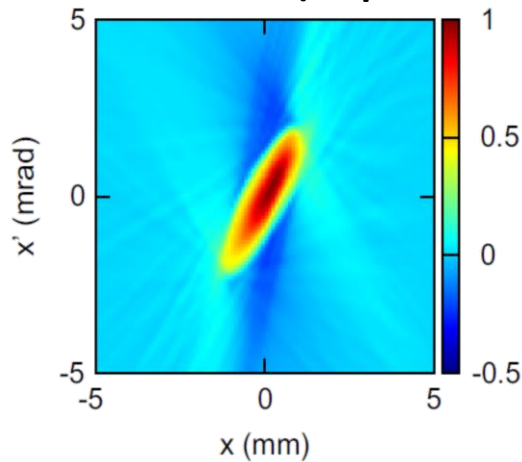


K.M. Hock, A. Wolski et al., NIM A 753, 38 (2014), M.G. Ibison et al., JINST 7, P04016 (2012), IPAC 2013

Exemplary Results of 2-dim Emittance Reconstruction

Experiments at ALICE dedicated transfer line Daresbury Laboratory (UK):
Beam current effect detected

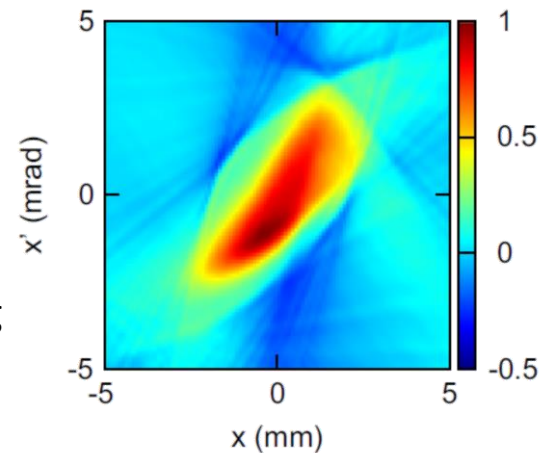
Phase space in front of Quad 7
for low current (**20 pC/bunch**)



Scan of Quad 7 &
measure at screen 1
Increase of current

→
Related to
Space charge defocusing
upstream Quad 7

Phase space in front of Quad 7
for high current (**80 pC/bunch**)



Consistency check:

Comparison of measured beam profile and reconstructed profile at reconstruction location

Actual Challenges for Emittance Measurement

Actual challenges for emittance measurement:

➤ High resolution and dynamic range

Solution keywords: Material choice, sensitive electronics and/or optics

➤ Reconstruction algorithms

Solution keywords: Tomography with optimized 'traditional' methods,
Machine Learning based image reconstruction

Related talks at IPAC'26 within MC6:

- Sarah Geffroy (Université Paris-Saclay, CNRS/IN2P3, IJCLab),
Emittance measurement of the CERN antimatter beam for the GBAR experiment
- Mayu Wada (The University of Tokyo),
Development of a new ultraslow muon beam diagnostic system for the J-PARC muon g-2/EDM experiment

Related talks at IPAC'26, MC6 on Machine Learning:

- Eito Iwai (RIKEN), *ML-driven automated tuning of SACLA XFEL: progress and future*
- Ysabella Cassandra Ong (INFN), *AI and machine learning techniques for LNL accelerators*
- Thorsten Hellert (LBNL),
Bridging the Gap Between Control Systems and Natural Language: A Framework for Semantic Channel Finding
- Fuhao Ji (SLAC), *Intelligent scientific facility R&D: AI/ML developments at SLAC MeV-UED*

Measurement of longitudinal Parameters

Measurement of longitudinal parameter:

Bunch length measurement at

- FEL-LINACs
- Circular synchrotron light facilities

Longitudinal ↔ transverse correspondences:

- position relative to rf ↔ transverse center-of-mass
- bunch structure in time ↔ transverse profile
- momentum or energy spread ↔ transverse divergence
- longitudinal emittance ↔ transverse emittance.

Longitudinal focusing:

Variation of the bunch shape by a rf-buncher

→ components 5 and 6 from 6-dim phase-space

Transversal correspondence: Quadrupole variation

➤ Transfer matrix of buncher & drift:

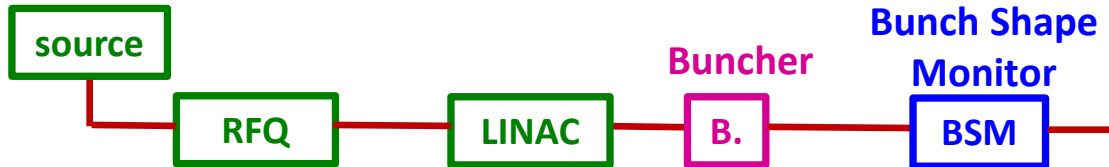
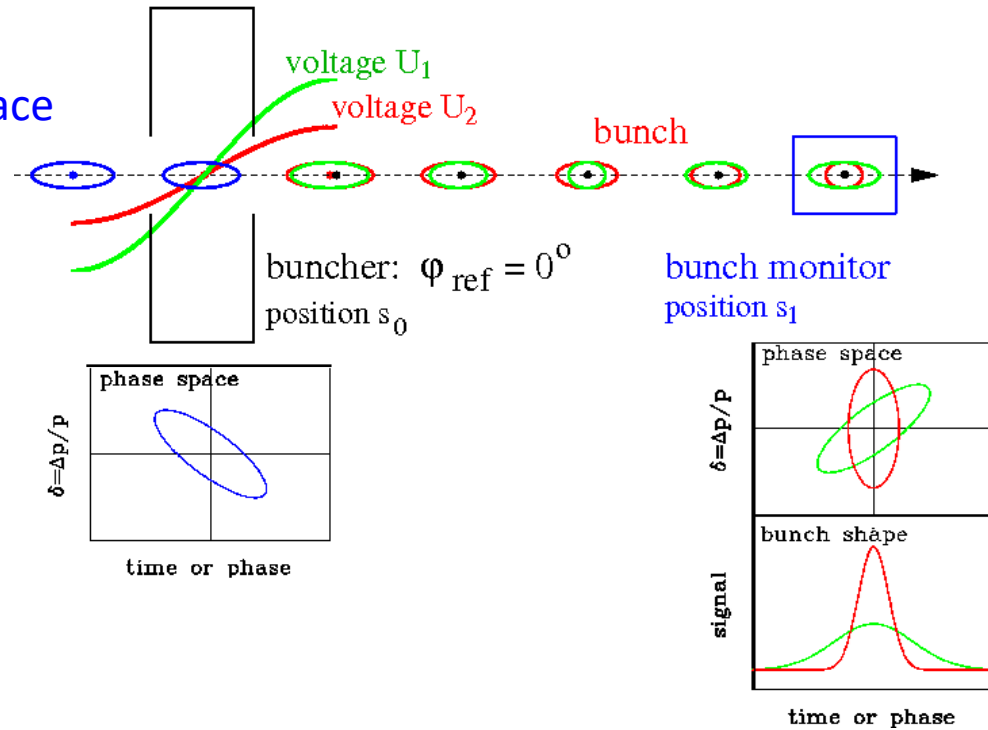
$$R_{buncher} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix}$$

with focal length $\frac{1}{f} = \frac{2\pi f_{rf}}{Apv^2} \cdot U$

$$R_{drift} = \begin{pmatrix} 1 & L/\gamma^2 \\ 0 & 1 \end{pmatrix}$$

➤ Variation of buncher amplitude U

⇒ different bunch width at monitor location



Bunch Length Measure by electro-optical spectral Decoding

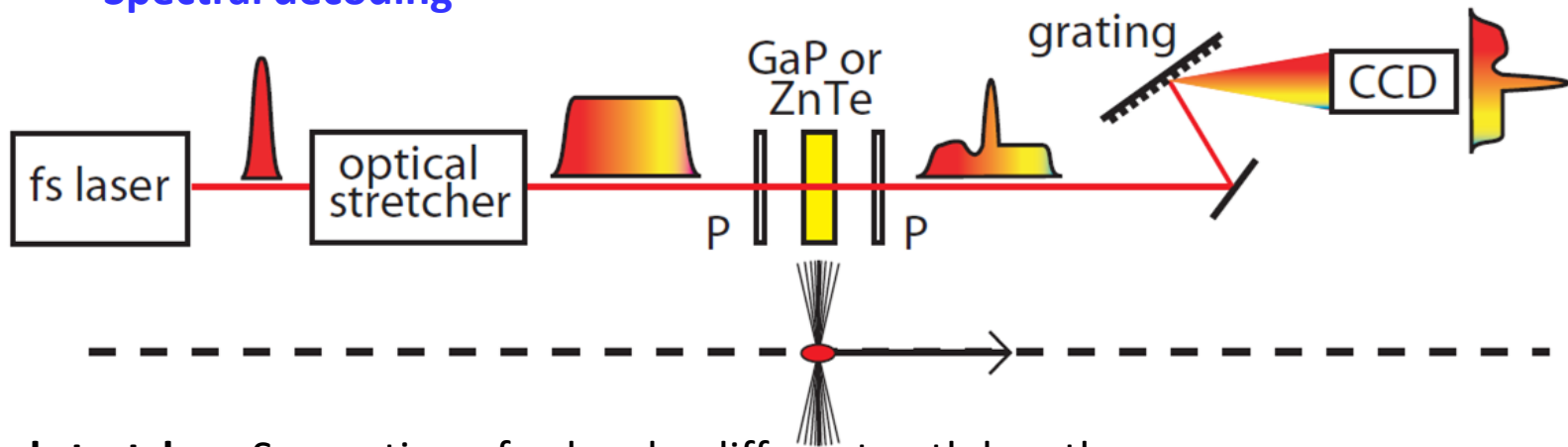
For Free Electron Lasers → bunch length below 1 ps is achieved

- Below the resolution of streak camera
- Short laser pulses with $t \approx 10$ fs and electro-optical modulator

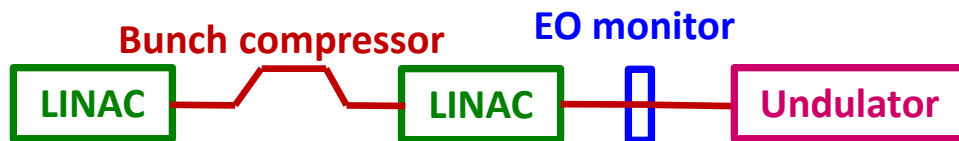
Electro optical modulator: Birefringent, rotation angle depends on external electric field

Relativistic bunch: transverse electric field $E_{\perp,lab} = \gamma E_{\perp,rest}$ carries the time information

Spectral decoding

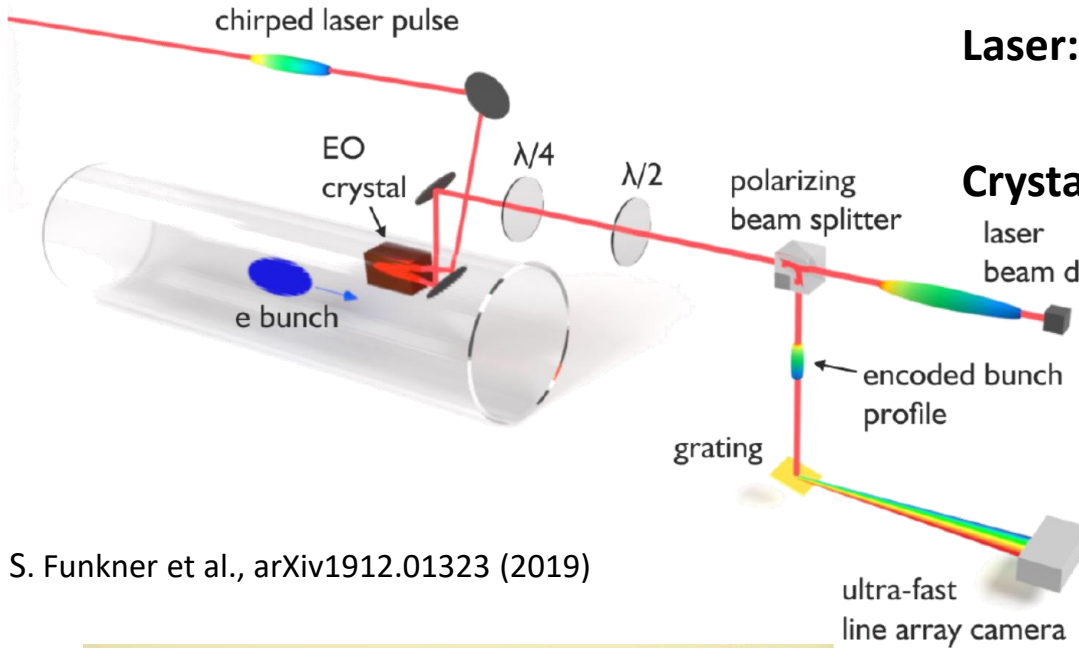


Optical stretcher: Separation of colors by different path length
 ⇒ different colors at different time ⇒ **single-shot observation**



Courtesy S.P.Jamison et al., EPAC 2006

Hardware of a spectral-decoded EOSD Scanning Setup

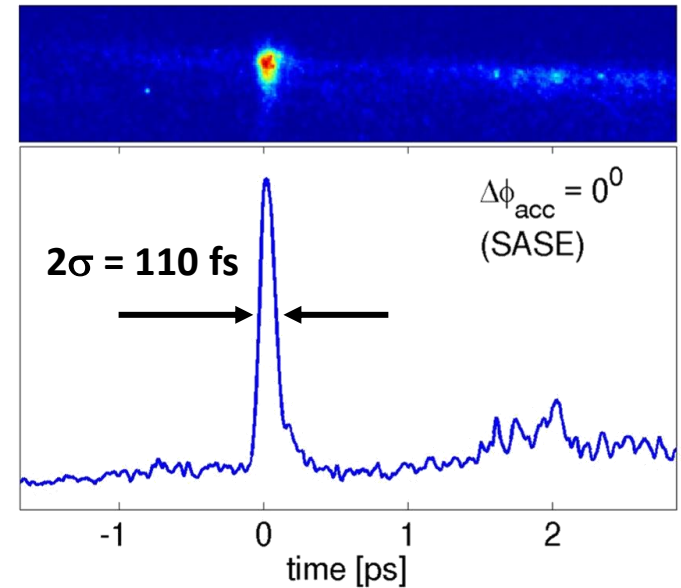


Laser: Commercial Ti:Sa or Yb-fibre,
10 fs duration, near IR,

Crystal: GaP or ZnTe, 100 μm thickness

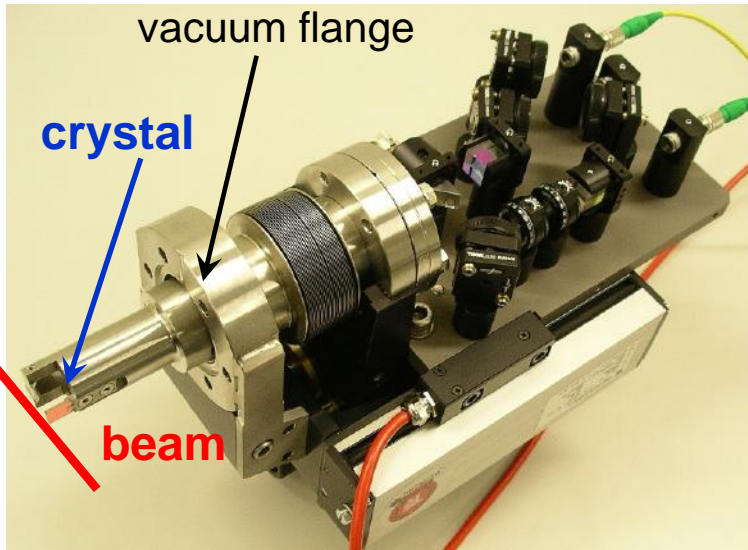
Example: Bunch length at FLASH
100 fs bunch duration = 30 μm length!

S. Funkner et al., arXiv1912.01323 (2019)



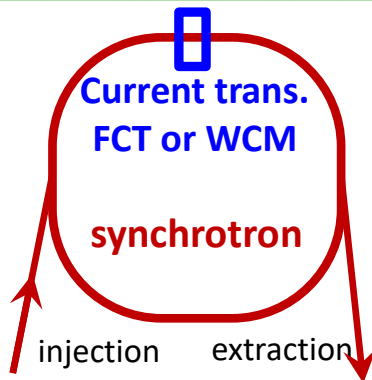
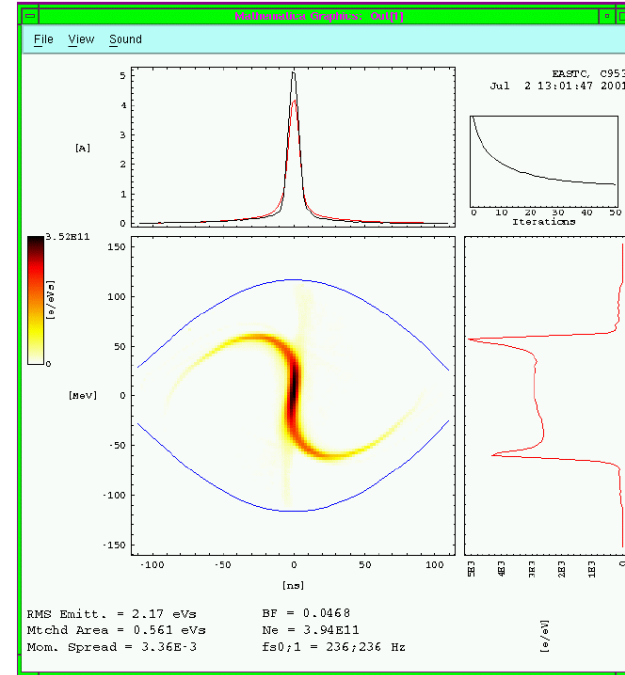
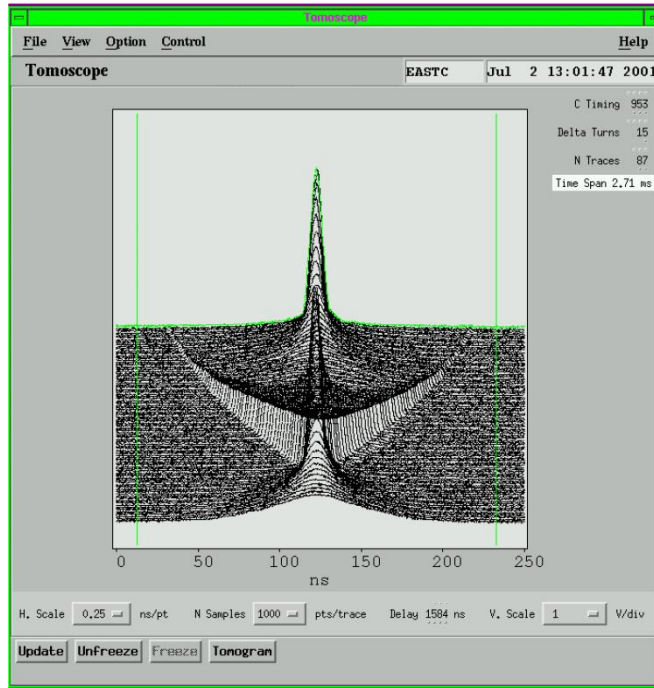
B. Steffen et al, DIPAC 2009

B. Steffen et al., Phys. Rev. AB 12, 032802 (2009)



Results of tomographic Reconstruction at a Synchrotron II

Bunches from 500 turns at CERN PS and phase space for time slice of shortest bunch, measured with a Wall Current Monitor WCM:



Fast rise of rf-amplitude for 'bunch-rotation':

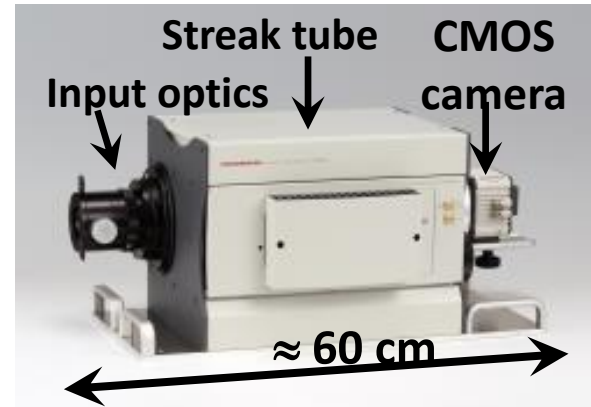
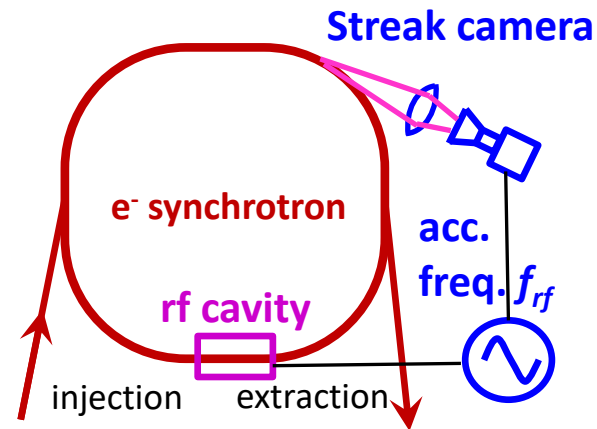
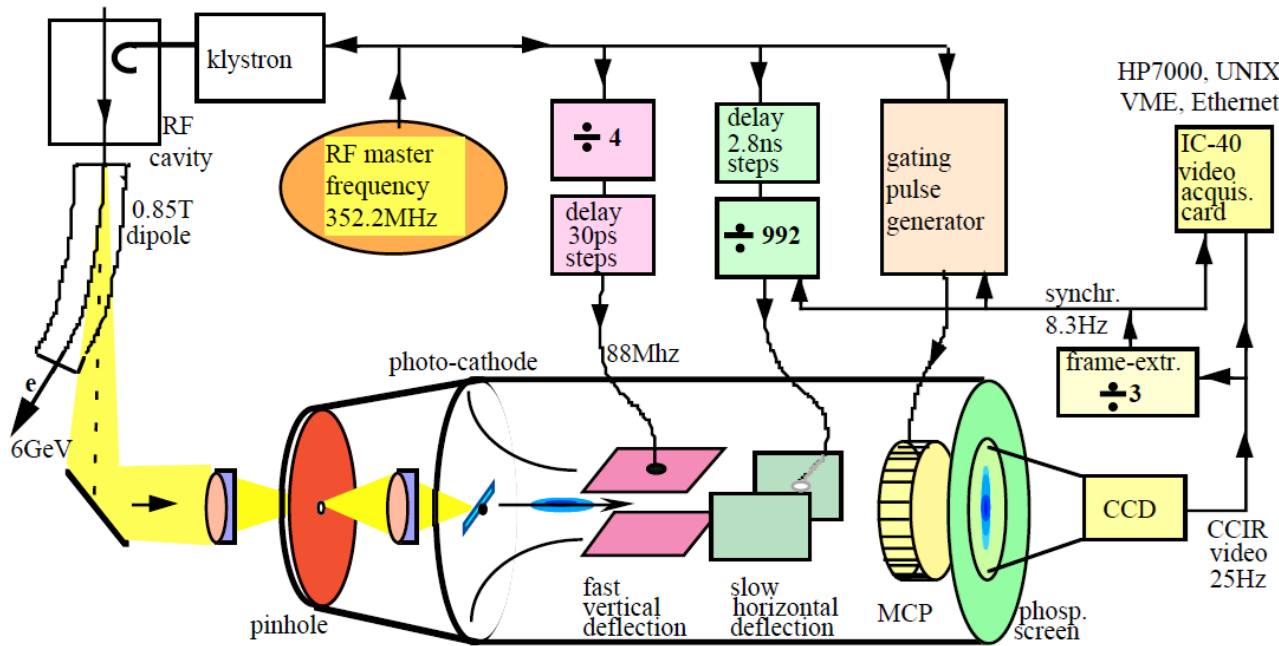
- Bunch is compressed in time
- Filamentation due to amplitude- dependent synchrotron frequency

Bunch Length Measurement for relativistic Electrons

Electron bunches are too short ($\sigma_t < 100$ ps) to be covered by the bandwidth of pick-ups ($f < 3$ GHz $\Leftrightarrow t_{rise} > 100$ ps) for structure determination.

→ Time resolved observation of synchr. light with a streak camera: Resolution ≈ 1 ps.

Scheme of a streak camera

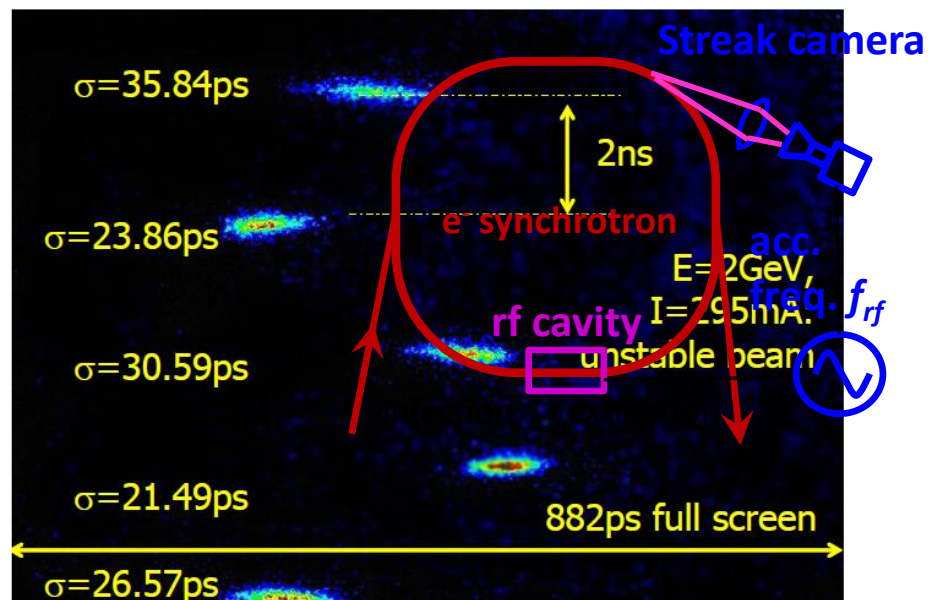
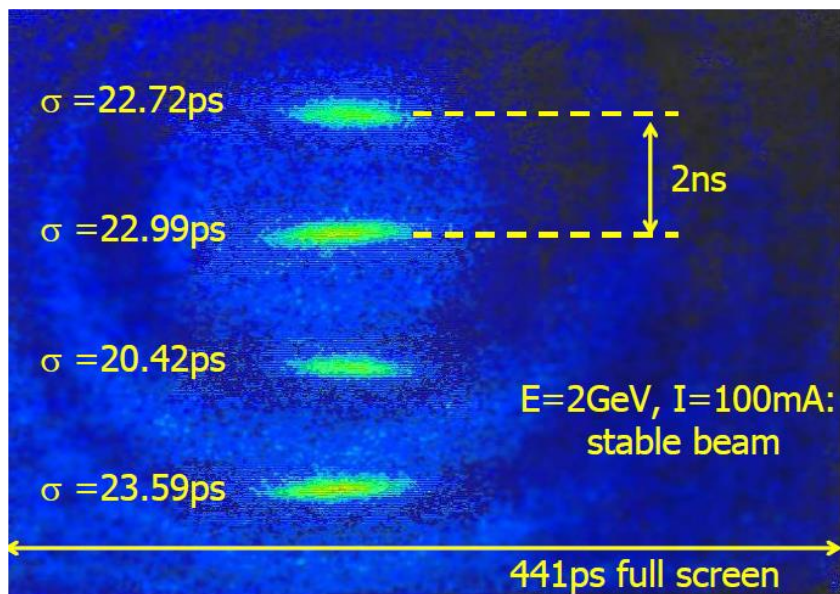


Bunch observation by Streak Camera

The streak camera delivers a fast scan in horizontal direction (here 441 ps full scale) and a slower scan in vertical direction (here 9 ns).

Example: Bunch shape oscillations at Elettra, Trieste, $f_{acc} = 500$ MHz :

→ Longitudinal mismatched injection leads to coherent synch. oscillations, coupled bunch motion



From M. Ferianis, CAS'07

Actual Challenges for Bunch Shape Measurements

Actual challenges for bunch shape measurement:

➤ High resolution and dynamic range

Solution keywords: FEL-LINACs costly methods such as Transverse Deflecting Cavity

➤ Reconstruction algorithms

Solution keywords: Tomography with optimized 'traditional' methods,
Machine Learning based image reconstruction

➤ For FELs: Synchronization of cavities and experiential trigger

Solution keywords: Electro-optical signal transmission, ultra-accurate electronics

Related talks at IPAC'26 within MC6:

- Frank Ludwig (DESY), *From femtosecond to attosecond RF field control*

Conclusion for Instrumentation and Control

Beam instrumentation is required to control the 'real-world' beam correctly

➤ **Beam Position Monitors:**

Non-invasive, versatile application, sensor for feedback

➤ **Profile detectors:**

Various detector types, non-invasive preferred

➤ **Emittance determination:**

Reconstruction by beam optics and tomography, non-linear solutions e.g. by ML

➤ **Bunch length determination:**

Various methods, partly complex or costly instruments, tomography

Thank you for your attention

Do you have questions or comments?